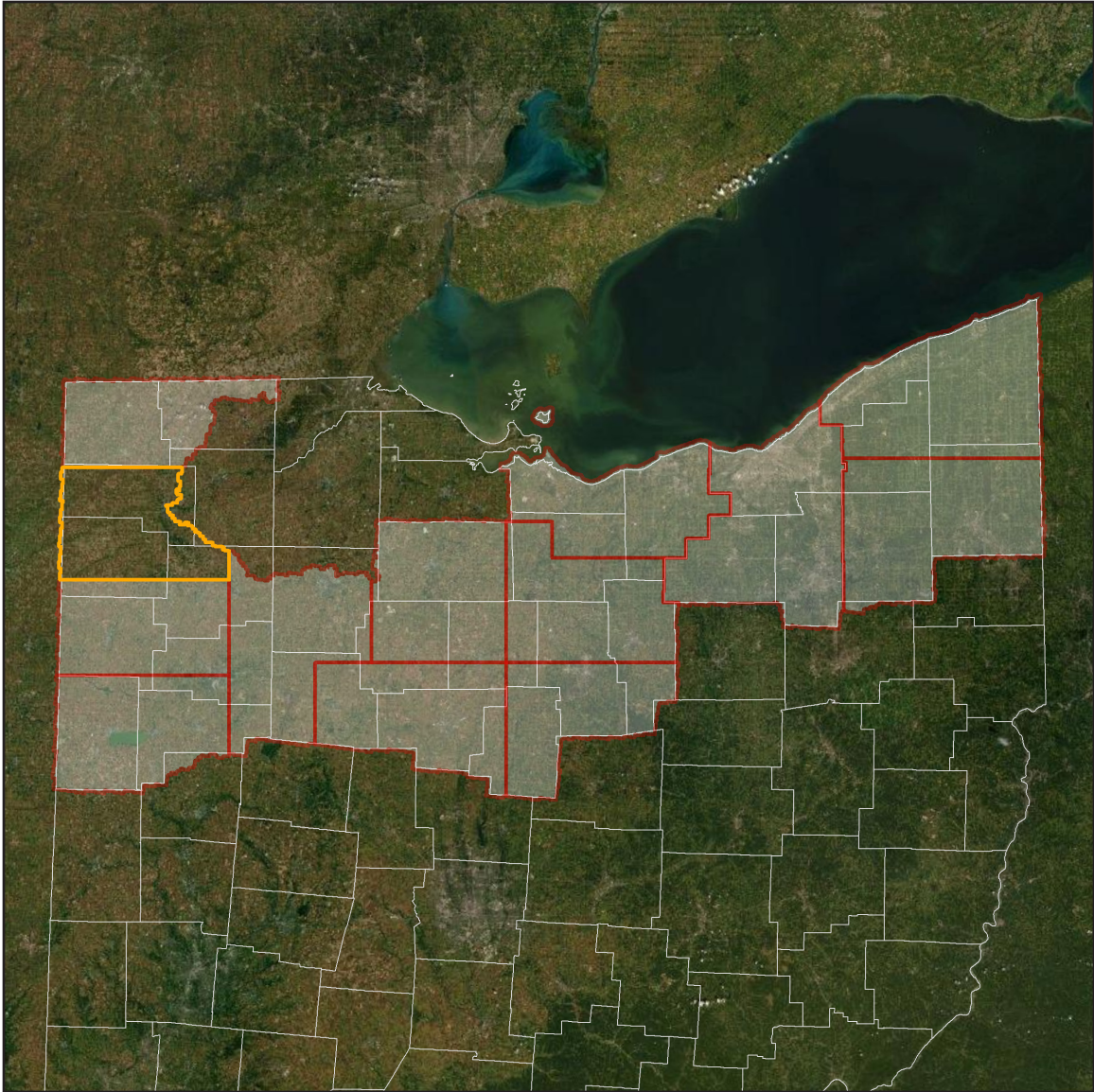


OH Statewide Phase 1 2019 B19

Block 11

Airborne Lidar Report

September 2020



Contract # G16PC00022
Task Order # 140G0219F0279



Contractor Woolpert
Project # 79574

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1. Overview

About

This project contains a comprehensive outline of the 140G0219F0279 OH Statewide Phase 1 2019 B19 task order issued by the United States Geological Survey's National Geospatial Technical Operations Center (USGS-NGTOC). This task order called for the acquisition and processing of QL1 data over 13 blocks that total approximately 12,808.6 square miles in northern Ohio.

