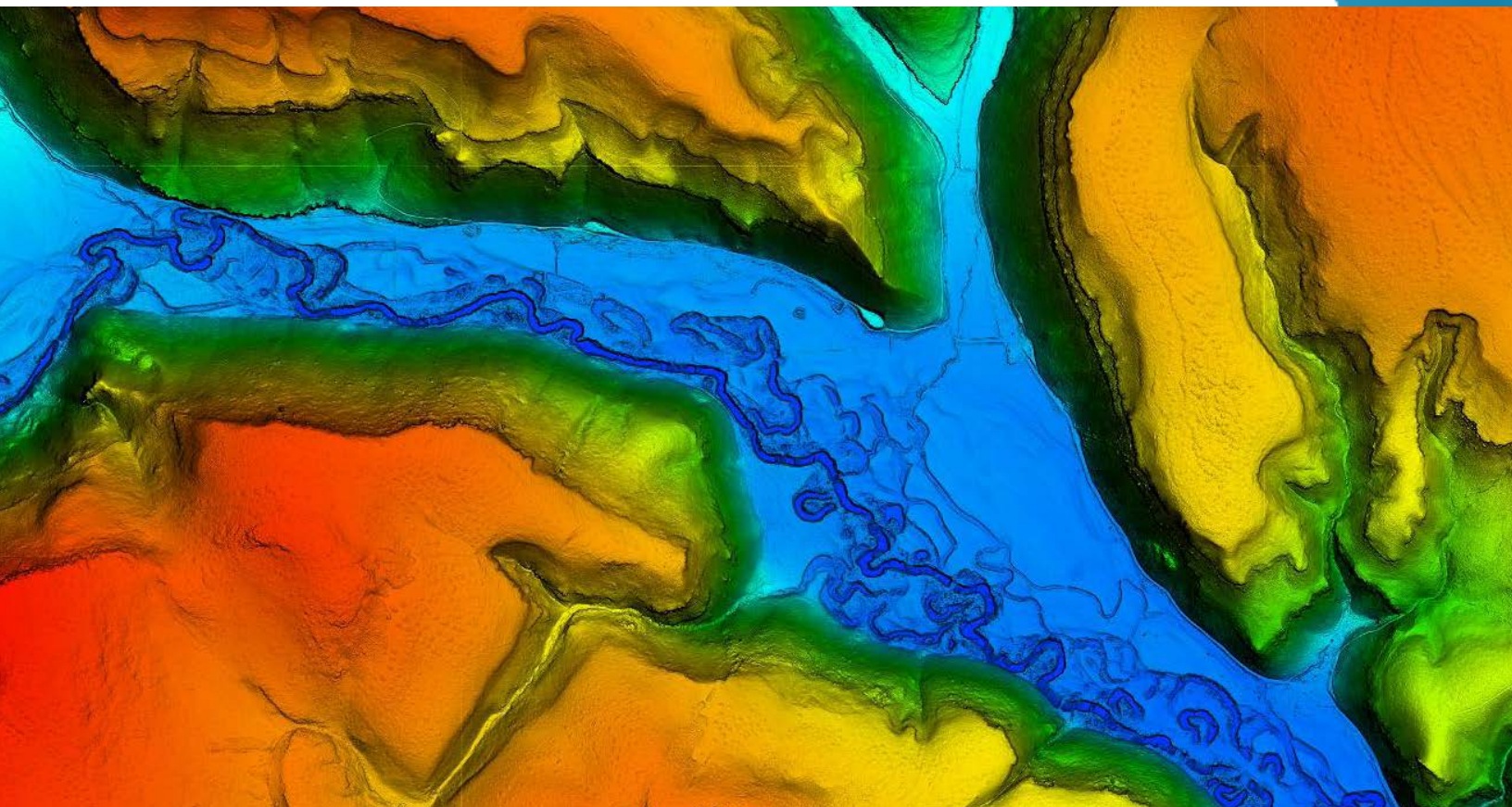




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OR\_NRCS\_USGS\_2019\_DI9  
LIDAR PROCESSING REPORT

Work Package: 183621  
Work Unit: 183736

2020

Submitted: October 23, 2021

Prepared for:



1400 Independence Road  
Rolla, MO 65401

573.308.3500

Prepared by:



523 Wellington Way, Suite 375  
Lexington, KY 40503

859.277.8700

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# 1. Summary / Scope

## 1.1. Summary

This report contains a summary of the OR\_NRCS\_USGS\_2019\_D19, Work Unit 183736 LiDAR acquisition task order, issued by USGS under their Contract G16PC00016 on September 12, 2019. The task order yielded a project area covering approximately 1,265 square miles over Oregon. The intent of this document is only to provide specific validation information for the data acquisition/collection, processing, and production of deliverables completed as specified in the task order.

## 1.2. Scope

Aerial topographic LiDAR was acquired using state of the art technology along with the necessary surveyed ground control points (GCPs) and airborne GPS and inertial navigation systems. The aerial data collection was designed with the following specifications listed in Table 1 below.

Table 1. Originally Planned LiDAR Specifications

Average Point Density	Flight Altitude (AGL)	Field of View	Minimum Side Overlap	RMSEz
8 pts / m <sup>2</sup>	2085 m	58.5°	55%	≤ 10 cm

## 1.3. Coverage

The project boundary covers 1,265 square miles over Oregon. Project extents are shown in Figure 1.

## 1.4. Duration

LiDAR data was acquired from October 10, 2019 to November 2, 2019 in eleven total lifts. See “Section: 2.4. Time Period” for more details.

## 1.5. Issues

There were no major issues to report for this project.

<b>OR_NRCS_USGS_2019_D19 Work Unit 183736</b> <b>Projected Coordinate System: Oregon Statewide Lambert</b> <b>Horizontal Datum: NAD83(2011)</b> <b>Vertical Datum: NAVD88 (GEOID 12b)</b> <b>Units: International Feet</b>	
<b>Lidar Point Cloud</b>	<b>Classified Point Cloud in .LAS 1.4 format</b>
<b>Rasters</b>	<ul style="list-style-type: none"> <li>• 3-foot Hydro-flattened Bare Earth Digital Elevation Model (DEM) in GeoTIFF format</li> <li>• 3-foot Intensity images in GeoTIFF format</li> </ul>
<b>Vectors</b>	<b>Shapefiles (*.shp)</b> <ul style="list-style-type: none"> <li>• Project Boundary</li> <li>• LiDAR Tile Index</li> </ul> <b>Geodatabase (*.gdb)</b> <ul style="list-style-type: none"> <li>• Continuous Hydro-flattened Breaklines</li> </ul>
<b>Reports</b>	<b>Reports in PDF format</b> <ul style="list-style-type: none"> <li>• Focus on Delivery</li> <li>• Processing Report</li> </ul>
<b>Metadata</b>	<b>XML Files (*.xml)</b> <ul style="list-style-type: none"> <li>• Breaklines</li> <li>• Classified Point Cloud</li> <li>• DEM</li> <li>• Intensity Imagery</li> </ul>



