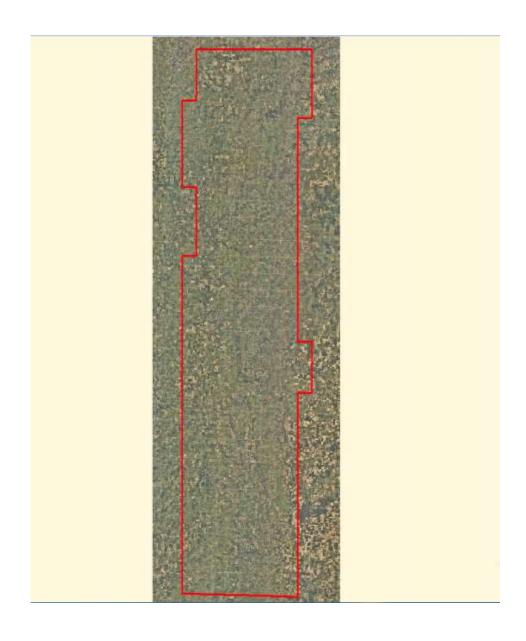


LiDAR Quality Assessment Report

The USGS National Geospatial Technical Operations Center, Data Operations Branch is responsible for conducting reviews of all Light Detection and Ranging (LiDAR) point-cloud data and derived products delivered by a data supplier before it is approved for inclusion in the National Elevation Dataset. The USGS recognizes the complexity of LiDAR collection and processing performed by the data suppliers and has developed this Quality Assessment (QA) procedure to accommodate USGS collection and processing specifications with flexibility. The goal of this process is to assure LiDAR data are of sufficient quality for database population and scientific analysis. Concerns regarding the assessment of these data should be directed to the Chief, Data Operations Branch, 1400 Independence Road, Rolla, Missouri 65401.

KS_Area1-Eastern_2013

NGTOC 2014-06-30



Project Information

Project: KS Area1-Eastern 2013

Contractor: Aerometric Inc.

Project Type: Applicable Specification:

<u>Partnership</u> <u>NGP LiDAR Base Specification Draft V13</u>

Project Points of Contact:

Name:	Туре:	Email:		
Ingrid Landgraf	NSDI Liaison	imlandgraf@usgs.gov		

REPORT QUALIFICATION SUMMARY: Task Order Overall: Meets Requirements Metadata: 1 of 1 **Reviews Accepted** 0 Reviews Not Accepted Vertical Accuracy: 1 of 1 Reviews Accepted O Reviews Not Accepted Swath/Raw LAS: 1 of 1 Reviews Accepted O Reviews Not Accepted Tiled/Classified LAS: 1 of 1 **Reviews Accepted** O Reviews Not Accepted Breakline: 1 of 1 **Reviews Accepted** O Reviews Not Accepted DEM(s): 1 of 1 **Reviews Accepted** O Reviews Not Accepted NED Review: 1 of 1 DEM tile reviews recommended for NED 1/3rd 1 of 1 DEM tile reviews recommended for NED L/9th

Project Delivery Lots: Select...

Dates Collected Range:

Collection Start: 11/17/2012
Collection End: 11/20/2012

Project Aliases:

Licensing:

Public Domain

Project Description:

The project Area 1 Kansas includes Anderson, Allen, Neosho, and Labette counties. Areas were defined and supplied by Kansas Department of Administration and includes approximately 2250 square miles for analysis.

Review	/ Information	า			
Reviewer:	Select or type			Date Delivere	2/11/2014 ed:
3rd Party QA Performed:				Date Assigned	2/11/2014 d:
Action To Cont	tractor Date:	Issue Description:			Return Date:
3/25/2014		Missing metadata files DEM; no project metadata not delivered in UTM1! assessment on DEM; Claccuracy match between Swath delivered in UTM breakline files for considerrors exist that need to the series extended to the series exten	lata; checkpoint for vertical acc heck that all ver en reports and r M14 and assesse istency; Several to be corrected. a redelivered le redelivered in livered, but still ed by vendor rors corrected, endor e does not mat	t shapefile curacy rtical metadata; ed; check DEM	
Review Comple 6/30/2014	ete:				
Dates Project W	Vorked:				
Start: 2/12/	/2014	4/28/2014	5/27/2014		6/10/2014
End: 3/25/	/2014	5/7/2014	5/28/2014		6/12/2014
6/25/	/2014	1			

Project Materials Received

6/27/2014

All project deliverables must be supplied according to collection and processing specifications. The USGS will postpone the QA process when any of the required deliverables are missing. When deliverables are missing, the Contracting Officer Technical Representative (COTR) will be contacted by the Elevation Section supervisor and informed of the problem. Processing will resume after the COTR has coordinated the deposition of remaining deliverables.