This report encompasses the Block 11 area of interest. This AOI totals approximately 793 square miles and includes the following counties:

- Defiance
- Henry
- Paulding
- Putnam

Purpose

This project will support the 3DEP mission, the Natural Resources Conservation Service (NRCS) high resolution elevation enterprise program and the Federal Emergency Management Agency (FEMA) Risk Mapping, Assessment and Planning (MAP) program.

Specifications

Data for this task order was acquired and produced to meet USGS Lidar Base Specification 1.3 standards and the American Society of Photogrammetry and Remote Sensing (ASPRS) Positional Accuracy Standards for Digital Geospatial Data (Edition 1, Version 1.0).

Spatial Reference

Geospatial data products were produced using the following horizontal and vertical spatial data reference system.

Table 1-1. Spatial Reference System

| | | |
|-------------------|--------------------|------------------------------------|
| Horizontal | EPSG Code | 6549 |
| | Datum | NAD83 (2011) |
| | Projection | State Plane Ohio North (FIPS 3401) |
| | Units | US Survey Feet |
| Vertical | Datum | NAVD88 |
| | Geoid | GEOID12B |
| | Units | US Survey Feet |
| | Height Type | Orthometric |

Task Order Deliverables

All data products produced as part of this task order are listed below. All tiled deliverables had a tile size of 1,250-feet x 1,250 feet. Tile names are derived from the Ohio (OGRIP) naming schema.

Table 1-2. Project Deliverables

| Lidar Data | |
|--|---|
| Classified lidar point cloud data | Tiles in .las v1.4 format Classes <ul style="list-style-type: none"> • 1 – Processed, not Classified • 2 – Ground • 7 – Noise • 9 – Water • 17 – Bridge Decks • 18 – High Noise • 20 – Ignored Ground |
| Breaklines used for hydro-flattening | <ul style="list-style-type: none"> • Lake and River features as feature classes in an Esri file geodatabase <ul style="list-style-type: none"> • Water bodies greater than 2 acres as polygon features • Rivers 30.5 meters / 100 feet and greater in width as polyline features • Bridges used in DEM generation as point features in Esri shapefile format |
| Hydro-flattened bare earth digital elevation model (DEM) | 1.25-foot pixel size, 32-bit floating-point; no bridges or overpass structures GeoTIFF format |
| Intensity Imagery | 1.25-foot pixel size, 8-bit gray-scale (linear rescaling from 16-bit intensity) GeoTIFF format |
| Flight Line Index | Polygon features in an Esri file geodatabase |
| Control Data | |
| Lidar calibration points | Esri shapefile format |
| Lidar NVA checkpoints | Esri shapefile format |
| Lidar VVA checkpoints | Esri shapefile format |
| Other Data | |
| Tile Index | Esri shapefile format |
| Inter-Swath and Intra-Swath Test Results | Esri shapefile format |
| Metadata and Reports | |
| Metadata | Deliverable-level FGDC CSDGM/USGS MetaParser Compliant metadata in .xml format |
| Lidar Project Report | Project report with flight logs in .pdf format |
| Survey Report | Survey report in .pdf format |

