

LiDAR Quality Assessment Report

The USGS National Geospatial Technical Operations Center, Data Operations Branch is responsible for conducting reviews of all Light Detection and Ranging (LiDAR) point-cloud data and derived products delivered by a data supplier before it is approved for inclusion in the National Elevation Dataset. The USGS recognizes the complexity of LiDAR collection and processing performed by the data suppliers and has developed this Quality Assessment (QA) procedure to accommodate USGS collection and processing specifications with flexibility. The goal of this process is to assure LiDAR data are of sufficient quality for database population and scientific analysis. Concerns regarding the assessment of these data should be directed to the Chief, Data Operations Branch, 1400 Independence Road, Rolla, Missouri 65401.

KS_Area1-Eastern_2013

NGTOC

Project Information

Project:

Partnership

KS_Area1-Eastern_2013

Contractor:

Aerometric Inc.

Project Ty	pe:
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<u>Partnership</u>

Applicable Specification: NGP LiDAR Base Specification Draft V13

Project Points of Contact:

ame:	Туре:		Email:				
ngrid Landgraf	NSDI Liaison	imlandgraf@usgs.gov					
REPORT QUALIFICATION SU	MMARY:	Project Delivery Lots: Select					
Task Order Overall:							
Metadata: 0 of 1 Reviews Accepted		Collection	ected Range: Start: 11/17/2012				
1 Reviews Not Accepted Vertical Accuracy: 0 of 1 Reviews Accepted		Collection Project Ali	End: <u>11/20/2012</u> ases:				
1 Reviews Not Accepted Swath/Raw LAS: 1 of 1 Reviews Accepted 0 Reviews Not Accepted		Licensing: Public Dor					
Tiled/Classified LAS: 1 of 1 Reviews Accepted 0 Reviews Not Accepted		 Project Description: The project Area 1 Kansas includes Anderson, Allen, Neosho and Labette counties. Areas were defined and supplied by Kansas Department of Administration and includes 					
Breakline: 0 of 1 Reviews Accepted 1 Reviews Not Accepted		approxim	ately 2250 square miles for analysis.				
DEM(s): 0 of 1 Reviews Accepted 1 Reviews Not Accepted							
NED Review: 0 of 1 DEM tile reviews recomm 1/3rd 0 of 1 DEM tile reviews recomm 1/9th							

KS_Area1-Eastern_2013

Review Information

Reviewer:	Select or type	Date Delivered:	2/11/2014
3rd Party QA Performed:		Date Assigned:	2/11/2014

Action To Contractor Date:	Issue Description:	Return Date:
3/25/2014	Missing metadata files for Classified Ias and DEM; no project metadata; checkpoint shapefile not delivered in UTM15 for vertical accuracy assessment on DEM; Check that all vertical accuracy match between reports and metadata; Swath delivered in UTM14 and assessed; check breakline files for consistency; Several DEM errors exist that need to be corrected. ***Complete metadata redelivered ***Checkpoint shapefile redelivered in UTM15 ***Breakline files redelivered, but still contain several errors ***Majority of DEM errors corrected, some remain ****Boundary shapefile does not match extent of data	

Review Complete:

Dates Project Worked:

Start:	2/12/2014	4/28/2014	5/27/2014
End:	3/25/2014	5/7/2014	

Project Materials Received

All project deliverables must be supplied according to collection and processing specifications. The USGS will postpone the QA process when any of the required deliverables are missing. When deliverables are missing, the Contracting Officer Technical Representative (COTR) will be contacted by the Elevation Section supervisor and informed of the problem. Processing will resume after the COTR has coordinated the deposition of remaining deliverables.