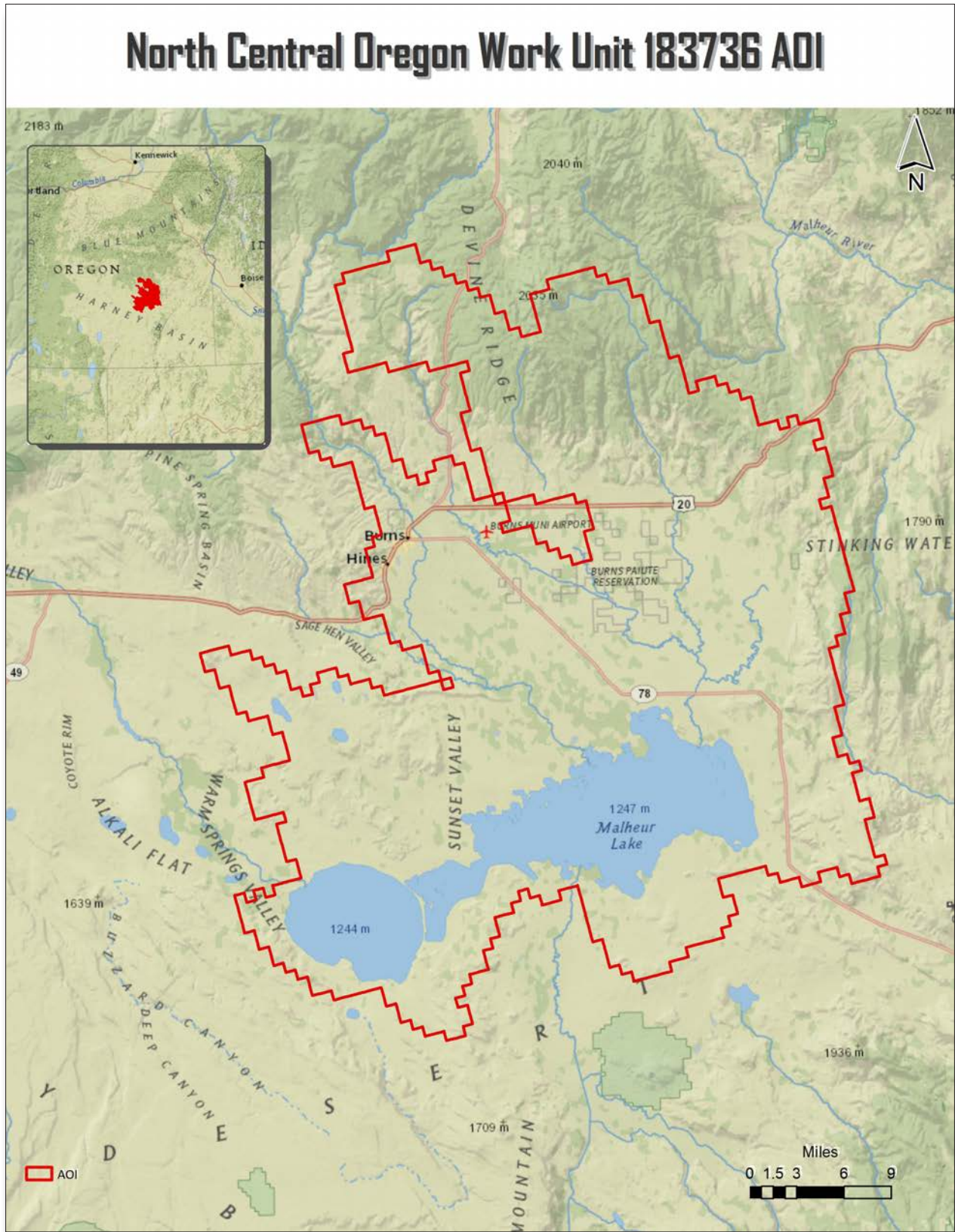


Figure 1. Project Boundary

## 2. Planning / Equipment

### 2.1. Flight Planning

Flight planning was based on the unique project requirements and characteristics of the project site. The basis of planning included: required accuracies, type of development, amount / type of vegetation within project area, required data posting, and potential altitude restrictions for flights in project vicinity.

Detailed project flight planning calculations were performed for the project using RiPARAMETER planning software. Planned flight lines are shown in Figure 2.

### 2.2. LiDAR Sensor

Quantum Spatial utilized a Riegl VQ1560i LiDAR sensor (Figure 3), serial numbers 3546 and 4040 for data acquisition.

The Riegl 1560i system has a laser pulse repetition rate of up to 2 MHz resulting in more than 1.3 million measurements per second. The system utilizes a Multi-Pulse in the Air option (MPIA). The sensor is also equipped with the ability to measure up to an unlimited number of targets per pulse from the laser.

A brief summary of the aerial acquisition parameters for the project are shown in the LiDAR System Specifications in Table 2.