METADATA

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Collection Report:	>		•	<u>PDF</u>	1	also delivered as .doc
Survey Report:	•		V	PDF	1	also delivered as .doc

Processing Report:	V	V	<u>PDF</u>	1	also delivered as .doc
QA/QC Report:			<u>Select</u>		
Project Level XML Metadata:	>	>	XML	6	xml
Project Extent:	>	>	<u>.shp</u>	1	delivered as gdb
Tile Scheme:	>	>	<u>.shp</u>	1	delivered as gdb
Control (Calibration) Points:	>	>	<u>.shp</u>	1	shapefile
Check (Validation) Points:	>	>	<u>.shp</u>	1	shapefile
Additional Comments					

LIDAR DATA

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Swath Data:	V	>	>	<u>.las</u>	136	UTM14
Classified/ Tiled Data:	V	V	>	<u>.las</u>	256	
Additional Comme		delivered in UTI	M14N			

DERIVED DELIVERABLES

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
DEM Tiles:	>	>	>	<u>IMG</u>	256	
Breaklines:	V	•	~	.shp	2	delivered in gdb
Additional Comme	nts:					

OTHER

Additional Deliverable		elivered	XML Metadata	Required	Format	Quantity	Additional Details
Intensity Imag	es	V	~		.tif	256	
UTM15 First Return DEM		V	V		.IMG	256	
UTM15 Hydro Polygon Break	line	V			.gdb	1	
UTM14 Hydro Aera 1 Anders Allen Neosho Labette_2013:		V			.gdb	1	
UTM14		~					See below
Additional C		Intensi ***Pro	ty, Metadata, Sv ject redelivery i	wath	EM, Classified .las		
Area Extent:	2451			Sq. Miles			
Tile Size:	5000x5	000		<u>Meters</u>			
DEM/DTM Grid Spacing: Coordinate Refe UTM Zone 15N		em:		<u>Meters</u>			
Projection:	Mercat	or					
Horizontal Datum:	NAD83 H	IARN					MetersU.S. Feet
Vertical Datum:	NAVD88						Int'l FeetMetersU.S. Feet
THIS PROJECTIO Project Expression Control P Checkpoi Project Le	ktent ile Scheme oints nts		EFERENCE SY	 	Tiled/Classified Tiled/Classified Swath/Raw LiD TM14 Swath/Raw LiD TM14 DEM(s) DEM XML Meta Breakline(s) Breakline XML	XML Metadata LiDAR AR XML Metad AR	
Additional							

Partnership KS_Area1-Eastern_2013 Comments: **Collection Information** Configured Project Nominal Pulse Spacing: Sensor Information: 1.25 Sensor Type: Meters Aerial Sensor Used: Optech Orion H300 Configured Scan Angle ± from nadir: 20 Degrees **Additional Comments:** Metadata Review Accepted Vendor provided metadata files have been parsed using 'mp' metadata parser. Any errors generated by the parser are documented below for reference and/or corrective action. Parser can be found @ http://geo-nsdi.er.usgs.gov/validation/ The Project Level XML Metadata parsed <u>select...</u> errors. Check if 'Best Use' metadata for NED: \Box The Swath XML Metadata parsed withouterrors. Check if 'Best Use' metadata for NED: \Box The Classified XML Metadata parsed withouterrors. Check if 'Best Use' metadata for NED: The DEM XML Metadata parsed withouterrors. Check if 'Best Use' metadata for NED: \Box The Breakline XML Metadata parsed withouterrors. Check if 'Best Use' metadata for NED: \Box Additional According to version 13 one metadata file for classified, project and DEM is required. Classified and Comments: DEM metadata delivered by tile basis only. Project metadata missing. The parser was run on the tiled metadata for the classified and DEM at this time. ****Metadata files for all files were redelivered: DEM, Classed LAS, First Return, Hydro Breaklines, Intensity, Project. ****New metadata files do not exactly reflect data delivery; for example: Metadata states "Tall Grass," shapefile attribute table refers to "Long Grass"; the metadata also list extra classes not found in the point cloud: 23 Overlap - noise. Corrected by vendor. Based on this review, the USGS accepts the xml metadata provided.