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Collection Report:	>		>	<u>PDF</u>	1	also delivered as .doc
Survey Report:	~		>	<u>PDF</u>	1	also delivered as .doc
Processing Report:	v		>	<u>PDF</u>	1	also delivered as .doc
QA/QC Report:				<u>Select</u>		

Project Level XML Metadata:				XML			
Project Extent:			•	<u>.shp</u>	1	delivered as gdb	
Tile Scheme:	v		>	. <u>shp</u>	1	delivered as gdb	
Control (Calibration) Points:	v		v	. <u>shp</u>	1	shapefile	
Check (Validation) Points :	>		v	<u>.shp</u>	1	shapefile	
Additional Comments:							

LIDAR DATA

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Swath Data:			>	<u>.las</u>	136	UTM14
Classified/ Tiled Data:	>	v	V	<u>.las</u>	256	
Additional Comme		delivered in UTI	M14N			

DERIVED DELIVERABLES

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
DEM Tiles:	>	>	7	IMG	256	
Breaklines:	•	v	v	.shp	2	delivered in gdb
Additional Comme	ents:					

OTHER

Additional Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Intensity Images	•			.tif	256	
·				·	·	·

UTM15 First Return DEM		>	2		.IMG	256]		
UTM15 Hydro Polygon Breaklin	e	K			.gdb	1				
UTM14 Hydro Aera 1 Anderson Allen Neosho Labette_201311 .gdb		R			.gdb	1				
UTM14		<					See below			
	Additional Comments: Entire project delivery in UTM14 - DEM, Classified .las, First Return DEM, Breaklines, Intensity, Metadata, Swath ***Project redelivery in UTM15 - Breaklines, DEM, Classified .las, Metadata									
Geographi		matio								
Area Extent:	2451			Sq. Miles						
Tile Size :	5000x50	000		<u>Meters</u>						
DEM/DTM Grid Spacing:	1			<u>Meters</u>						
<i>Coordinate Refere</i> UTM Zone 15N	ence Syste	<u>m:</u>								
Projection:	Mercato	or								
Horizontal Datum:	NAD83 H	<u>ARN</u>					 Meters U.S. Feet Int'l Feet 			
Vertical Datum:	NAVD88						Meters U.S. Feet			
		INATE R	EFERENCE SY					VERABLES		
✓ Project Extension ✓ Project Tile					Tiled/Classified Tiled/Classified		7			
Control Poir					Swath/Raw LiD		ata			
Checkpoint				U	TM14					
					Swath/Raw LiD	AR				
					TM14					
					DEM(s) DEM XML Meto	, data				
					Breakline(s)	adata				
					Breakline XML	Metadata				
Additional Comments:										

Collection Information

Configured Project Nominal Pulse Spacing:

Sensor Information:

Sensor Type:

Aerial

Sensor Used:

Optech Orion H300

Configured Scan Angle ± from nadir:

20 Degrees

Additional Comments:

Metadata Review Not Accepted

Vendor provided metadata files have been parsed using 'mp' metadata parser. Any errors generated by the parser are documented below for reference and/or corrective action. Parser can be found @ http://geo-nsdi.er.usgs.gov/validation/

The Swath XML Metadata parsed withouterrors.

Check if 'Best Use' metadata for NED: 🗌

The Classified XML Metadata parsed <u>without</u>errors.

Check if 'Best Use' metadata for NED: 🗌

The DEM XML Metadata parsed <u>without</u>errors.

Check if 'Best Use' metadata for NED: 🗌

The Breakline XML Metadata parsed without errors.

Check if 'Best Use' metadata for NED: 🗌

Additional Comments: According to version 13 one metadata file for classified, project and DEM is required. Classified and DEM metadata delivered by tile basis only. Project metadata missing. The parser was run on the tiled metadata for the classified and DEM at this time. **** Metadata files for all files were redelivered: DEM, Classed LAS, First Return, Hydro Breaklines, Intensity, Project. **** New metadata files do not exactly reflect data delivery; for example: Metadata states "Tall Grass," shapefile attribute table refers to "Long Grass"; the metadata also list extra classes not found in the point cloud: **23 Overlap - noise.**

Based on this review, the USGS does not accept the xml metadata provided.

