

Utah FEMA HQ QL1 & QL2 2018 LiDAR Project Report



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Contents

1. Summary / Scope	1
1.1. Summary	1
1.2. Scope	1
1.3. Coverage	1
1.4. Duration	1
1.5. Issues	1
1.6. Deliverables	2
2. Planning / Equipment	4
2.1. Flight Planning	4
2.2. LiDAR Sensor	4
2.3. Aircraft	7
2.4. Time Period	8
3. Processing Summary	9
3.1. Flight Logs	9
3.2. LiDAR Processing	10
3.3. LAS Classification Scheme	11
3.4. Classified LAS Processing	11
3.5. Hydro-Flattened Breakline Creation	12
3.6. Hydro-Flattened Raster DEM Creation	12
3.7. Intensity Image Processing	12
4. Project Coverage Verification	16
5. Ground Control and Check Point Collection	18
5.1. Calibration Control Point Testing	18
5.2. Point Cloud Testing	18
5.3. Digital Elevation Model (DEM) Testing	19

List of Figures

Figure 1. Project Boundary	3
Figure 2. Planned Flight Lines	5
Figure 3. The Leica ALS80 and Riegl VQ1560i LiDAR Sensors	6
Figure 4. Some of Quantum Spatial's Planes.....	7
Figure 5. UTM 1K LiDAR Tile Layout.....	13
Figure 6. UTM 2K LiDAR Tile Layout.....	14
Figure 7. Albers LiDAR Tile Layout.....	15
Figure 8. LiDAR Flightline Coverage.....	17
Figure 9. Calibration Control Point Locations	20
Figure 10. QC Checkpoint Locations - NVA	21
Figure 11. QC Checkpoint Locations - VVA.....	22

List of Tables

Table 1. Originally Planned LiDAR Specifications	1
Table 2. LiDAR System Specifications	6

List of Appendices

- Appendix A: Flight Logs
- Appendix B: Survey Report

1. Summary / Scope

1.1. Summary

This report contains a summary of the Utah FEMA HQ QL1 & QL2 LiDAR acquisition task order, issued by the USGS under Contract G16PC00016, Task 140G0218F00165 on 23 April 2018. The task order yielded a project area covering 4,693 square miles over the Uinta and Wasatch Ranges of Northern Utah. The intent of this document is only to provide specific validation information for the data acquisition/collection, processing, and production of deliverables completed as specified in the task order.

1.2. Scope

Aerial topographic LiDAR was acquired using state of the art technology along with the necessary surveyed ground control points (GCPs) and airborne GPS and inertial navigation systems. The aerial data collection was designed with the following specifications listed in Table 1 below.

While collection is planned for the data to utilize the maximum FOV for each sensor, some lidar points may be found to have a scan angle that is outside planned ranges. This is due to the sensor being flown over mountainous terrain with abrupt changes in relief. These points are left in the LAS files, and automated macro classification is used to classify these points.

Table 1. Originally Planned LiDAR Specifications

Collection Parameter	Average Point Density	Flight Altitude (AGL)	Field of View	Minimum Side Overlap	RMSEz
QL1	8 pts / m ²	1,800 m	58°	67%	≤ 19.6 cm
QL2	2 pts / m ²	2,200 m	58°	60%	≤ 19.6 cm

1.3. Coverage

The project boundary covers 4,693 square miles and encompasses the Uinta and Wasatch Ranges. The QL1 area is 380 square miles and the QL2 area is 4,310 square miles. A buffer of 100 meters was created to meet task order specifications. Project extents are shown in Figure 1.

1.4. Duration

LiDAR data was acquired from 21 April 2018 to 15 October 2018 in 46 total lifts. See “Section: 2.4. Time Period” for more details.

1.5. Issues

There were no major issues to report.