End of Metadata Review

Vertical Accuracy Review Accepted

ASPRS recommends that checkpoint surveys be used to verify the vertical accuracy of LiDAR data sets. Checkpoints are to be collected by an independent survey firm licensed in the particular state(s) where the project is located. While subjective, checkpoints should be well distributed throughout the dataset. National Standards for Spatial Data Accuracy (NSSDA) guidance states that checkpoints may be distributed more densely in the vicinity of important features and more sparsely in areas that are of little or no interest. Checkpoints should be distributed so that points are spaced at intervals of at least ten percent of the diagonal distance across the dataset and at least twenty percent of the points are located in each quadrant of the dataset.

NSSDA and ASPRS require that a minimum of twenty checkpoints (thirty is preferred) are collected for each major land cover category represented in the LiDAR data. Checkpoints should be selected on flat terrain, or on uniformly sloping terrain in all directions from each checkpoint. They should not be selected near severe breaks in slope, such as bridge abutments, edges of roads, or near river bluffs. Checkpoints are an important component of the USGS QA process. There is the presumption that the checkpoint surveys are error free and the discrepancies are attributable to the LiDAR dataset supplied.

For this dataset, USGS checked the spatial distribution of checkpoints with an emphasis on the bare-earth (open terrain) points; the number of points per class; the methodology used to collect these points; and the relationship between the data supplier and checkpoint collector. When independent control data are available, USGS has incorporated this into the analysis.

th % CI

Required Vertical Accuracy

 Yes ○ No REQUIRED FUNDAMENTAL VERTICAL ACCURACY FOR SWATH FILES Confidence Interval Required: 95 Required Unit: Centimeters

Required # of checkpoints: 20

Required RMSEz: 12.5

Required Vertical Accuracy (RMSEz * .% 24.5 CI)

REQUIRED FUNDAMENTAL VERTICAL ACCURACY FOR DEM FILES

Confidence Interval Required: 95 th % CI Required Unit: Centimeters

Required # of checkpoints: 20

Required RMSEz: 12.5

Required Vertical Accuracy (RMSEz * .% 24.5 CI)

REQUIRED SUPPLEMENTAL VERTICAL ACCURACY FOR DEM FILES

SVA Statistic Required: Percentile

VA Confidence Level/Percentile Pequired: 95

Class	# of Checkpoints	95 tl	SVA Required h Percentile
Low Grass	20	36.3	Centimeters
Tall Grass	20	36.3	Centimeters
I-		•	

Forest	20	36.3	Centimeters
Urban	20	36.3	Centimeters
REQUIRED CONSOLIDATED VERTICAL ACCURACY FOR CVA Statistic Required: Percentile CVA Confidence Level/Percentile Required: 95 Total number of checkpoints: 100	DEM FILES		
Required CVA: 36.3 Centimeters Additional Required Vertical Accuracy Information:	at the 95 th Pe	ercentile	

Reported Vertical Accuracy • Yes O No				
REPORTED FUNDAMENTAL VERTICAL	ACCURACY FOR	SWATH LIDAR FI	LES	
Confidence Interval Reported:	95	th % CI		
Reported Unit:	Meters			
Reported # of checkpoints:	20			
Reported RMSEz:	0.046			
Reported Vertical Accuracy (RMSEz * .% CI)	0.090			
REPORTED FUNDAMENTAL VERTICAL	ACCURACY FOR	DEM FILES		
Confidence Interval Reported:	95	th % CI		
Reported Unit:	Meters			
Reported # of checkpoints:	20			
Reported RMSEz:				
Reported Vertical Accuracy (RMSEz * .% CI)	0.085			
REPORTED SUPPLEMENTAL VERTICAL	ACCURACY FOR	DEM FILES		
SVA Statistic Reported: <u>Percentile</u> SVA Confidence Level/Percentile Reported: 99	5	7		
Class		# of Checkpoints	95 th	SVA Reported Percentile
Low Grass		22	0.117	Meters
Tall Grass		22	0.098	Meters
Forest		22	0.153	Meters