Figure 1-1. Project Area

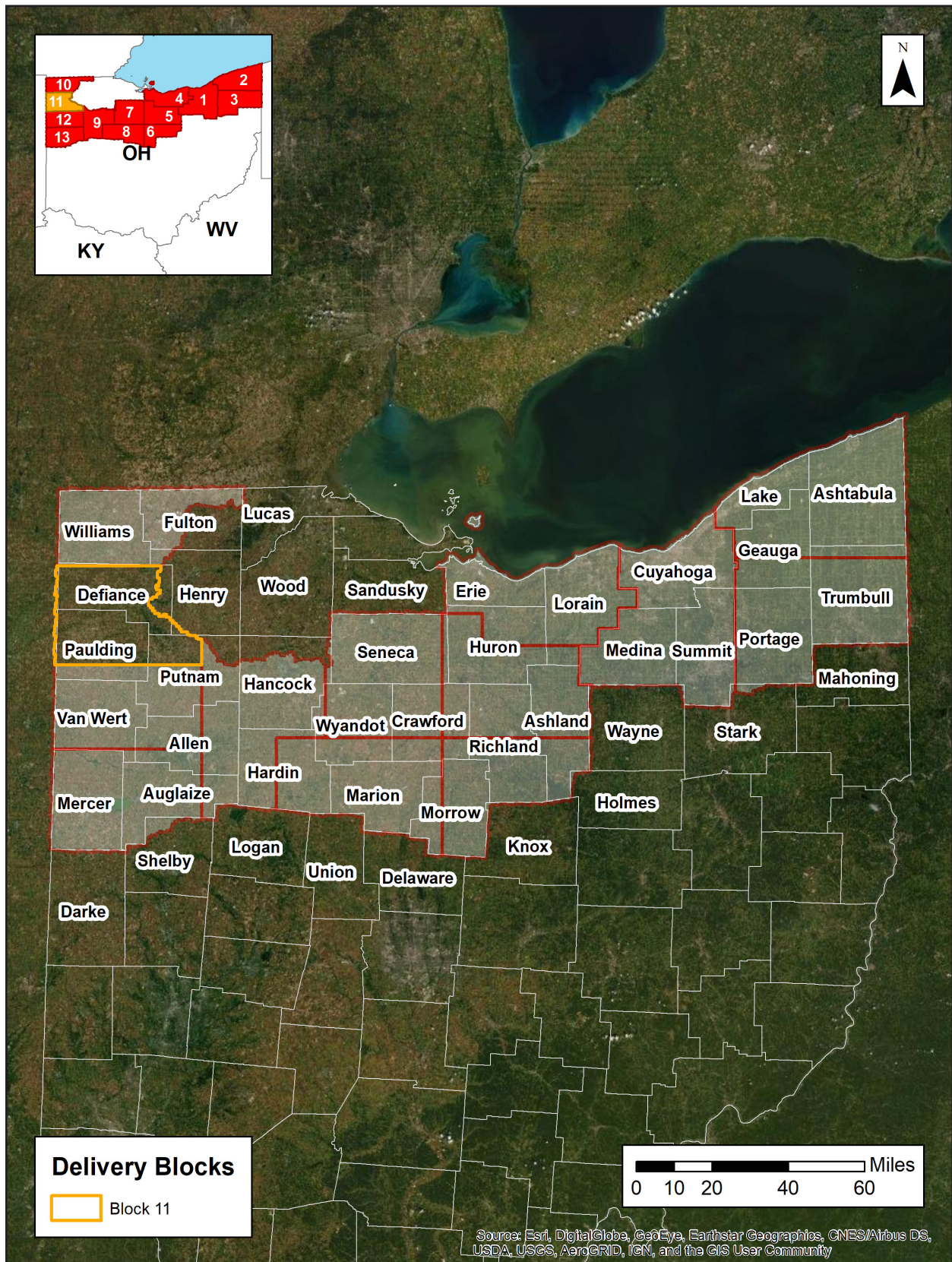