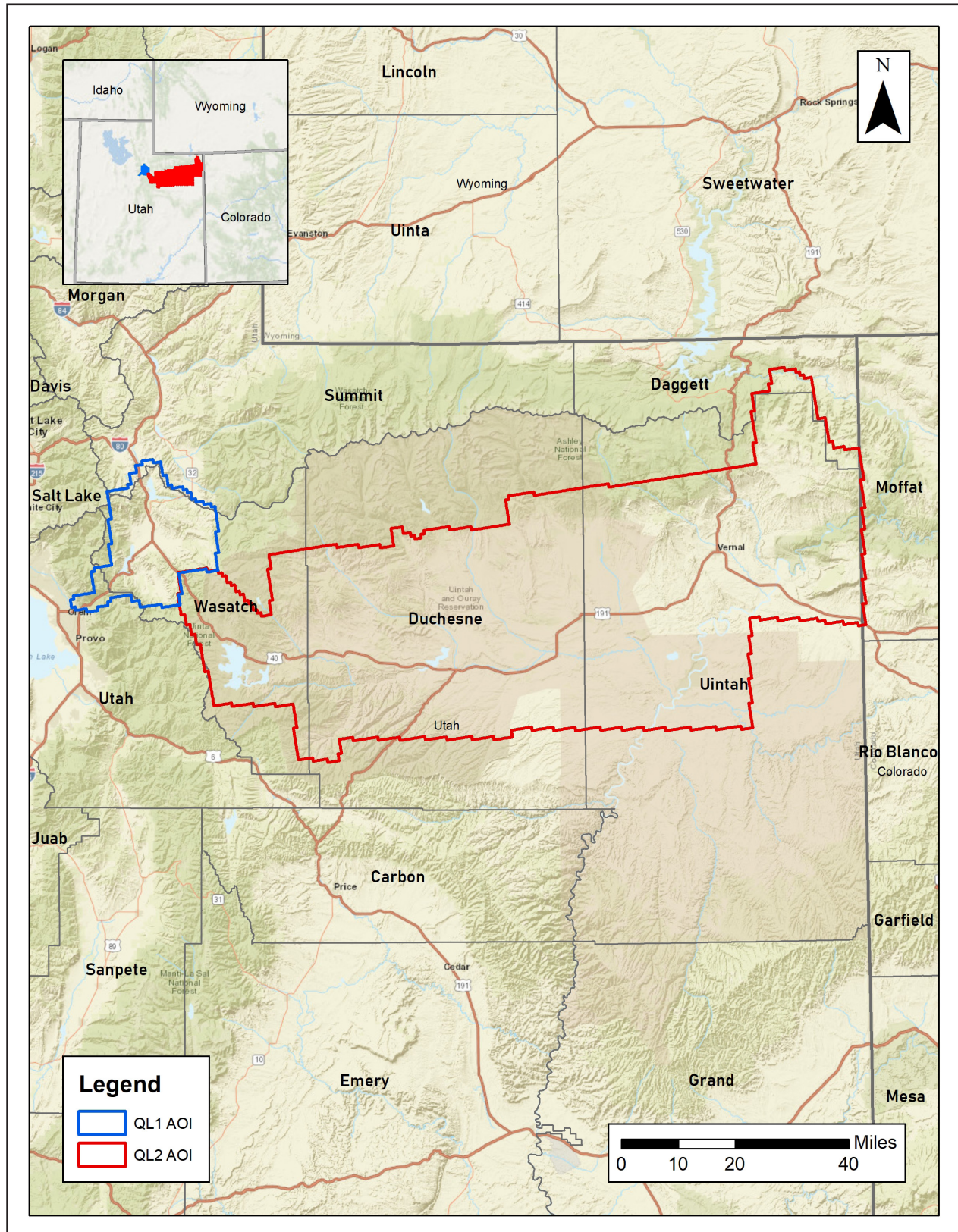
1.6. Deliverables

The following products were produced and delivered:

- Classified LiDAR point cloud data tiles in .LAS 1.4 format
- Continuous hydro-flattened breaklines in Esri file geodatabase format
- QL1: 0.5-meter hydro-flattened bare earth DEM tiles in ERDAS .IMG format
- QL2: 1-meter hydro-flattened bare earth DEM tiles in ERDAS .IMG format
- QL1: 0.5-meter intensity imagery tiles in GeoTIFF format
- QL2: 1-meter intensity imagery tiles in GeoTIFF format
- Processing boundary in Esri shapefile format
- Tile index in Esri shapefile format
- Calibration and QC checkpoints (NVA/VVA) in Esri shapefile format
- Flight index in Esri file geodatabase format
- Flight logs in .PDF format
- Survey report in .PDF format
- FOCUS report in .PDF format
- FOCUS on Deliverables report in .PDF format
- FOCUS on Accuracy report in .PDF format
- Product metadata for each tiled deliverable product group in .XML format

All geospatial deliverables were produced with a horizontal datum/projection of both NAD83 (2011) UTM Zone 12 and Albers Equal Area and a vertical datum/projection of NAVD88 GEOID12B. All tiled deliverables have a tile size of 1000 m x 1000 m for the Albers Delivery. For the State Delivery, raster deliverables have a tile size of 2000 m x 2000 m and classified .LAS tiles have a tile size of 1000 m x 1000 m. For the Albers delivery, tile names are based on the easting and northing locations of the lower left corner for each tile. For the State Delivery, tile names are derived from the US National Grid System, based on the southwest corner.

Figure 1. Project Boundary



2. Planning / Equipment

2.1. Flight Planning

Flight planning was based on the unique project requirements and characteristics of the project site. The basis of planning included: required accuracies, type of development, amount / type of vegetation within project area, required data posting, and potential altitude restrictions for flights in project vicinity.

Detailed project flight planning calculations were performed for the project using using Leica MissionPro and Riegl RiPARAMETER planning software. The entire target area was comprised of 1,321 planned flight lines (Figure 2).

2.2. LiDAR Sensor

Quantum Spatial utilized Leica ALS80 LiDAR sensors (Figure 3), serial numbers 8146 and 8227, and Riegl VQ1560i LiDAR sensors, serial numbers 1256 and 3543, during the project.

The Leica ALS 80 system is capable of collecting data at a maximum frequency of 1,000 kHz. The system utilizes a Multi-Pulse in the Air option (MPIA). The sensor also has the capacity for unlimited range returns from each outbound pulse. The intensity of the returns is also captured during aerial acquisition.