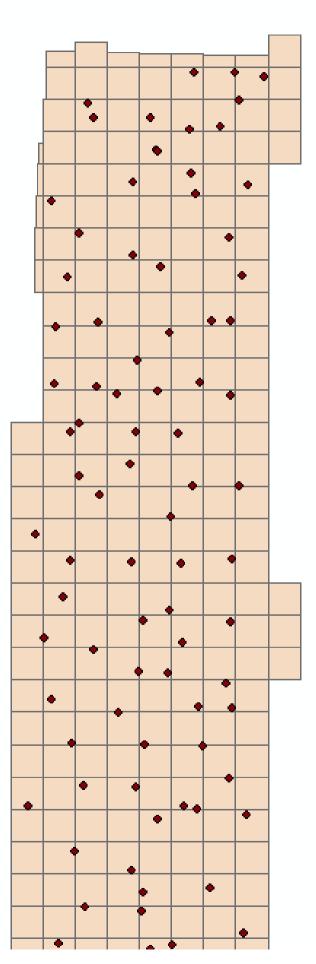
Urban		24	0.133	Meters
REPORTED CONSOLIDATED VER	TICAL ACCURACY FOR	DEM FILES		_
CVA Statistic Reported: <u>Percentile</u>				
CVA Confidence Level/Percentile Repor	ted: 95			
Total number of checkpoints: 110				
Reported CVA: 0.123	Meters	at the 95 th Pe	ercentile	
Additional Reported Vertical Accuracy Information:				

Reviewed Vertical Accuracy

Partnership

nerien ea vertical modulacy					
● Yes ○ No					
CHECKPOINT REVIEW					
Checkpoints are well distributed?		7			
Enough checkpoints for task order?		7			
Checkpoints meet USGS LiDAR base-spec i quality?	n quantity and	Z			
REVIEWED FUNDAMENTAL VERTICAL	ACCURACY FOR	SWATH LIDAR	FIL	ES	
Confidence Interval Reviewed:	95	th % CI			
Reviewed Unit:	Meters				
Reviewed # of checkpoints:	20				
Reviewed RMSEz:	0.046				
Reviewed Vertical Accuracy (RMSEz * .% CI)	0.091				
REVIEWED FUNDAMENTAL VERTICAL	ACCURACY FOR	DEM FILES			
Confidence Interval Reviewed:	95	th % CI			
Reviewed Unit:	Centimeters				
Reviewed # of checkpoints:	22				
Reviewed RMSEz:	6.4				
Reviewed Vertical Accuracy (RMSEz * .% CI)	12.5				
REVIEWED SUPPLEMENTAL VERTICAL	. ACCURACY				
SVA Statistic Reviewed: Percentile					
SVA Confidence Level/Percentile Reviewed: 9.	5				
Class		# of Checkpoints		95 th	SVA Reviewed Percentile
Long Grass		22		7.9	Meters

Urban	24	12.7	Meters		
Forest	22	15.1	Meters		
REVIEWED CONSOLIDATED VERTICAL ACCURACY					
CVA Statistic Reviewed: <u>Percentile</u>					
CVA Confidence Level/Percentile Reviewed: 95					
Total number of checkpoints: 90					
Reviewed CVA: 13.7 Centimeters	at the 95 th Per	centile			
Checkpoint Distribution Image					



Vertical Accuracy Results:

Additional Reviewed Vertical Accuracy Information:

Reviewer could not assess DEM vertical accuracy at this time. The checkpoints shapefile was delivered in UTM14 only and per liaison " USGS will want to use the UTM 15 data to match the existing tiles surrounding the project and be in the correct zone". DEM review was completed on data using UTM15. Since swath data delivered only in UTM14, reviewer was able to assess vertical accuracy using checkpoints delivered.

Check to ensure that all reported vertical accuracies for swath and DEM are consistent across metadata and reports.

****Short grass is used for FVA testing. Long Grass is referred to in the Metadata as "Tall Grass."

Based on this review, the USGS accepts the vertical accuracy.

End of Vertical Accuracy Review

Raw-Swath LiDAR Review Accepted

LAS swath files or raw unclassified LiDAR data are reviewed to assess the quality control used by the data supplier during collection. Furthermore, LAS swath data are checked for positional accuracy. The data supplier should have calculated the Fundamental Vertical Accuracy using ground control checkpoints measured in clear open terrain (see Vertical Accuracy Review Section).

Review Required: • Yes • No

RAW-SWATH LIDAR FILE CHARACTERISTICS

✓ Separate folder for swath/raw LiDAR files

LAS Version: 1.2

Point Record Format: 1

- \blacksquare Each swath file ≤ 2 GB and properly segmented
- ☑ Correct and properly formatted georeference information is included in all LAS file headers
- Adjusted GPS time used with the global encoder id set to 1
- ✓ Scan Angles conform to USGS base-spec recommendations
- ✓ All points set to class '0' (not classified)

Additional comments:

Swath for the project was delivered as UTM14N while rest of project is UTM15N. Since this is the only swath deliverable it was assessed by reviewer.