End of Metadata Review

Vertical Accuracy Review Not Accepted

ASPRS recommends that checkpoint surveys be used to verify the vertical accuracy of LiDAR data sets. Checkpoints are to be collected by an independent survey firm licensed in the particular state(s) where the project is located. While subjective, checkpoints should be well distributed throughout the dataset. National Standards for Spatial Data Accuracy (NSSDA) guidance states that checkpoints may be distributed more densely in the vicinity of important features and more sparsely in areas that are of little or no interest. Checkpoints should be distributed so that points are spaced at intervals of at least ten percent of the diagonal distance across the dataset and at least twenty percent of the points are located in each quadrant of the dataset.

NSSDA and ASPRS require that a minimum of twenty checkpoints (thirty is preferred) are collected for each major land cover category represented in the LiDAR data. Checkpoints should be selected on flat terrain, or on uniformly sloping terrain in all directions from each checkpoint. They should not be selected near severe breaks in slope, such as bridge abutments, edges of roads, or near river bluffs. Checkpoints are an important component of the USGS QA process. There is the presumption that the checkpoint surveys are error free and the discrepancies are attributable to the LiDAR dataset supplied.

For this dataset, USGS checked the spatial distribution of checkpoints with an emphasis on the bare-earth (open terrain) points; the number of points per class; the methodology used to collect these points; and the relationship between the data supplier and checkpoint collector. When independent control data are available, USGS has incorporated this into the analysis.

Required Vertical Accuracy

• Yes • No					
REQUIRED FUNDAMENTAL VERTICAL	ACCURACY FOR S	WATH FILES			
Confidence Interval Required:	95	th % Cl			
Required Unit:	Centimeters				
Required # of checkpoints:	20				
Required RMSEz:	12.5				
Required Vertical Accuracy (RMSEz * .% CI)	24.5				
REQUIRED FUNDAMENTAL VERTICAL	ACCURACY FOR I	DEM FILES			
Confidence Interval Required:	95	th % Cl			
Required Unit:	Centimeters				
Required # of checkpoints:	20				
Required RMSEz:	12.5				
Required Vertical Accuracy (RMSEz * .% Cl)	24.5				
REQUIRED SUPPLEMENTAL VERTICAL	ACCURACY FOR	DEM FILES			
SVA Statistic Required: <u>Percentile</u>					
SVA Confidence Level/Percentile Required: 9	5				
Class		# of Checkpoints	95 th	SVA Required Percentile	
Low Grass		20	36.3	Centimeters	
Tall Grass		20	36.3	Centimeters	
Forest	20	36.3	Centimeters		
Urban		20	36.3	Centimeters	
REQUIRED CONSOLIDATED VERTICAL	ACCURACY FOR I	DEM FILES			
CVA Statistic Required: Percentile		4			
CVA Confidence Level/Percentile Required: 9.	5]			

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Total number of checkpoints: 100		
Required CVA: 36.3	Centimeters	at the 95 th Percentile
Additional Required Vertical Accuracy Information:		

Reported Vertical Accuracy

• Yes • No REPORTED FUNDAMENTAL VERTICAL			IIFS	
Confidence Interval Reported:	95	th % Cl		
Reported Unit:	Meters			
Reported # of checkpoints:	20			
Reported RMSEz:	0.046			
Reported Vertical Accuracy (RMSEz * .% Cl)	0.090			
REPORTED FUNDAMENTAL VERTICAL	ACCURACY FOR I	DEM FILES		
Confidence Interval Reported:	95	th % Cl		
Reported Unit:	Meters			
Reported # of checkpoints:	20			
Reported RMSEz:				
Reported Vertical Accuracy (RMSEz * .% 0.085				
REPORTED SUPPLEMENTAL VERTICA SVA Statistic Reported: <u>Percentile</u>	ACCURACY FOR	DEM FILES		
SVA Confidence Level/Percentile Reported: 9.	5]		
Class		# of Checkpoints	95 th	SVA Reported Percentile
Low Grass		22	0.117	Meters
Tall Grass		22	0.098	Meters
Forest		22	0.153	Meters
Urban		24	0.133	Meters
REPORTED CONSOLIDATED VERTICAL CVA Statistic Reported: <u>Percentile</u>	ACCURACY FOR	DEM FILES		
CVA Confidence Level/Percentile Reported: 9	5]		
Total number of checkpoints: 110		_		