The Riegl 1560i system has a laser pulse repetition rate of up to 2 MHz resulting in more than 1.3 million measurements per second. The system utilizes a Multi-Pulse in the Air option (MPIA). The sensor is also equipped with the ability to measure up to an unlimited number of targets per pulse from the laser.

A brief summary of the aerial acquisition parameters for the project are shown in the LiDAR System Specifications in Table 2.

Figure 2. Planned Flight Lines

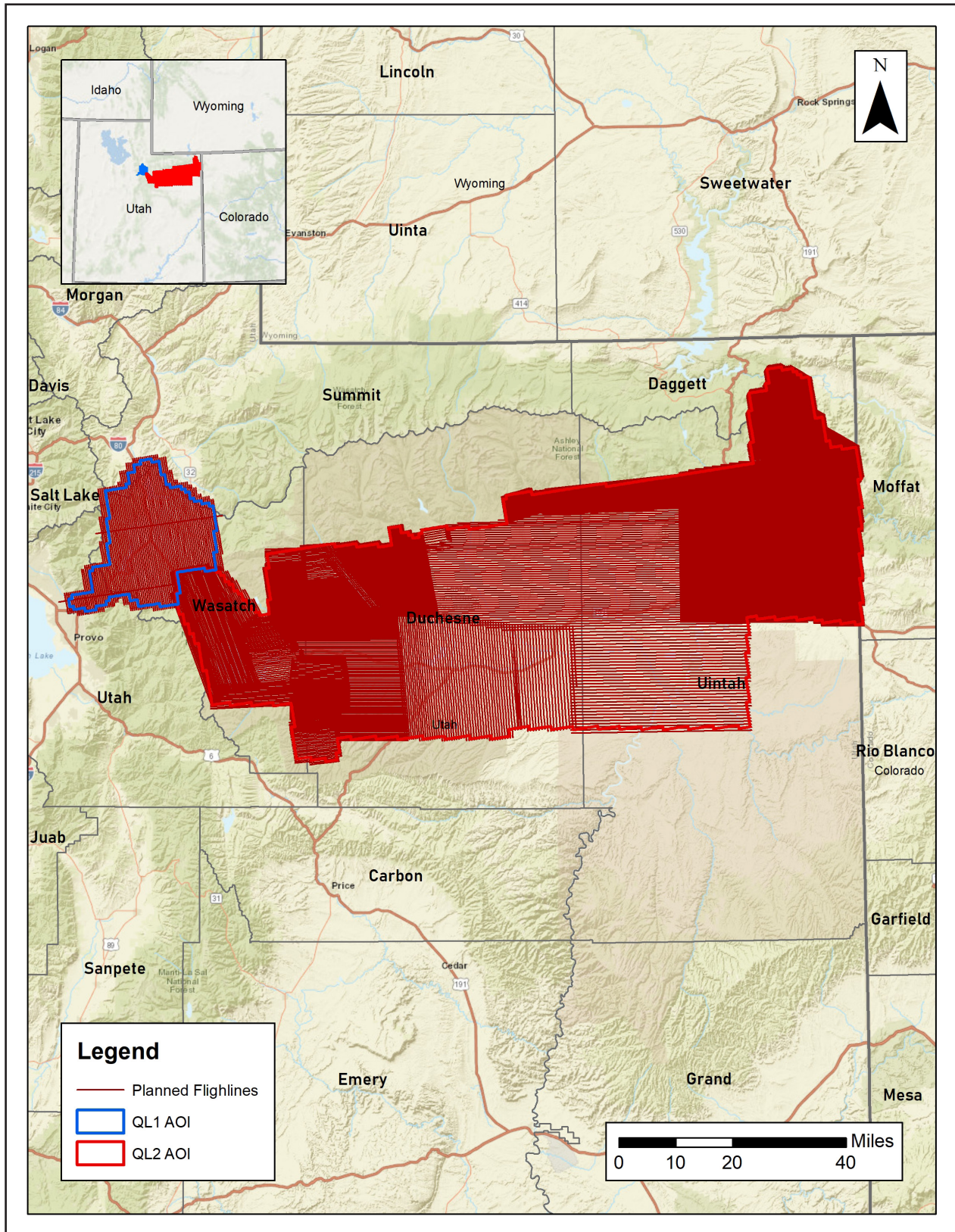
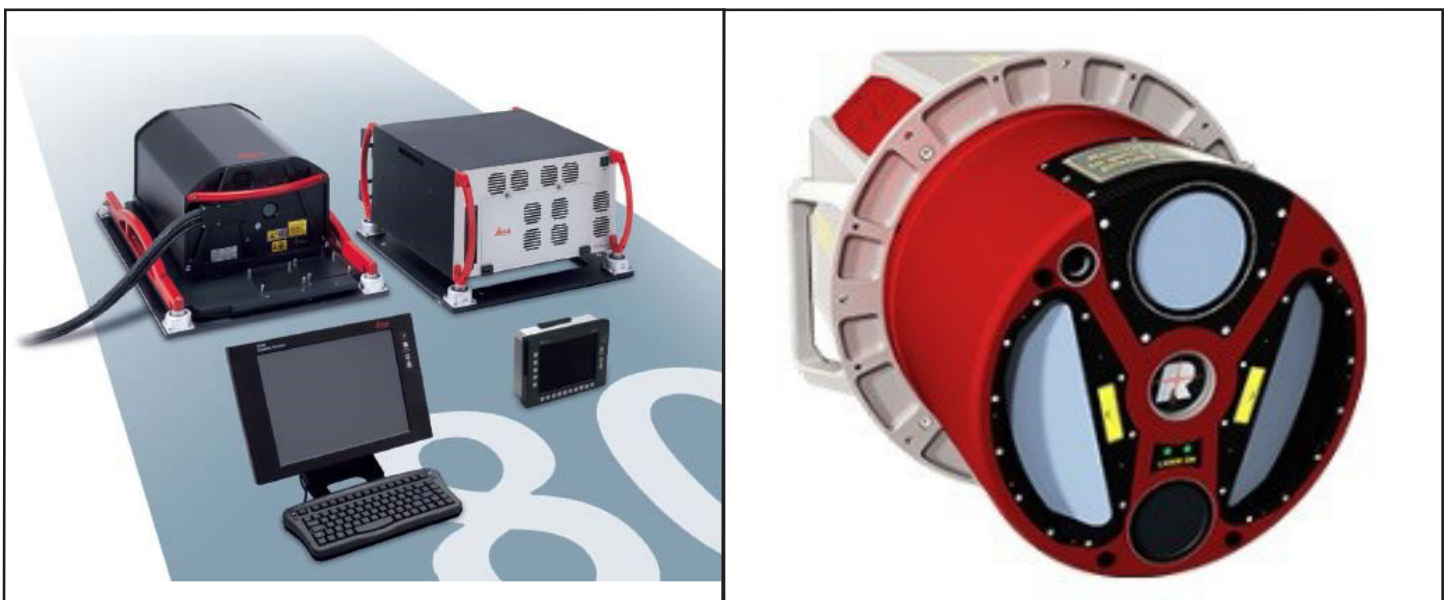