Based on this review, the USGS accepts the swath/raw LiDAR data.

End of Swath/Raw LiDAR Review

Tiled/Classified LiDAR Review Accepted

Classified LAS tile files are used to build digital terrain models using the points classified as ground. Therefore, it is important that the classified LAS are of sufficient quality to ensure that the derivative product accurately represents the landscape that was measured. Classified LAS Tiles are comprised as follows, "all project swaths, returns, and collected points, fully calibrated, adjusted to ground, and classified and cut, by tiles, excluding calibration swaths, cross-ties, and other swaths not used, or intended to be used, in product generation".

Review Required: • Yes • No

CLASSIFIED LIDAR TILE CHARACTERISTICS

Separate folder for classified/tiled LiDAR files

LAS Version: 1.2

Point Record Format: 1

- ✓ Classified LAS tile files conform to project tiling scheme
- ☑ Quantity of classified LAS tile files conforms to project tiling scheme
- ✓ Classified LAS tile files do not overlap
- ✓ Classified LAS tile files are uniform in size
- Correct and properly formatted georeference information is included in all LAS file headers
- Adjusted GPS time used with the global encoder id set to 1
- ☑ Classified LAS tile files have no points classified as '12' (Overlap)
- **▼** Point classifications are limited to the standard values listed below:

Code	Description	Used
1	Processed, but unclassified	~
2	Bare-earth/Ground	~
7	Noise(low or high, manually identified, if needed)	~
8	Model key points	
9	Water	~
10	Ignored ground (breakline proximity)	~
11	Withheld (if the "Withheld Bit" is not implemented in the processing software	

Additional Classes:

Class	Description	
17	Overlap Unclassified	
18	Overlap Bare-Earth	
23	Overlap Noise	
24	Overlap Water	
25	Overlap Ignored Ground	

Additional comments:

Final_1112109_Kansas_Report_1_27_2014 PDF Report states class 23 (Overlap Noise) will be used in classification scheme, however it is not listed in the point cloud statistics.

Based on this review, the USGS accepts classified/tiled LiDAR data.

End of Tiled/Classified LiDAR Review

Breakline Review Accepted

Breaklines are vector feature classes that are used to hydro-flatten the bare earth Digital Elevation Models.

Review Required: ● Yes ○ No

BREAKLINE FILE CHARACTERISTICS:

- ✓ Separate folder for breakline files.
- ☑ Breaklines contain elevation values.

Elevation values stored in Geometery (ZEnabled)

Units: Meters

Waterhody	Drooklings
Waternoav	Kreakiines

Polyline 🗌 Polygon 🗹

Single elevation value per waterbody feature.

Required.

Waterbody Elevations were created via Unkno	wn waterbody level techniques.			
Double Line Stream Breaklines (Streams Approximately > 100 ft).				
Polyline Polygon 🔽				
Downstream DLS Flow is Monotonic				
Required.				
Single Line Breaklines.				
Lines are:				
☐ Single Line Streams				
☐ Bridge Cuts				
Culvert Connectors				
Downstream SLS Flow is Monotonic	•			

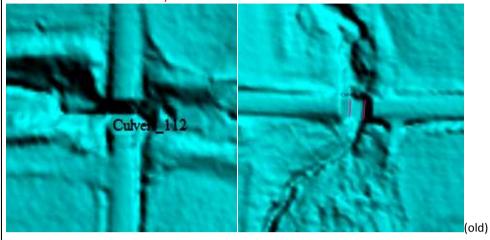
No missing or misplaced breaklines.

ADDITIONAL COMMENTS, ERRORS, ANOMALIES, OR OTHER ISSUES:

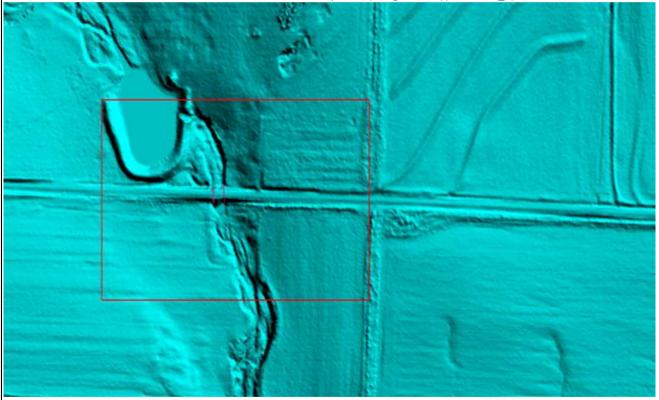
-During QA review, reviewer noted that some rivers in the DEM have been hydro-flattened that appear to be under fifty feet bank to bank, while others have not. Please review/comment. Corrected by vendor.