)13

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Reported CVA: 0.123	Meters		at the	95 th Percentile	
Additional Reported Vertical Accuracy Information:					
Reviewed Vertical Ac	curacy				
● Yes ○ No	o an a o y				
CHECKPOINT REVIEW					
Checkpoints are well distribut	ed?		v		
Enough checkpoints for task o	order?		V		
Checkpoints meet USGS LiDAF quality?	R base-spec in	quantity and	v		
REVIEWED FUNDAMENTAL	VERTICAL A	ACCURACY FO	OR SWATH	I LIDAR FILES	
Confidence Interval Reviewed	:	95] th % CI	
Reviewed Unit:		Meters]	
Reviewed # of checkpoints:		20]	
Revie wed RMSEz:		0.046			
Reviewed Vertical Accuracy (F Cl)	RMSEz * .%	0.091			
REVIEWED FUNDAMENTAL	VERTICAL A	CCURACY FO	OR DEM F	LES	
Confidence Interval Reviewed	:	95		th % Cl	
Reviewed Unit:		Centimeters]	
Reviewed # of checkpoints:		22]	

Reviewed RMSEz:

Reviewed Vertical Accuracy (RMSEz *.% CI)

REVIEWED SUPPLEMENTAL VERTICAL ACCURACY SVA Statistic Reviewed, Percentile

SVA Statistic Reviewea: Percentile	_		
SVA Confidence Level/Percentile Reviewed: 95			
Class	# of Checkpoints	95 th	SVA Reviewed Percentile
Long Grass	22	7.9	Meters
Urban	24	12.7	Meters
Forest	22	15.1	Meters
REVIEWED CONSOLIDATED VERTICAL ACCURACY			
CVA Statistic Reviewed: Percentile			

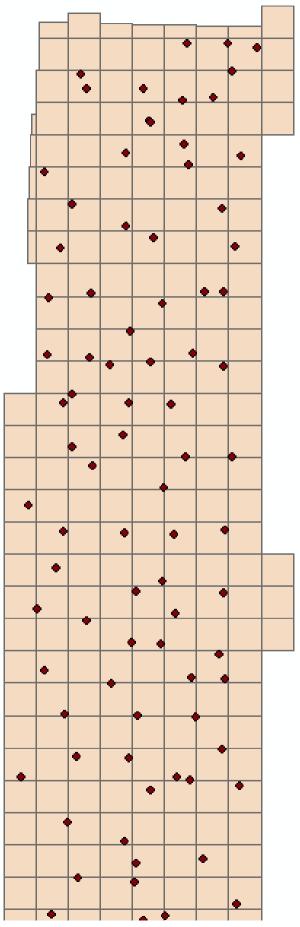
6.4

12.5

CVA Confidence Level/Percentile Reviewed: 95

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Total number oj	f checkpoints: 90	0				
Reviewed CVA:	13.7		Centime	ters	at the	95 th Percentile
				Checkpoint Distrib	ution Im	age



Internal Review

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Vertical Accuracy Results:

Additional Reviewed Vertical Accuracy Information:	Reviewer could not assess DEM vertical accuracy at this time. The checkpoints shapefile was delivered in UTM14 only and per liaison " USGS will want to use the UTM 15 data to match the existing tiles surrounding the project and be in the correct zone". DEM review was completed on data using UTM15. Since swath data delivered only in UTM14, reviewe was able to assess vertical accuracy using checkpoints delivered.
	Check to ensure that all reported vertical accuracies for swath and DEM are consistent across metadata and reports. ****Short grass is used for FVA testing. Long Grass is referred to in the Metadata as "Tall Grass."

Based on this review, the USGS does not accept the vertical accuracy.