Table 2. LiDAR System Specifications

		ALS80 (QL2)	VQ1560i (QL2)
Terrain and Aircraft Scanner	Flying Height	2000 m	1800 m
	Recommended Ground Speed	145 kts	155 kts
Scanner	Field of View	40°	58°
	Scan Rate Setting Used	54 Hz	58.4 Hz
Laser	Laser Pulse Rate Used	589 kHz	303 kHz
	Multi Pulse in Air Mode	yes	yes
Coverage	Full Swath Width	1072 m	1800 m
	Line Spacing	493.12 m	594 m
Point Spacing and Density	Average Point Spacing	0.71 m	0.37 m
	Average Point Density	2 pts / m ²	8 pts / m ²

Figure 3. The Leica ALS80 and Riegl VQ1560i LiDAR Sensors


2.3. Aircraft

All flights for the project were accomplished through the use of customized planes. Plane type and tail numbers are listed below.

LiDAR Collection Planes

- Piper Navajo (twin-piston) (PA31), Tail Number(s): N22GE
- Piper Navajo (twin-piston) (PA31), Tail Number(s): N44RL
- Piper Navajo (twin-piston) (PA31), Tail Number(s): CGKSX
- Piper Navajo (twin-piston) (PA31), Tail Number(s): CFFRY
- Cessna Caravan (single-turboprop) (C208), Tail Number(s): N208NR
- Cessna Caravan (single-turboprop) (C208), Tail Number(s): N604MD
- Cessna Caravan (single-turboprop) (C208), Tail Number(s): N704MD

These aircraft provided an ideal, stable aerial base for LiDAR and orthoimagery acquisition. These aerial platforms have relatively fast cruise speeds which are beneficial for project mobilization / demobilization while maintaining relatively slow stall speeds which proved ideal for collection of high-density, consistent data posting using state-of-the-art Leica and Riegl LiDAR systems. Some of Quantum Spatial's operating aircraft can be seen in Figure 4 below.

Figure 4. Some of Quantum Spatial's Planes



2.4. Time Period

Project specific flights were conducted over five months. 46 aircraft lifts were completed. Accomplished lifts are listed below.