-Breaklines shapefile appears to be incomplete as some hydro-flattened water features are missing breaklines. Re-delivery of breakline file needed. Corrected by vendor.

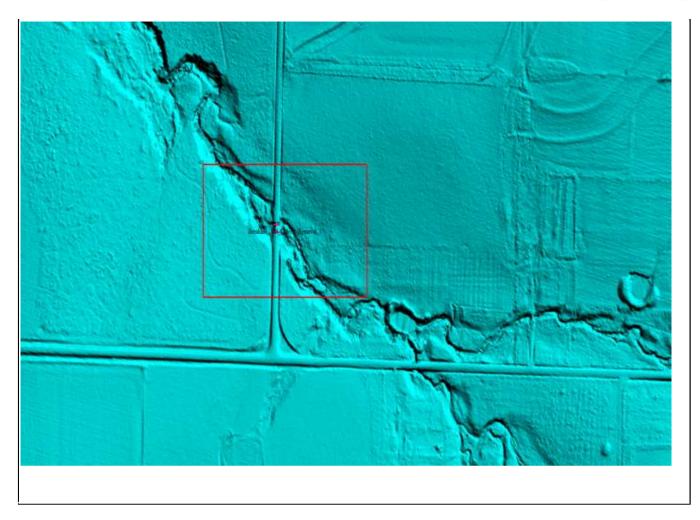
-Some bridges and culverts had breaklines while others did not (as shown below). Please comment. This problem still exists in some locations. corrected by vendor



****some breaklines still exist where culverts have been replaced (image below)(Breakline 1)



****some culverts have been partially removed and breaklines remain. (image below) (Breakline_and_Culvert_1)



Based on this review, the USGS accepts the breakline files.

End of Breakline Review

DEM Review Accepted

The derived bare-earth file(s) receive a review of the vertical accuracies provided by the data supplier, vertical accuracies calculated by the USGS using supplied and independent checkpoints (see the prior Vertical Accuracy Review Section), and a thorough visual review for any anomalies or inconsistencies in assessing the quality of the DEM(s).

BARE-EARTH DEM TILE CHARACTERISTICS:

Separate folder for bare-earth DEM files		
Raster File Type: IMG		
Raster Cell Size: 1 Meters		
Tile bit depth/pixel Type: 32_BIT_FLOAT		
Interpolation or Resampling Technique: <u>Unknown</u>		
 ✓ DEM tiles do not overlap ✓ DEM tiles conform to Project Tiling Scheme ✓ Quantity of DEM files conforms to Project Tiling Scheme ✓ DEM tiles are uniform in size 		
\square DEM tiles properly edge match and free of edge artifacts		

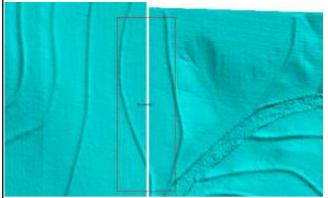
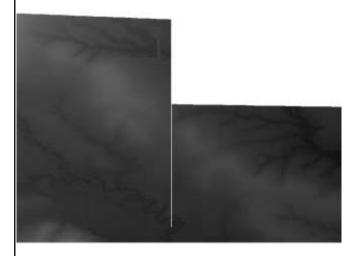


Image from Global Mapper showing tile mismatch (above) and ArcMap (below). Corrected by vendor.



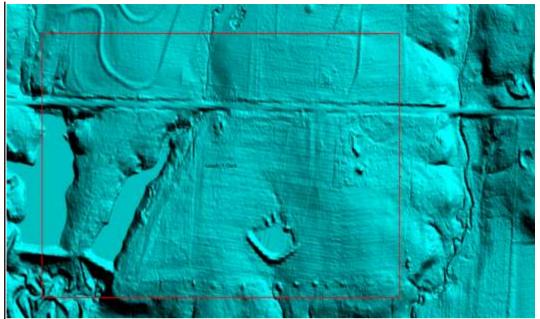
Tile mismatch between DEM tiles BE_15STC8550 and BE_15STC9050. Corrected by vendor.