# North Central Oregon Work Unit 183736 Planned Flight Lines

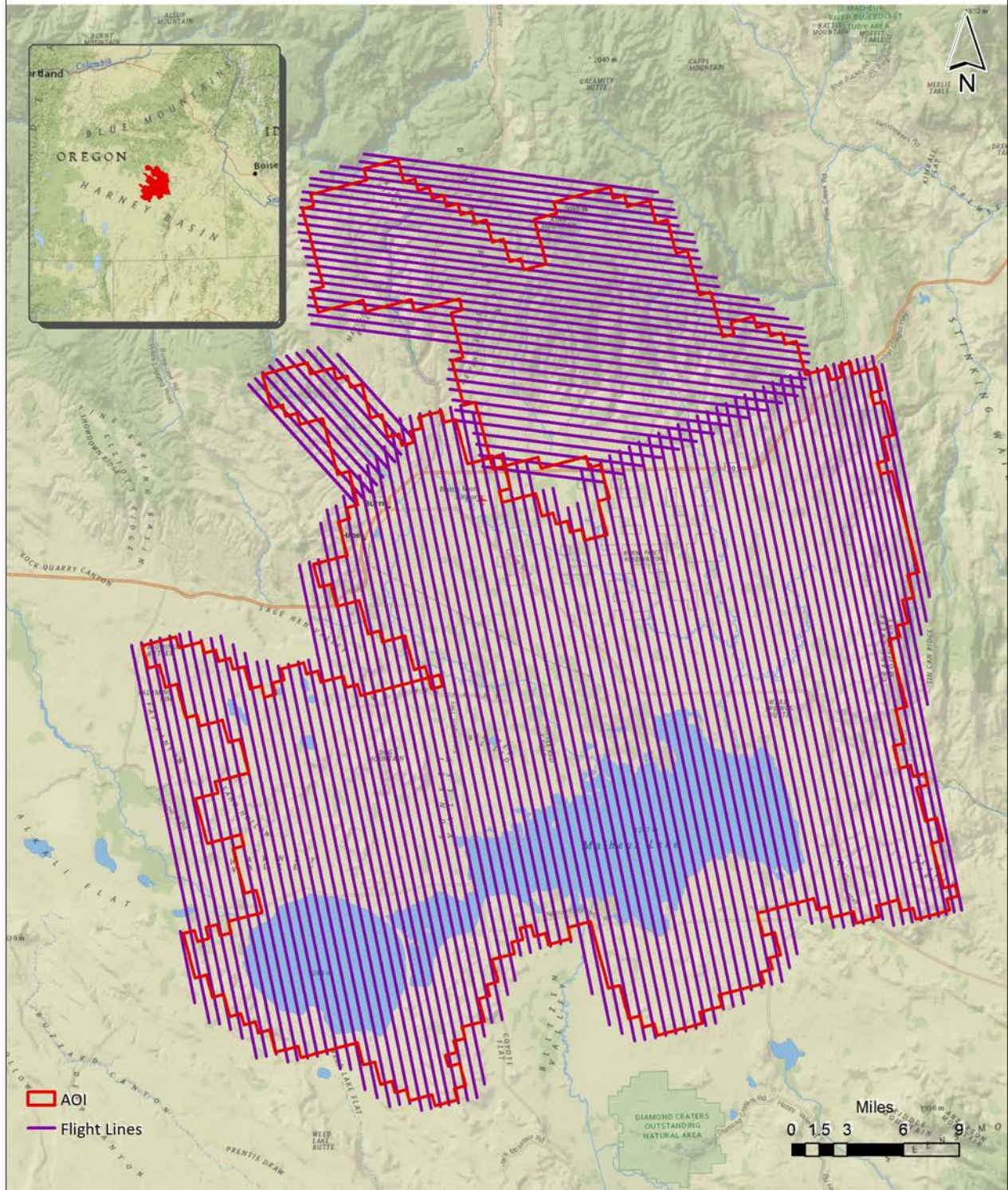


Figure 2. Planned Flight Lines



Table 2. LiDAR System Specifications

		Riegl VQ1560i
Terrain and Aircraft Scanner	Flying Height	2085 m
	Recommended Ground Speed	115 kts
Scanner	Field of View	58.5°
	Scan Rate Setting Used	88.9 Hz
Laser	Laser Pulse Rate Used	500 kHz
	Multi Pulse in Air Mode	yes
Coverage	Full Swath Width	2335.3 m
	Line Spacing	1050.89 m
Point Spacing and Density	Average Point Spacing	0.29 m
	Average Point Density	11.89 pts / m <sup>2</sup>

Figure 3. Riegl VQ1560i LiDAR Sensors



## 2.3. Aircraft

All flights for the project were accomplished through the use of customized planes. Plane type and tail numbers are listed below.