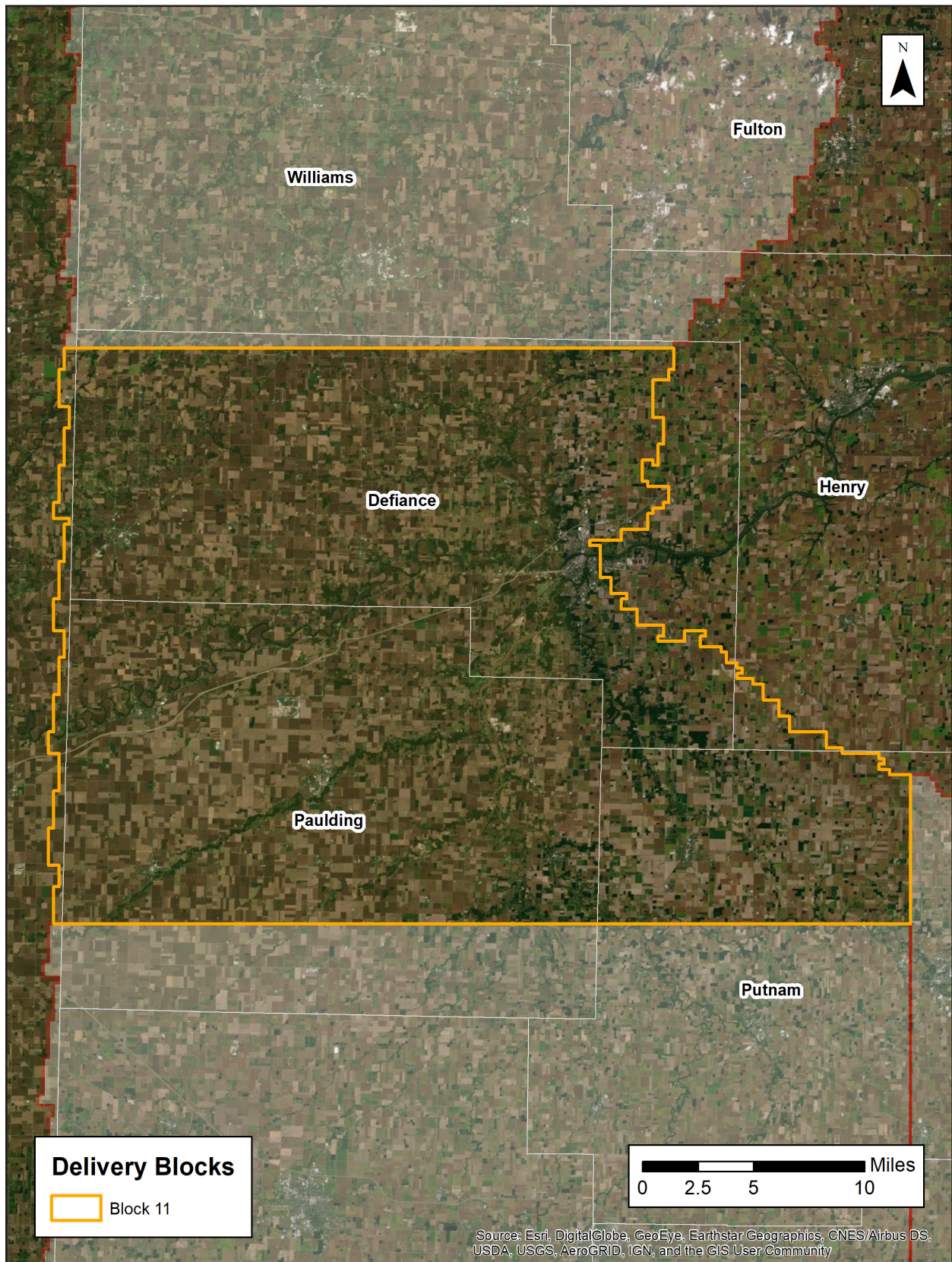