End of Vertical Accuracy Review

Raw-Swath LiDAR Review Accepted

LAS swath files or raw unclassified LiDAR data are reviewed to assess the quality control used by the data supplier during collection. Furthermore, LAS swath data are checked for positional accuracy. The data supplier should have calculated the Fundamental Vertical Accuracy using ground control checkpoints measured in clear open terrain (*see Vertical Accuracy Review Section*).

Review Required: • Yes • No

RAW-SWATH LIDAR FILE CHARACTERISTICS

Separate folder for swath/raw LiDAR files

LAS Version: 1.2

Point Record Format: <u>1</u>

- \checkmark Each swath file \leq 2 GB and properly segmented
- Correct and properly formatted georeference information is included in all LAS file headers
- Adjusted GPS time used with the global encoder id set to 1
- Scan Angles conform to USGS base-spec recommendations
- All points set to class '0' (not classified)

Additional comments:

Swath for the project was delivered as UTM14N while rest of project is UTM15N. Since this is the only swath deliverable it was assessed by reviewer.

Based on this review, the USGS <u>accepts</u> the swath/raw LiDAR data.

End of Swath/Raw LiDAR Review

Tiled/Classified LiDAR Review Accepted

Classified LAS tile files are used to build digital terrain models using the points classified as ground. Therefore, it is important that the classified LAS are of sufficient quality to ensure that the derivative product accurately represents the landscape that was measured. Classified LAS Tiles are comprised as follows, "all project swaths, returns, and collected points, fully calibrated, adjusted to ground, and classified and cut, by tiles, excluding calibration swaths, cross-ties, and other swaths not used, or intended to be used, in product generation".

Review Required: • Yes • No CLASSIFIED LIDAR TILE CHARACTERISTICS

Separate folder for classified/tiled LiDAR files LAS Version: <u>1.2</u>

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Point Record Format: <u>1</u>

Classified LAS tile files conform to project tiling scheme

✓ Quantity of classified LAS tile files conforms to project tiling scheme

Classified LAS tile files do not overlap

✓ Classified LAS tile files are uniform in size

Correct and properly formatted georeference information is included in all LAS file headers

Adjusted GPS time used with the global encoder id set to 1

Classified LAS tile files have no points classified as '12' (Overlap)

Point classifications are limited to the standard values listed below:

Code	Description	Used
1	Processed, but unclassified	\checkmark
2	Bare-earth/Ground	\checkmark
7	Noise(low or high, manually identified, if needed)	
8	Model key points	
9	Water	
10	Ignored ground (breakline proximity)	
11	Withheld (if the "Withheld Bit" is not implemented in the processing software	

Additional Classes:

Class	Description		
17	Overlap Unclassified		
18	Overlap Bare-Earth		
23	Overlap Noise		
24	Overlap Water		
25	Overlap Ignored Ground		

Additional comments:

Final_1112109_Kansas_Report_1_27_2014 PDF Report states class 23 (Overlap Noise) will be used in classification scheme, however it is not listed in the point cloud statistics.

Based on this review, the USGS <u>accepts</u> classified/tiled LiDAR data.

End of Tiled/Classified LiDAR Review

Breakline Review Not Accepted

Breaklines are vector feature classes that are used to hydro-flatten the bare earth Digital Elevation Models.

Review Required: 🖲 Yes 🔿 No

BREAKLINE FILE CHARACTERISTICS:

Separate folder for breakline files.

Breaklines contain elevation values.

Elevation values stored in Geometery (ZEnabled)

Units: <u>Meters</u>

Waterbody Breaklines.

Polyline 🗌 Polygon 🗹

Single elevation value per waterbody feature.

Required.

Waterbody Elevations were created via Unknown

waterbody level techniques.

☑ Double Line Stream Breaklines (Streams Approximately > 100 ft).

Polyline 🗌 Polygon 🗹

Downstream DLS Flow is <u>Monotonic</u>

🗹 Required.

Single Line Breaklines.