### LiDAR Collection Planes

- Cessna Caravan, Tail Numbers: N22TE, N208NR

These aircraft provided an ideal, stable aerial base for LiDAR acquisition. These aerial platforms have relatively fast cruise speeds, which are beneficial for project mobilization / demobilization while maintaining relatively slow stall speeds, proving ideal for collection of high-density, consistent data posting using a state-of-the-art Riegl VQ1560i LiDAR system. Some of Quantum Spatial's operating aircraft can be seen in Figure 4 below.

Figure 4. Some of Quantum Spatial's Planes



## 2.4. Time Period

Project specific flights were conducted between October 10, 2019 and November 2, 2020. Eleven aircraft lifts were completed. Accomplished lifts are listed below.

- 10102019A (SN3546,N22TE)
- 10112019A (SN3546,N22TE)
- 10122019A (SN3546,N22TE)
- 10122019B (SN3546,N22TE)
- 10282019A (SN4040,N208NR)
- 10292019A (SN4040,N208NR)
- 10302019A (SN4040,N208NR)
- 10312019A (SN4040,N208NR)
- 11012019A (SN4040,N208NR)
- 11012019B (SN4040,N208NR)
- 11022019A (SN4040,N208NR)



## 3. Processing Summary

### 3.1. Flight Logs

Flight logs were completed by LIDAR sensor technicians for each mission during acquisition. These logs depict a variety of information, including:

- Job / Project #
- Flight Date / Lift Number
- FOV (Field of View)
- Scan Rate (HZ)
- Pulse Rate Frequency (Hz)
- Ground Speed
- Altitude
- Base Station
- PDOP avoidance times
- Flight Line #
- Flight Line Start and Stop Times
- Flight Line Altitude (AMSL)
- Heading
- Speed
- Returns
- Crab

Notes: (Visibility, winds, ride, weather, temperature, dew point, pressure, etc).

## 3.2. LiDAR Processing

Applanix + POSPac software was used for post-processing of airborne GPS and inertial data (IMU), which is critical to the positioning and orientation of the LiDAR sensor during all flights. Applanix POSPac combines aircraft raw trajectory data with stationary GPS base station data yielding a “Smoothed Best Estimate Trajectory” (SBET) necessary for additional post processing software to develop the resulting geo-referenced point cloud from the LiDAR missions.

During the sensor trajectory processing (combining GPS & IMU datasets) certain statistical graphs and tables are generated within the Applanix POSPac processing environment which are commonly used as indicators of processing stability and accuracy. This data for analysis include: max horizontal / vertical GPS variance, separation plot, altitude plot, PDOP plot, base station baseline length, processing mode, number of satellite vehicles, and mission trajectory.

Point clouds were created using the RiPROCESS software. The generated point cloud is the mathematical three dimensional composite of all returns from all laser pulses as determined from the aerial mission. The point cloud is imported into GeoCue distributive processing software. Imported data is tiled and then calibrated using TerraMatch and proprietary software. Using TerraScan, the vertical accuracy of the surveyed ground control is tested and any bias is removed from the data. TerraScan and TerraModeler software packages are then used for automated data classification and manual cleanup. The data are manually reviewed and any remaining artifacts removed using functionality provided by TerraScan and TerraModeler.

DEMs and Intensity Images are then generated using proprietary software. In the bare earth surface model, above-ground features are excluded from the data set. Global Mapper is used as a final check of the bare earth dataset.

Finally, proprietary software is used to perform statistical analysis of the LAS files.

Software	Version
Applanix + POSPac	8.4
RiPROCESS	1.8.6
GeoCue	2017.1.14.1
Global Mapper	19.1;20.1
TerraModeler	20.004
TerraScan	20.011
TerraMatch	20.004

### 3.3. LAS Classification Scheme

The classification classes are determined by the USGS Version 1.3 specifications and are an industry standard for the classification of LIDAR point clouds. All data starts the process as Class 1 (Unclassified), and then through automated classification routines, the classifications are determined using TerraScan macro processing.