Other issue found:

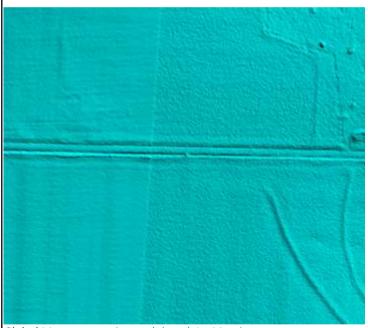
*****Boundary shapefile does not conform to data extent (just follows the boundary of the tile extent)

Corrected by vendor

- ✓ Tiles are free from Spikes and Pits
- ▼ Tiles are free from Data Holidays (voids due to processing or collection errors)
- ☐ Tiles do not exhibit systematic sensor error or cornrowing

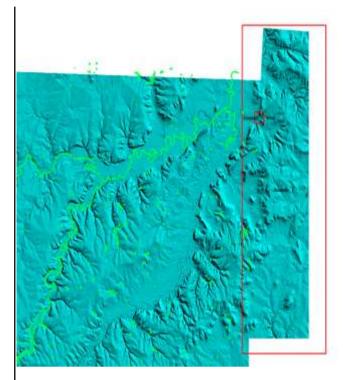


Anomalous error (Anomaly_9) showing different data resolution. Location: 37° 34' 18.9748" N, 95° 06' 11.3445" W
******Not corrected, but accepted

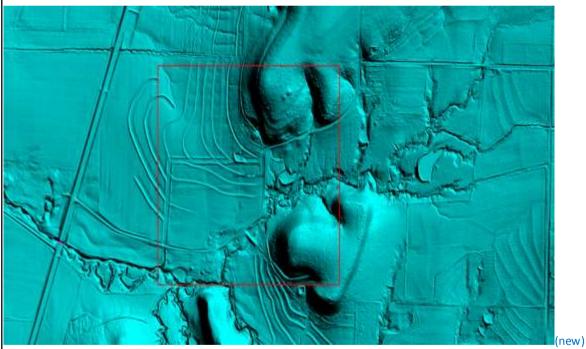


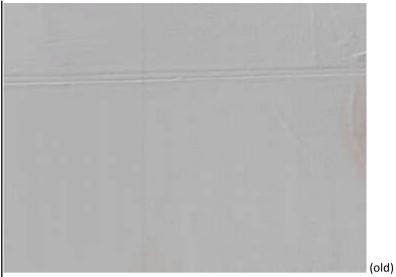
Global Mapper error image (above) ArcMap image

******This errors still exist. It seems to be endemic throughout the north-eastern corner of the dataset. Please recheck (see new error images below and in error shapefile). Accepted, See explanation from vendor below.



(new)



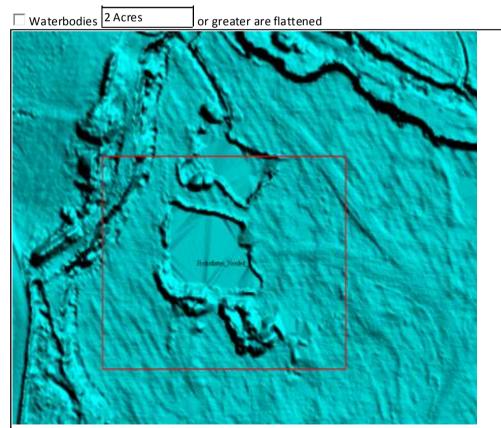


Error identified along entire eastern edge of DEM. Location: 37° 57′ 1.2367" N, 95° 07′ 50.7476" W *****Not corrected

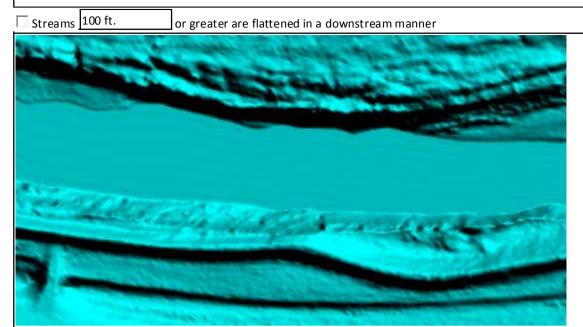
Vendor explanation of above errors:

"In the UTM15 geodatabase a shape has been added with the description of <code>Kansas_2012_Data_Limit</code>. In order to provide full tile DEMs between Kansas 2013 and Kansas 2012 project areas, Kansas 2012 data was included. The inclusion of Kansas 2012 data introduced a visible seam between the two data sets due to seasonal changes, differences between missions, and sensors. The only way to alleviate the appearance of the anomalous error would be by the removal of the Kansas 2012 data, and by providing partial tiles along the eastern boundary of Kansas 2013."