Lines are:

🗌 Single Line Streams

Bridge Cuts

Culvert Connectors

Downstream SLS Flow is <u>Monotonic</u>

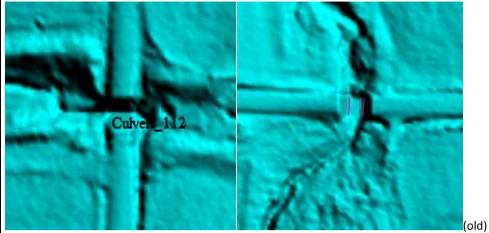
□ No missing or misplaced breaklines.

ADDITIONAL COMMENTS, ERRORS, ANOMALIES, OR OTHER ISSUES:

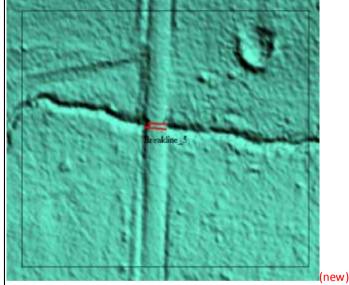
-During QA review, reviewer noted that some rivers in the DEM have been hydro-flattened that appear to be under fifty feet bank to bank, while others have not. Please review/comment. Corrected by vendor.

-Breaklines shapefile appears to be incomplete as some hydro-flattened water features are missing breaklines. Re-delivery of breakline file needed. Corrected by vendor.

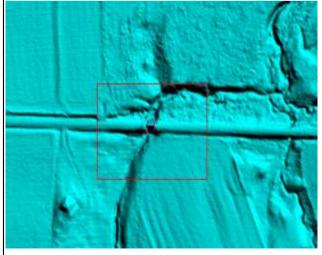
-Some bridges and culverts had breaklines while others did not (as shown below). Please comment. This problem still exists in some locations.



***** some breaklines still exist where culverts have been replaced (image below)



****some breaklines still at partially removed culverts? (image below)



Based on this review, the USGS does not accept the breakline files.

End of Breakline Review

DEM Review Not Accepted

The derived bare-earth file(s) receive a review of the vertical accuracies provided by the data supplier, vertical accuracies calculated by the USGS using supplied and independent checkpoints (*see the prior Vertical Accuracy Review Section*), and a thorough visual review for any anomalies or inconsistencies in assessing the quality of the DEM(s).

BARE-EARTH DEM TILE CHARACTERISTICS:

Separate folder for bare-earth DEM files

Raster File Type: <u>IMG</u>

Raster Cell Size: 1 Meters

Tile bit depth/pixel Type: 32_BIT_FLOAT

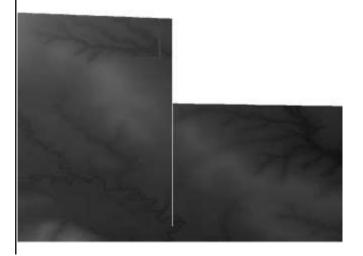
Interpolation or Resampling Technique: Unknown

- DEM tiles do not overlap
- ☑ DEM tiles conform to Project Tiling Scheme
- ✓ Quantity of DEM files conforms to Project Tiling Scheme
- ✓ DEM tiles are uniform in size

DEM tiles properly edge match and free of edge artifacts



Image from Global Mapper showing tile mismatch (above) and ArcMap (below). Corrected by vendor.



Tile mismatch between DEM tiles BE_15STC8550 and BE_15STC9050. Corrected by vendor.