Figure 1-2. Project Area Block 11



2. Acquisition

Flight Planning

Aerial lidar data for this project was collected using the specifications listed below.

Table 2-1. Acquisition Requirements

| Specification | Target |
|------------------------|---|
| Resolution | 8 points per square meter 0.35-meter nominal point spacing |
| Overlap | At contractor's discretion, but enough to ensure there are no data gaps between usable portions of the swath and nominal point density is achieved |
| Acquisition Window | Fall 2019 / Winter 2020 leaf-off window (through April 2020) |
| Data Voids | Not allowed except <ul style="list-style-type: none"> • Where caused by water bodies • Where caused by areas of low near infra-red (NIR) reflectivity (i.e. asphalt or composition roofing) • Where caused by lidar shadowing from buildings or other features • Where appropriately filled-in by another swath |
| Acquisition Conditions | <ul style="list-style-type: none"> • Cloud and fog-free between the aircraft and ground • Ground is snow free • Ground has no unusual flooding or inundation, except in cases where the goal of the collection is to map the inundation • Preference of vegetation is leaf-off • Time of day is not of concern |
| Control | Airborne Global Positioning System (ABGPS) and Inertial Measurement Unit (IMU) data to be used along with differentially-corrected GPS ground control points. |

Lidar Sensor Information

Aerial lidar data was acquired for this project using the Leica Terrain Mapper lidar sensor system. A total of 40 flight lines were collected for this project.

Table 2-2. Leica Terrain Mapper Sensor Info

| Sensor Specifications | |
|--|--|
| Operating Altitude (m AGL) | 300 - 5,500 at 10% reflective target |
| Maximum Measurement Rate (kHz) | 2,000 |
| Scan Angle | 20 - 40 |
| Scan Width | Up to 70% of flight altitude |
| Scan Frequency | Programmable up to 125 Hz (7,500 RPM), 250 scan lines per second |
| Number of Returns | 15 |
| Number of intensity measurements | 15 |
| Pulse Mode(s) | Up to 35 pulses in air |
| Laser Specifications | |
| Laser Beam Divergence | 0.25 mrad (1/e) |
| Laser Classification | Class 4 laser product |
| Accuracy | |
| Range Resolution | < 1 cm RMS |
| Elevation Accuracy | < 5 cm 1 σ |
| Horizontal Accuracy | < 13 cm 1 σ |
| Physical Specifications | |
| Size (cm), Weight (kg) • Scanner • Control Electronics | <ul style="list-style-type: none"> • 37 W x 68 L x 26 H cm, 47 kg • 45 W x 47 D x 25 H cm, 33 kg |
| Operating Temperature • Scanner • Control Electronics | <ul style="list-style-type: none"> • 0 - 40°C cabin-side temperature • 0 - 40°C |
| Flight Management | Leica FlightPro |
| Power Consumption | 922 W @ 22.0 – 30.3 VDC |

Source: Leica TerrainMapper Data Sheet

<https://leica-geosystems.com/en-US/products/airborne-systems/topographic-lidar-sensors/leica-terrainmapper>

GNSS and IMU Equipment

Prior to mobilizing to the project site, flight crews coordinated with the necessary air traffic control personnel to ensure airspace access. Crews were on-site, operating a Global Navigation Satellite System (GNSS) Base Station for the airborne GPS support.

Flight navigation during acquisition was performed using IGI CCNS (Computer Controlled Navigation System). The pilots are skilled at maintaining their planned trajectory, while holding the aircraft steady and level. If atmospheric conditions are such that the trajectory, ground speed, roll, pitch and/or heading cannot be properly maintained, the mission is aborted until suitable conditions occur.

Base stations were set by acquisition staff and was used to support the aerial data acquisition. See the table below for stations operated during acquisition.