- 20180421A (SN8227, N22GE)
- 20180422A (SN8227, N22GE)
- 20180422B (SN8227, N22GE)
- 20180422C (SN8227, N22GE)
- 20180423A (SN8227, N22GE)
- 20180424A (SN8227, N22GE)
- 20180424B (SN8227, N22GE)
- 20180424C (SN8227, N22GE)
- 20180425A (SN8227, N22GE)
- 20180425B (SN8227, N22GE)
- 20180425C (SN8227, N22GE)
- 20180427A (SN8227, N22GE)
- 20180428A (SN8227, N22GE)
- 20180428B (SN8227, N22GE)
- 20180429A (SN8227, N22GE)
- 20180429B (SN8227, N22GE)
- 20180501A (SN8227, N22GE)
- 20180504A (SN3061, N604MD)
- 20180506A (SN0764, N-44RL)
- 20180507A (SN0764, N-44RL)
- 20180507B (SN0764, N-44RL)
- 20180508A (SN8146, N208NR)
- 20180508A (SN0764, N-44RL)
- 20180509A (SN0764, N-44RL)
- 20180509A (SN8146, N208NR)
- 20180509B (SN8146, N208NR)
- 20180510A (SN8146, N208NR)
- 20180510A (SN074, N-44RL)
- 20180513A (SN0764, N-44RL)
- 20180516A (SN0764, N-44RL)
- 20180517A (SN0764, N-44RL)
- 20180524A (SN1264, C-GKSX)
- 20180525A (SN1264, C-GKSX)
- 20180526A (SN1264, C-GKSX)
- 20180530A (SN1264, C-GKSX)
- 20180603A (SN1264, C-GKSX)
- 20180814A (SN1256, N-44RL)
- 20180815A (SN1256, N-44RL)
- 20180817A (SN1256, N-44RL)
- 20180818A (SN1256, N-44RL)
- 20180819A (SN1256, N-44RL)
- 20180826A (SN3543, C-FFRY)
- 20180828A (SN3543, C-FFRY)
- 20180829A (SN3543, C-FFRY)
- 20180829B (SN3543, C-FFRY)
- 20181015A (SN3061, N704MD)

3. Processing Summary

3.1. Flight Logs

Flight logs were completed by LIDAR sensor technicians for each mission during acquisition. These logs depict a variety of information, including:

- Job / Project #
- Flight Date / Lift Number
- FOV (Field of View)
- Scan Rate (HZ)
- Pulse Rate Frequency (Hz)
- Ground Speed
- Altitude
- Base Station
- PDOP avoidance times
- Flight Line #
- Flight Line Start and Stop Times
- Flight Line Altitude (AMSL)
- Heading
- Speed
- Returns
- Crab

Notes: (Visibility, winds, ride, weather, temperature, dew point, pressure, etc). Project specific flight logs for each sortie are available in Appendix A.

3.2. LiDAR Processing

Inertial Explorer/Applanix + POSPac Mobile Mapping Suite software was used for post-processing of airborne GPS and inertial data (IMU), which is critical to the positioning and orientation of the LiDAR sensor during all flights. Inertial Explorer/POSPac combines aircraft raw trajectory data with stationary GPS base station data yielding a “Smoothed Best Estimate Trajectory (SBET)” necessary for additional post processing software to develop the resulting geo-referenced point cloud from the LiDAR missions.

During the sensor trajectory processing (combining GPS & IMU datasets) certain statistical graphs and tables are generated within the Inertial Explorer/Applanix POSPac processing environment which are commonly used as indicators of processing stability and accuracy. This data for analysis include: Max horizontal / vertical GPS variance, separation plot, altitude plot, PDOP plot, base station baseline length, processing mode, number of satellite vehicles, and mission trajectory.

The generated point cloud is the mathematical three dimensional composite of all returns from all laser pulses as determined from the aerial mission. Laser point data are imported into TerraScan and a manual calibration is performed to assess the system offsets for pitch, roll, heading and scale. At this point this data is ready for analysis, classification, and filtering to generate a bare earth surface model in which the above-ground features are removed from the data set. Point clouds were created using the Leica CloudPro software and the Riegl RiPROCESS software. GeoCue distributive processing software was used in the creation of some files needed in downstream processing, as well as in the tiling of the dataset into more manageable file sizes. TerraScan and TerraModeler software packages were then used for the automated data classification, manual cleanup, and bare earth generation. Project specific macros were developed to classify the ground and remove side overlap between parallel flight lines.

All data was manually reviewed and any remaining artifacts removed using functionality provided by TerraScan and TerraModeler. Global Mapper was used as a final check of the bare earth dataset. GeoCue was used to create the deliverable industry-standard LAS files for both the All Point Cloud Data and the Bare Earth. In-house software was then used to perform final statistical analysis of the classes in the LAS files.

3.3. LAS Classification Scheme

The classification classes are determined by the USGS Version 1.3 specifications and are an industry standard for the classification of LIDAR point clouds. All data starts the process as Class 1 (Unclassified), and then through automated classification routines, the classifications are determined using TerraScan macro processing.

The classes used in the dataset are as follows and have the following descriptions:

- Class 1 – Processed, but Unclassified – These points would be the catch all for points that do not fit any of the other deliverable classes. This would cover features such as vegetation, cars, etc.
- Class 2 – Bare-Earth Ground – This is the bare earth surface
- Class 7 – Low Noise – Low points, manually identified below the surface that could be noise points in point cloud.
- Class 9 – In-land Water – Points found inside of inland lake/ponds
- Class 17 – Bridge Decks – Points falling on bridge decks.
- Class 18 – High Noise – High points, manually identified above the surface that could be noise points in point cloud.
- Class 20 – Ignored Ground – Points found to be close to breakline features. Points are moved to this class from the Class 2 dataset. This class is ignored during the DEM creation process in order to provide smooth transition between the ground surface and hydro flattened surface.
- Class 21 – Snow – Where identifiable
- Class 22 – Temporal Exclusion – If applicable

3.4. Classified LAS Processing

The bare earth surface is then manually reviewed to ensure correct classification on the Class 2 (Ground) points. After the bare- earth surface is finalized; it is then used to generate all hydro-breaklines through heads-up digitization.