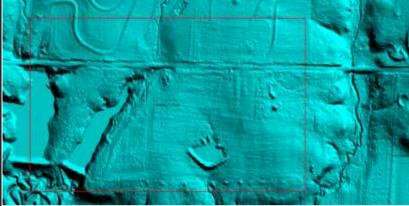
Other issue found:

*****Boundary shapefile does not conform to data extent (just follows the boundary of the tile extent)

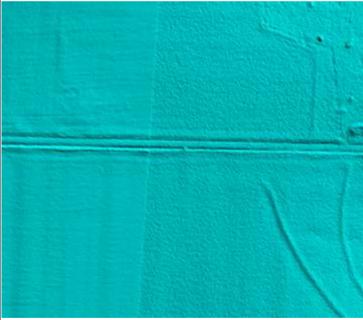
✓ Tiles are free from Spikes and Pits

Tiles are free from Data Holidays (voids due to processing or collection errors)

Tiles do not exhibit systematic sensor error or cornrowing

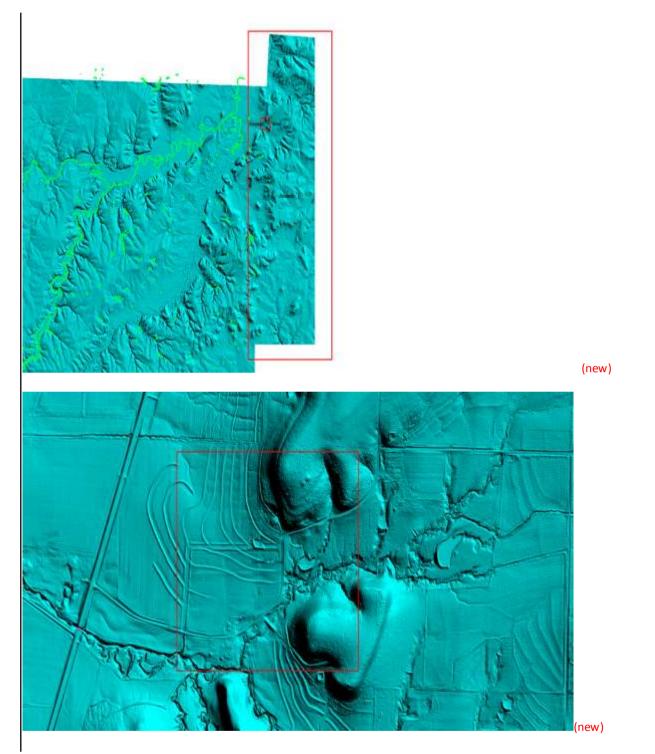


Anomalous error (Anomaly_9) showing different data resolution. Location: 37° 34' 18.9748" N, 95° 06' 11.3445" W ******Not corrected. Please recheck this.



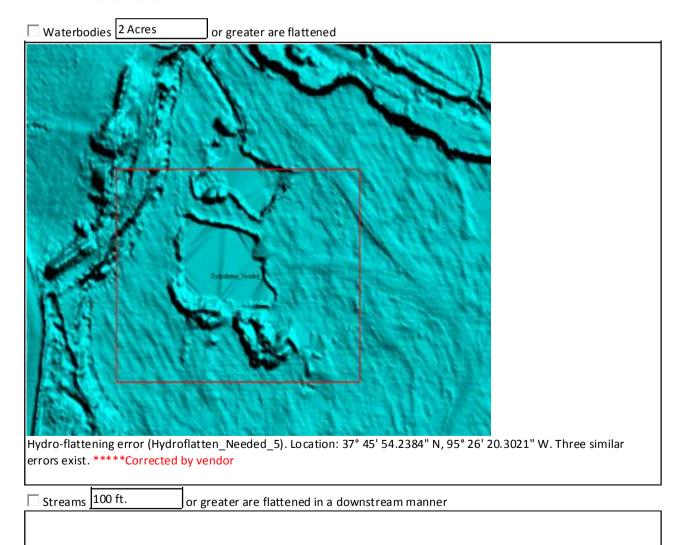
Global Mapper error image (above) ArcMap image

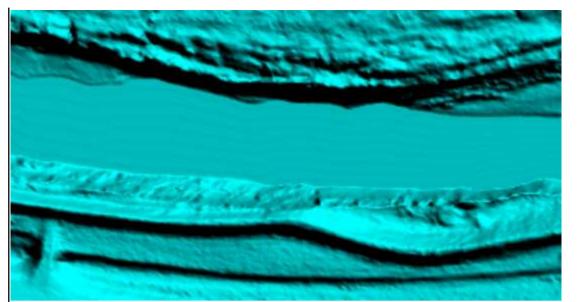
******This errors still exist. It seems to be endemic throughout the north-eastern corner of the dataset. Please recheck (see new error images below and in error shapefile).