The classes used in the dataset are as follows and have the following descriptions:

Table 3. LAS Classifications

	Classification Name	Description
1	Processed, but Unclassified	Laser returns that are not included in the ground class, or any other project classification
2	Bare earth	Laser returns that are determined to be ground using automated and manual cleaning algorithms
7	Low Noise	Laser returns that are often associated with scattering from reflective surfaces, or artificial points below the ground surface
9	Water	Laser returns that are found inside of hydro features
17	Bridge Deck	Laser returns falling on bridge decks
18	High Noise	Laser returns that are often associated with birds or artificial points above the ground surface
20	Ignored Ground	Ground points that fall within the given threshold of a collected hydro feature.

### 3.4. Classified LAS Processing

The bare earth surface is then manually reviewed to ensure correct classification on the Class 2 (Ground) points. After the bare- earth surface is finalized; it is then used to generate all hydro-breaklines through heads-up digitization.

All ground (ASPRS Class 2) LiDAR data inside of the Lake Pond and Double Line Drain hydro flattening breaklines were then classified to water (ASPRS Class 9) using TerraScan macro functionality. A buffer of 3 feet was also used around each hydro flattened feature to classify these ground (ASPRS Class 2) points to Ignored ground (ASPRS Class 20). All Lake Pond Island and Double Line Drain Island features were checked to ensure that the ground (ASPRS Class 2) points were reclassified to the correct classification after the automated classification was completed.

Any noise that was identified either through manual review or automated routines was classified to the appropriate class (ASPRS Class 7 and/or ASPRS Class 18) followed by flagging with the withheld bit.



All data was manually reviewed and any remaining artifacts removed using functionality provided by TerraScan and TerraModeler. Global Mapper is used as a final check of the bare earth dataset. GeoCue was then used to create the deliverable industry-standard LAS files for all point cloud data. Quantum Spatial's proprietary software was used to perform final statistical analysis of the classes in the LAS files, on a per tile level to verify final classification metrics and full LAS header information.

### 3.5. Hydro-Flattened Breakline Processing

Class 2 LiDAR was used to create a bare earth surface model. The surface model was then used to heads-up digitize 2D breaklines of Inland Streams and Rivers with a 100 foot nominal width and Inland Ponds and Lakes of 2 acres or greater surface area.

Elevation values were assigned to all Inland Ponds and Lakes, Inland Pond and Lake Islands, Inland Streams and Rivers and Inland Stream and River Islands using TerraModeler functionality.

Elevation values were assigned to all Inland streams and rivers using Quantum Spatial's proprietary software.

All ground (ASPRS Class 2) LiDAR data inside of the collected inland breaklines were then classified to water (ASPRS Class 9) using TerraScan macro functionality. A buffer of 3 feet was also used around each hydro flattened feature. These points were moved from ground (ASPRS Class 2) to Ignored Ground (ASPRS Class 20).

The breakline files were then translated to Esri file geodatabase format using Esri conversion tools.

Breaklines are reviewed against lidar intensity imagery to verify completeness of capture. All breaklines are then compared to TINs (triangular irregular networks) created from ground only points prior to water classification. The horizontal placement of breaklines is compared to terrain features and the breakline elevations are compared to lidar elevations to ensure all breaklines match the lidar within acceptable tolerances. Some deviation is expected between breakline and lidar elevations due to monotonicity, connectivity, and flattening rules that are enforced on the breaklines. Once completeness, horizontal placement, and vertical variance is reviewed, all breaklines are reviewed for topological consistency and data integrity using a combination of Esri Data Reviewer tools and proprietary tools.

### 3.6. Hydro-Flattened Raster DEM Processing

Class 2 LiDAR in conjunction with the hydro breaklines were used to create a 3-foot Raster DEM. Using automated scripting routines within proprietary software, a GeoTIFF file was created for each tile. Each surface is reviewed using Global Mapper to check for any surface anomalies or incorrect elevations found within the surface.

## 3.7. Intensity Image Processing

GeoCue software was used to create the deliverable intensity images. All withheld points were ignored during this process. This helps to ensure a more aesthetically pleasing image. The GeoCue software was then used to verify full project coverage as well. GeoTIFF files with a cell size of 3-foot were then provided as the deliverable for this dataset requirement.