All ground (ASPRS Class 2) LiDAR data inside of the Lake Pond and Double Line Drain hydro flattening breaklines were then classified to water (ASPRS Class 9) using TerraScan macro functionality. A buffer of 3 feet was also used around each hydro flattened feature to classify these ground (ASPRS Class 2) points to Ignored ground (ASPRS Class 20). All Lake Pond Island and Double Line Drain Island features were checked to ensure that the ground (ASPRS Class 2) points were reclassified to the correct classification after the automated classification was completed.

All overlap data was processed through automated functionality provided by TerraScan to classify the overlapping flight line data to approved classes by USGS. The overlap data was identified using the Overlap Flag, per LAS 1.4 specifications.

All data was manually reviewed and any remaining artifacts removed using functionality provided by TerraScan and TerraModeler. Global Mapper is used as a final check of the bare earth dataset. GeoCue was then used to create the deliverable industry-standard LAS files for all point cloud

data. Quantum Spatial's proprietary software was used to perform final statistical analysis of the classes in the LAS files, on a per tile level to verify final classification metrics and full LAS header information.

3.5. Hydro-Flattened Breakline Processing

Class 2 LiDAR was used to create a bare earth surface model. The surface model was then used to heads-up digitize 2D breaklines of Inland Streams and Rivers with a 100 foot nominal width and Inland Ponds and Lakes of 2 acres or greater surface area.

Elevation values were assigned to all Inland Ponds and Lakes, Inland Pond and Lake Islands, Inland Streams and Rivers and Inland Stream and River Islands using TerraModeler functionality.

Elevation values were assigned to all Inland streams and rivers using Quantum Spatial's proprietary software.

All ground (ASPRS Class 2) LiDAR data inside of the collected inland breaklines were then classified to water (ASPRS Class 9) using TerraScan macro functionality. A buffer of 3 feet was also used around each hydro flattened feature. These points were moved from ground (ASPRS Class 2) to Ignored Ground (ASPRS Class 20).

The breakline files were then translated to Esri file geodatabase format using Esri conversion tools.

Breaklines are reviewed against lidar intensity imagery to verify completeness of capture. All breaklines are then compared to TINs (triangular irregular networks) created from ground only points prior to water classification. The horizontal placement of breaklines is compared to terrain features and the breakline elevations are compared to lidar elevations to ensure all breaklines match the lidar within acceptable tolerances. Some deviation is expected between breakline and lidar elevations due to monotonicity, connectivity, and flattening rules that are enforced on the breaklines. Once completeness, horizontal placement, and vertical variance is reviewed, all breaklines are reviewed for topological consistency and data integrity using a combination of Esri Data Reviewer tools and proprietary tools.

3.6. Hydro-Flattened Raster DEM Processing

Class 2 LiDAR in conjunction with the hydro breaklines were used to create a 0.5-meter (QL1) and 1-meter (QL2) Raster DEM. Using automated scripting routines within ArcMap, an ERDAS Imagine .IMG file was created for each tile. Each surface is reviewed using Global Mapper to check for any surface anomalies or incorrect elevations found within the surface.

3.7. Intensity Image Processing

GeoCue software was used to create the deliverable intensity images. All overlap classes were ignored during this process. This helps to ensure a more aesthetically pleasing image. The GeoCue software was then used to verify full project coverage. 0.5 meter (QL1) and 1 meter (QL2) GeoTIFF files were then provided as the deliverable for this dataset requirement.