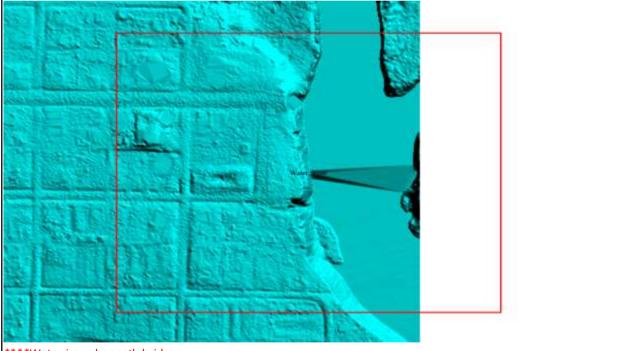
DEM tiles are properly Hydro Flattened C Yes Yes





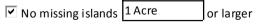
Several hydro-flattening errors exist within the streams. Image above is representative (Water_Issue_11). 15 of these error types exist; please review river system where errors exist.



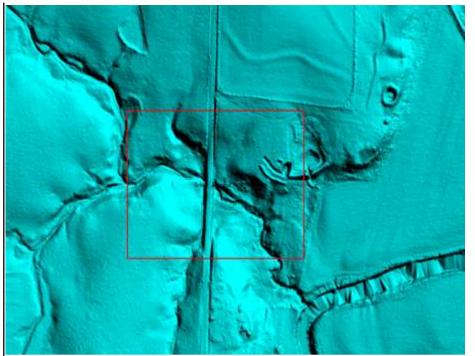


****Water issue beneath bridge.

✓ Tidal Boundaries/Shorelines are flattened

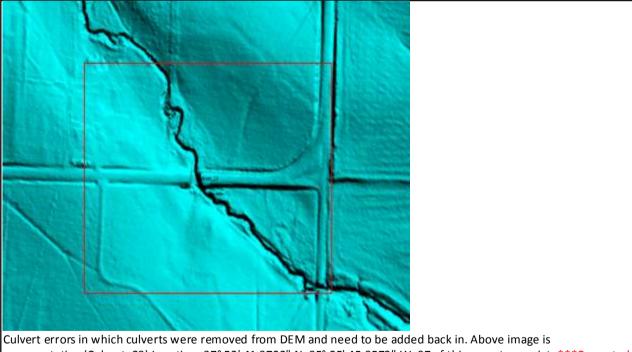


Bridges/Overpasses are properly removed



Several errors exist where bridges were not removed from DEM. Above image is representative (Bridge_Removal_6). Location: 37° 34' 39.3071" N, 95° 08' 34.2986" W***Corrected by vendor

Culverts are maintained (Not Hydro Enforced)



Culvert errors in which culverts were removed from DEM and need to be added back in. Above image is representative (Culvert_29) Location: 37° 52' 41.9722" N, 95° 25' 45.0572" W. 97 of this error type exist. ***Corrected by vendor

Depressions, Sinks, are not filled in (Not Hydro Conditioned)

✓ Vegetation properly removed

Annmade structures properly removed



Buildings not removed from DEM. The above image is representative of this error type (Building_Removal_1). Location: 38° 17' 21.8901" N, 95° 14' 43.5772" W. 4 of this error type exist. ****OK, thanks for info.

Tiles recommended for NED 1/3rd: ○ Yes. ● No. Tiles recommended for NED 1/9th: ○ Yes. ● No.

Based on this review, the USGS does not accept the DEM tiles.

End of DEM Review

Based on this review, the provided delivery <u>Select...</u> the Contract and/or Task Order requirements. Additional Comments:

INTERNAL COMMENTS

END OF REPORT (v2.1.1)