# North Central Oregon Work Unit 183736 Tile Index

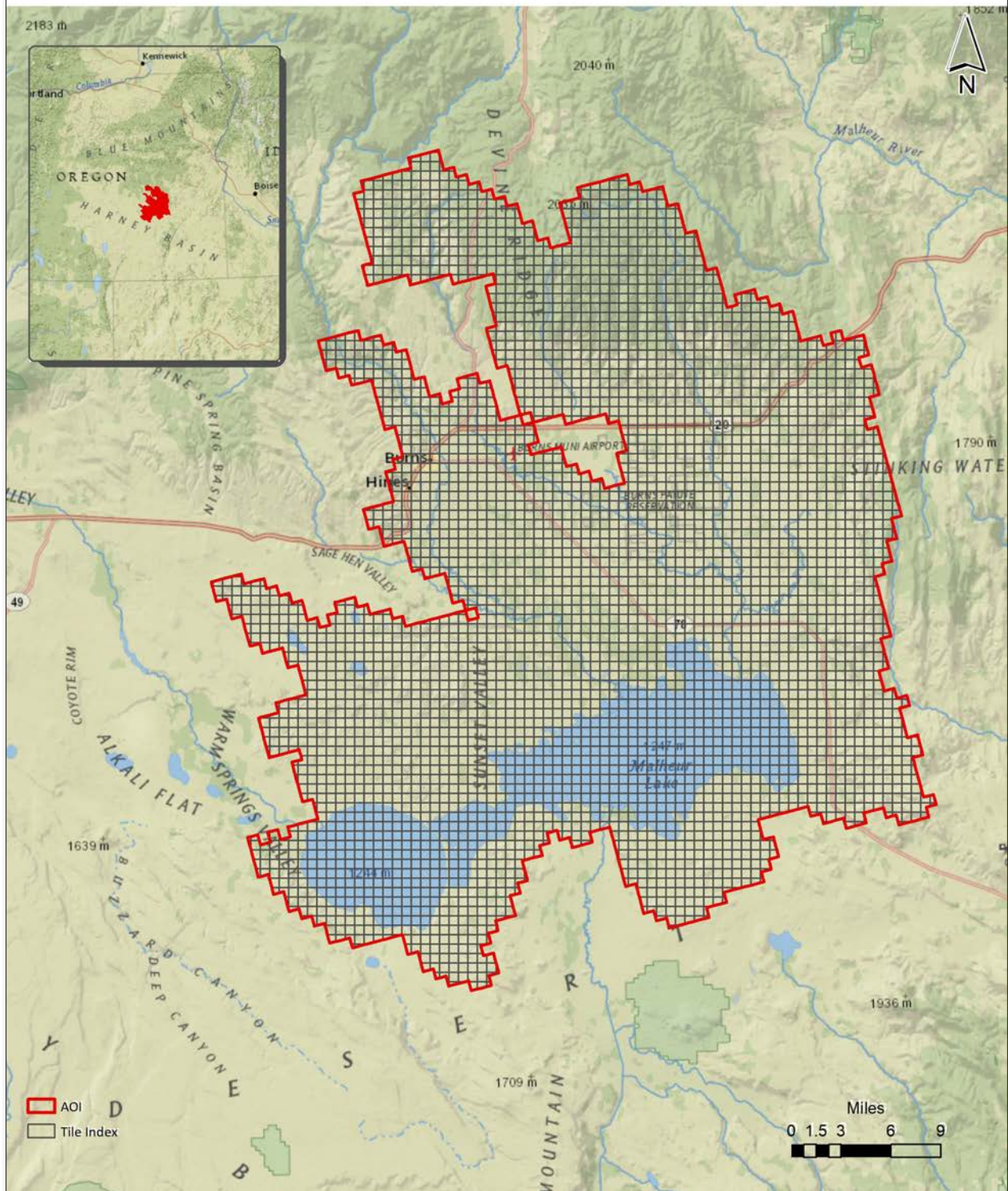


Figure 5. LiDAR Tile Layout



## 4. Project Coverage Verification

Coverage verification was performed by comparing coverage of processed .LAS files captured during project collection to generate project shape files depicting boundaries of specified project areas. Please refer to Figure 6.