Figure 5. UTM 1K LiDAR Tile Layout

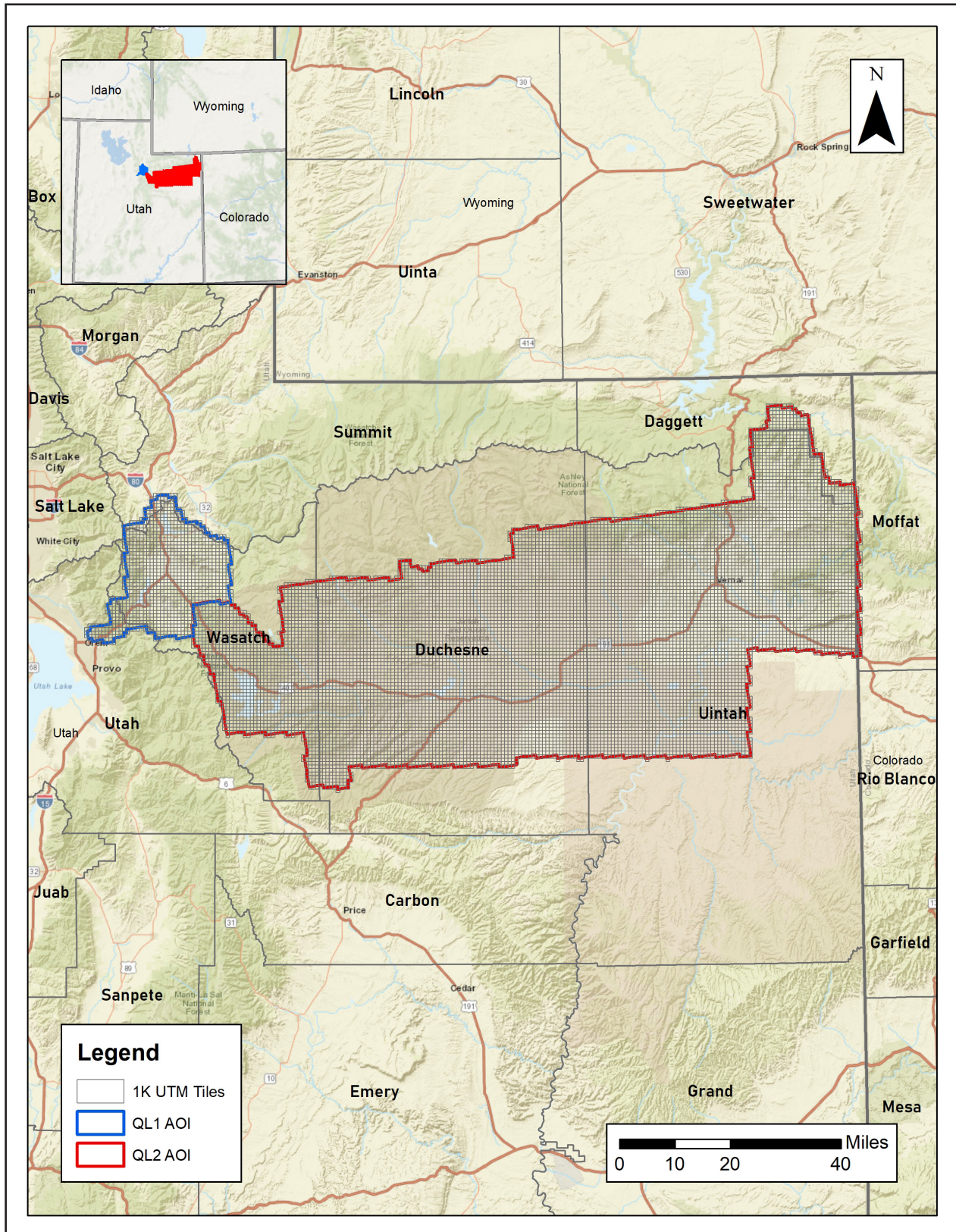


Figure 6. UTM 2K LiDAR