Table 2-3. GNSS Base Stations

| Station Name | Latitude (DMS) | Longitude (DMS) | Ellipsoid Height L1 Phase Center (Meters) |
|--------------|-------------------|-------------------|---|
| GARF_CORS | 41° 24' 56.78161" | 81° 36' 53.60423" | 354.314 |
| GUST_CORS | 41° 27' 45.87329" | 80° 42' 58.24972" | 283.272 |
| KNTN_CORS | 40° 37' 49.64021" | 83° 36' 53.28035" | 266.056 |
| MTVR_CORS | 40° 22' 56.57516" | 82° 30' 38.38039" | 286.605 |
| OHAL_CORS | 40° 46' 09.73944" | 84° 06' 25.04574" | 235.117 |
| OHAS_CORS | 41° 55' 30.22146" | 80° 33' 03.84441" | 181.661 |
| OHDT_CORS | 39° 45' 53.06211" | 84° 10' 50.33473" | 196.642 |
| OHHA_CORS | 41° 02' 27.93405" | 83° 40' 33.46888" | 210.082 |
| OHHU_CORS | 41° 10' 36.35195" | 82° 33' 40.91087° | 254.565 |
| OHLA_CORS | 41° 43' 35.53476" | 81° 17' 11.05630" | 163.494 |
| OHLC_CORS | 41° 43' 16.40562" | 83° 31' 34.58723" | 151.929 |
| OHMA_CORS | 40° 36' 49.73829" | 83° 04' 55.32889" | 257.026 |
| OHMN_CORS | 41° 01' 24.70500" | 80° 46' 21.63976" | 328.747 |
| OHMR_CORS | 40° 32' 45.58334" | 84° 37' 50.63693" | 236.812 |
| OHRI_CORS | 40° 46' 05.33418" | 82° 33' 38.35490" | 365.49 |
| OHSB_CORS | 41° 38' 11.21597" | 82° 49' 47.18063" | 148.449 |
| TIFF_CORS | 41° 04' 29.89642" | 84° 09' 01.41466" | 211.729 |

Timeline

Lidar data Block 11 was collected from November 18, 2019 through February 19, 2020. Acquisition specifications are listed in the table below. An initial quality control process was immediately performed on to review the data coverage, airborne GPS data, and trajectory solution.

For more information, see the Flight Logs in Appendix 1.

Table 2-4. Project Acquisition Specifications

| Settings | Leica TerrainMapper QL1 |
|----------------------------------|-------------------------|
| Max. Number of Returns | 15 |
| Nominal Point Spacing | 0.35 m |
| Nominal Point Density | 8 ppsm |
| Flying Height Above Ground Level | 2,000 m |
| Flight Speed | 160 knots |
| Scan Angle | 40° |
| Scan Rate Used | 150 Hz |
| Pulse Rate Used | 1,600 kHz |
| Multi-Pulse in Air | Enabled |
| Swath Width | 1,456 m |
| Swath Overlap | 25% |

Acquisition Quality Assurance

Woolpert developed a quality assurance and validation plan to ensure the acquired lidar data meets the USGS Base Specification Version 1.3. For quality assurance purposes, the lidar data was processed immediately following acquisition to verify the coverage has appropriate density, distribution, and no unacceptable data voids. Accompanying GPS data was post processed using differential and Kalman filter algorithms to derive a best estimate of trajectory. The quality of the solution was verified to be consistent with the accuracy requirements of the task order. Any required re-flights were scheduled at the earliest opportunity.

The spatial distribution of the geometrically usable first return lidar points was reviewed for density requirements as well as regular and uniform point distribution - verifying the lidar data is spaced so that 90% of the cells in a 2*NPS grid placed over the data contain at least one lidar point. The NPS assessment is made against single swath, first return data located within the geometrically usable center portion (typically ~90%) of each swath. Additionally, the data was reviewed for unacceptable data voids – verifying no area greater than or equal to $(4 \times \text{ANPS})^2$ exhibited data coverage gaps.

3. Processing

Processing Summary

Once the lidar data passed initial QC, the dataset was corrected for aircraft orientation and movement. This process used airborne inertial, orientation, and GPS data collected during acquisition along with ground-based GPS data. The data went through a geometric calibration that further corrected each laser point. This calibrated data set was used to create the LAS point cloud. The LAS point data was initially classified into “ground” and “non-ground”, then further refined using the classes specified in this task order. Breaklines were drawn to denote hydrological features. After the hydro-flattening process, the final deliverables products were created.

GNSS-IMU Trajectory Processing

Kinematic corrections for the aircraft position were resolved using aircraft GPS and static ground GPS (1-Hz) for each geodetic control (base station) for three subsystems: inertial measurement unit (IMU), sensor orientation information, and airborne GPS data.