DEM tiles are properly Hydro Flattened ○ Yes No

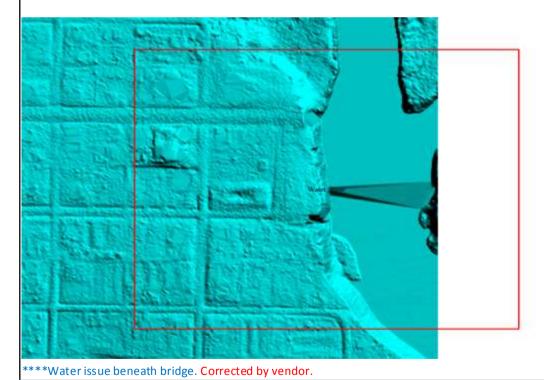


Hydro-flattening error (Hydroflatten_Needed_5). Location: 37° 45' 54.2384" N, 95° 26' 20.3021" W. Three similar errors exist. *****Corrected by vendor



Several hydro-flattening errors exist within the streams. Image above is representative (Water_Issue_11). 15 of these error types exist; please review river system where errors exist.

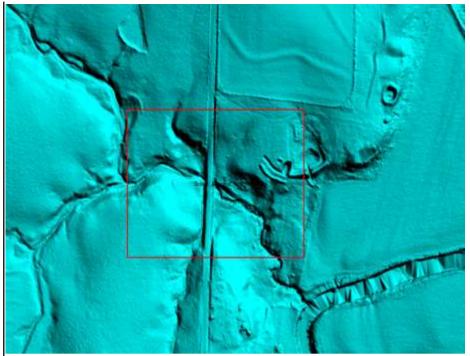
****River errors corrected by vendor



✓ Tidal Boundaries/Shorelines are flattened

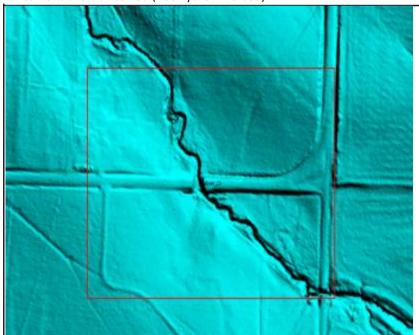
✓ No missing islands 1 Acre or larger

Bridges/Overpasses are properly removed



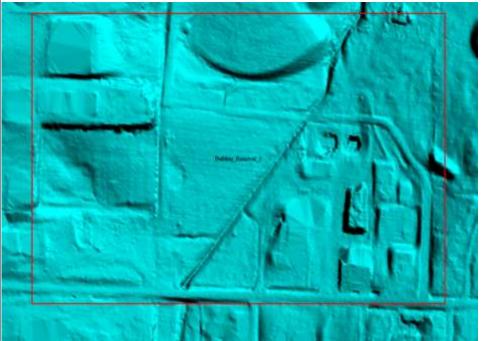
Several errors exist where bridges were not removed from DEM. Above image is representative (Bridge_Removal_6). Location: 37° 34' 39.3071" N, 95° 08' 34.2986" W***Corrected by vendor

Culverts are maintained (Not Hydro Enforced)



Culvert errors in which culverts were removed from DEM and need to be added back in. Above image is representative (Culvert_29) Location: 37° 52' 41.9722" N, 95° 25' 45.0572" W. 97 of this error type exist. ***Corrected by vendor

- ✓ Depressions, Sinks, are not filled in (Not Hydro Conditioned)
- ▼ Vegetation properly removed
- ☐ Manmade structures properly removed



Buildings not removed from DEM. The above image is representative of this error type (Building_Removal_1). Location: 38° 17' 21.8901" N, 95° 14' 43.5772" W. 4 of this error type exist. ****OK, thanks for info.

Tiles recommended for NED 1/3rd: ● Yes. ○ No. Tiles recommended for NED 1/9th: ● Yes. ○ No.

Based on this review, the USGS accepts the DEM tiles.

End of DEM Review

Based on this review, the provided delivery <u>Meets</u> the Contract and/or Task Order requirements. Additional Comments:	
INTERNAL COMMENTS	

END OF REPORT (v2.1.1)