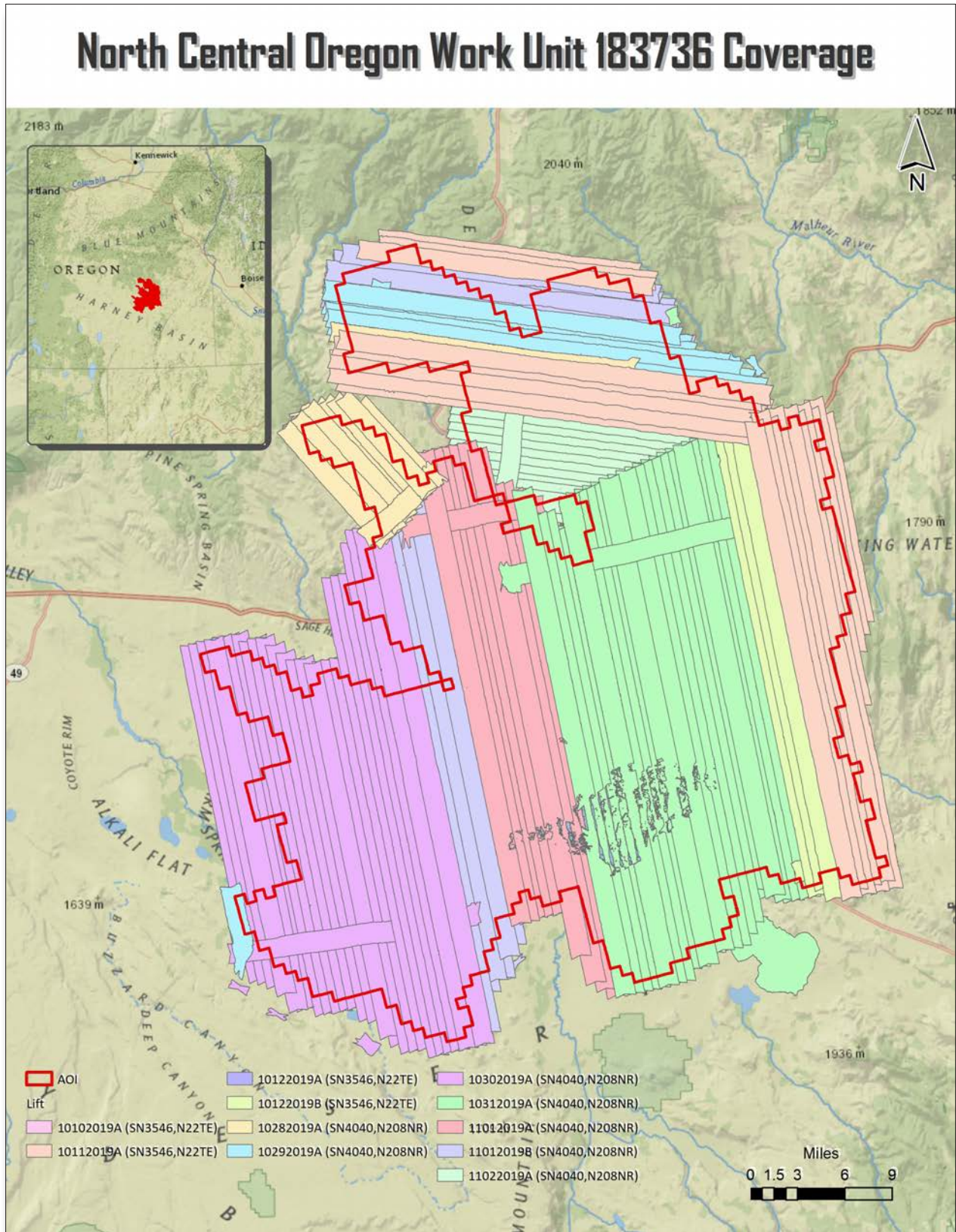


Figure 6. LiDAR Flight Line Coverage