Post-processing of the IMU system data and aircraft position with attitude data was completed to compute an optimally accurate, blended navigation solution based on Kalman filtering technology, or the smoothed best estimate of trajectory (SBET).

Software: POSPac Software v. 5.3, IPAS Pro v.1.35., Novatel Inertial Explorer v8.60.6129

Trajectory Quality

The GNSS trajectory and high-quality IMU data are key factors in determining the overall positional accuracy of the final sensor data. Within the trajectory processing, there are many factors that affect the overall quality, but the most indicative are the combined separation, the estimated positional accuracy, and the positional dilution of precision (PDOP).

Combination Separation

Combined separation is a measure of the difference between the forward-run and the backward-run solution of the trajectory. The Kalman filter was processed in both directions to remove the combined directional anomalies. In general, when these two solutions match closely, an optimally accurate and reliable solution is achieved.

The data for this task order was processed with a goal to maintain a combined separation difference of less than ten (10) centimeters.

Estimated Positional Accuracy

Estimated positional accuracy plots the standard deviations of the east, north, and vertical directions along a time scale of the trajectory. It illustrates loss of satellite lock issues, as well as issues arising from long baselines, noise, and/or other atmospheric interference.

PDOP

The PDOP measures the precision of the GPS solution in regard to the geometry of the satellites acquired and used for the solution.

The data for this task order was processed with a goal to maintain an average PDOP value below 3.0. Brief periods of PDOP over 3.0 are acceptable due to the calibration and control process if other metrics are within specification.

Geometric Calibration

After the initial phase was complete, a formal reduction process was performed on the data. Laser point position was calculated by associating the SBET position to each laser point return time, scan angle, intensity, etc. Raw laser point cloud data was created for the whole project area in LAS format. Automated line-to-line calibrations were then performed for system attitude parameters (pitch, roll, heading), mirror flex (scale) and GPS/IMU drift. Statistical reports were generated for comparison and used to make the necessary adjustments to remove any residual systematic error.

Software: Proprietary Software, TerraMatch v20, Leica CloudPro 1.2.4

Lidar Data Classification

LAS data was classified as ground and non-ground points with additional filters created to meet the task order classification specifications. Statistical absolute accuracy was assessed via direct comparisons of ground classified points to ground RTK survey data. Based on the statistical analysis, the lidar data was then adjusted to reduce the vertical bias when compared to the survey ground control of higher accuracy.

Calibrated LAS files were imported into the task order tiles and initially filtered to create a ground and non-ground class. Then additional classes were filtered as necessary to meet the following client-specified classes:

- Class 1 – Default / Processed, but not Classified
- Class 2 – Bare Earth Ground
- Class 7 – Low Noise
- Class 9 – Water
- Class 17 – Bridge Decks
- Class 18 – High Noise
- Class 20 – Ignored Ground

Classified LAS files were evaluated through a series of manual QA/QC steps as well as a peer-based review to eliminate remaining artifacts from the ground class. This included a review of the DEM surface to remove artifacts and ensure topographic quality.

Software: Proprietary Software, TerraScan v20

Hydrologic Flattening

The lidar task order required compilation of breaklines defining the following types of water body features:

| | |
|--------------------------|---|
| Lakes, reservoirs, ponds | Minimum of 2-acres or greater Compiled as closed polygons, collected at a constant elevation |
| Rivers, streams | Nominal width of 30.5 meters / 100 feet Compiled in direction of flow, with both sides maintaining an equal elevation gradient |
| Bridge breaklines | Breaklines used to enforce a logical terrain surface below a bridge |

Woolpert utilized the following steps to hydrologically flatten the water bodies and for gradient hydrologic flattening of the double line streams within the existing lidar data:

1. The newly acquired lidar data was utilized to manually compile the hydrologic features in a 2D environment using the lidar intensity and bare earth surface. Open Source imagery was used as reference when necessary.
2. An integrated software approach was applied to combine the lidar data and 2D breaklines. This process “drapes” the 2D breaklines onto the 3D lidar surface model to assign an elevation. A monotonic process is performed to ensure the streams are consistently flowing in a gradient manner. A secondary step within the program verifies an equally matching elevation of both stream edges. The breaklines that characterize the closed water bodies are draped onto the 3D lidar surface and assigned a constant elevation at or just below ground elevation.
3. All classified ground points from inside the hydrologic feature polygons were reclassified to water, class nine (9).
4. All classified ground points were reclassified from within a buffer along the hydrologic feature breaklines to buffered ground, class twenty (20). The buffer distance was approximately the task order designed nominal pulse spacing distance.
5. Breaklines used for bridge removal during the hydrologic flattening were included with the hydrologic breakline geodatabase deliverable. The purpose of these breaklines is for a more aesthetically pleasing DEM appearance.
6. The lidar ground points and breaklines were used to generate a digital elevation model (DEM).
7. QA/QC for this task was performed by reviewing the hydrologically flattened DEM and hydrologic breakline features. Additionally, a combined approach utilizing commercial off the shelf software and proprietary methods were used to review the overall connectivity of the hydrologic breaklines.

TerraScan was used to add the hydrologic breakline vertices and export the lattice models.

Breaklines defining the water bodies greater than 2-acres were provided as polygon features. Rivers and streams with a nominal minimum width of 30.5 meters (100 feet) were provided as polyline features. All lake and river breaklines compiled as part of the flattening process were provided in an Esri file geodatabase.

Breaklines used for DEM generation were provided as point features in Esri shapefile format.

Software: TerraScan v20, TerraModeler v20, Esri ArcMap v10.7, LP360 v2019.1.30.4

Digital Elevation Model

TerraScan was used to add the hydrologic breakline vertices and export the lattice models. Class 2 (ground) lidar points in conjunction with the hydro breaklines and bridge breaklines were used to create 1.25-foot hydro-flattened bare-earth raster DEM files. Using automated scripting routines within ArcMap, a 32-bit floating point raster GeoTIFF file was created for each tile. Files were produced to the full extent of the tile boundaries. Each surface is reviewed using Global Mapper to check for any surface anomalies or incorrect elevations found within the surface.

Software: TerraScan v20, Esri ArcMap v10.7, Global Mapper v20.0

Intensity Imagery

Lidar intensity data derived from the acquired lidar data was linearly rescaled from 16-bit intensity and provided as 1.25-foot pixel, 8-bit, 256 gray scale GeoTIFF format intensity imagery files. Files were produced to the full extent of the tile boundaries.

Software: TerraScan v20, Esri ArcMap v10.7

Metadata

FGDC CSDGM/USGS MetaParser-compliant metadata was produced in XML format. The metadata includes a complete description of the task order client information, contractor information, project purpose, lidar acquisition and ground survey collection parameters, lidar acquisition and ground survey collection dates, spatial reference system information, data processing including acquisition quality assurance procedures, GPS and base station processing, geometric calibration, lidar classification, hydrologic flattening, intensity imagery development, and final product development.

Other metadata deliverables included Esri shapefiles of the ground control and QA/QC points, data extent, and delivery tile index. A georeferenced, polygonal representation of the detailed extents of each acquired lidar swath was produced as a polygon feature class in an Esri file geodatabase.

4. Accuracy Assessment

Horizontal Accuracy

The data sets was produced to meet ASPRS “Positional Accuracy Standards for Digital Geospatial Data” (2014) for a Positional Horizontal Accuracy = +/- 36.4 cm at a 95% confidence level.

Raw Lidar Swath Testing

This project required the lidar point cloud swath to be produced to meet a Non-Vegetated Vertical Accuracy (NVA) value of 19.6 cm at a 95% confidence level using an RMSEz target value of 10 cm x 1.9600.

Digital Elevation Model Testing

This project required DEM data to be produced to meet a Non-Vegetated Vertical Accuracy (NVA) value of 19.6 cm at a 95% confidence level using an RMSEz target value of 10 cm x 1.9600 and a Vegetated Vertical Accuracy (VVA) value of 0.30 cm at the 95th percentile error.

Appendix 1: Flight Logs