Tile Layout

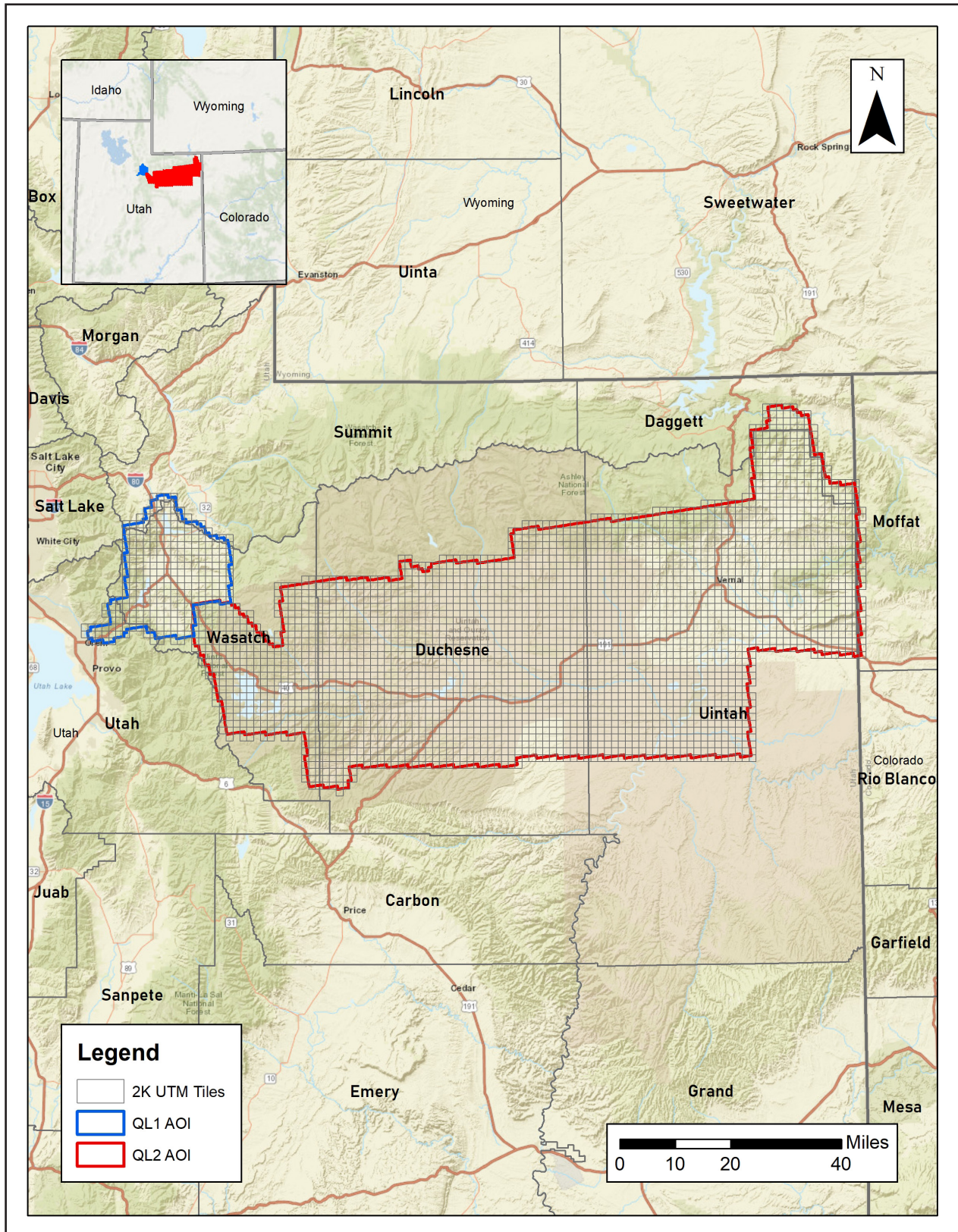
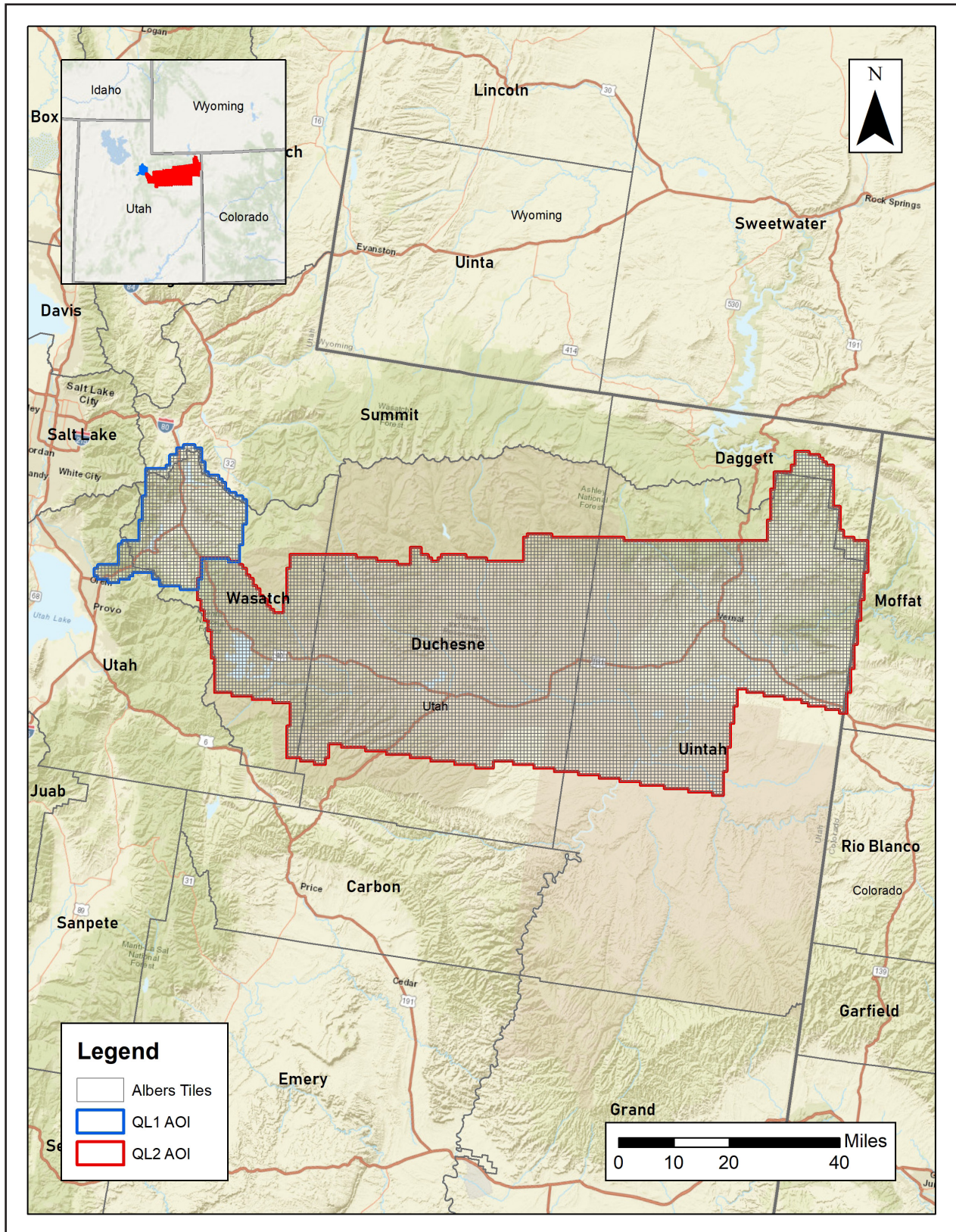


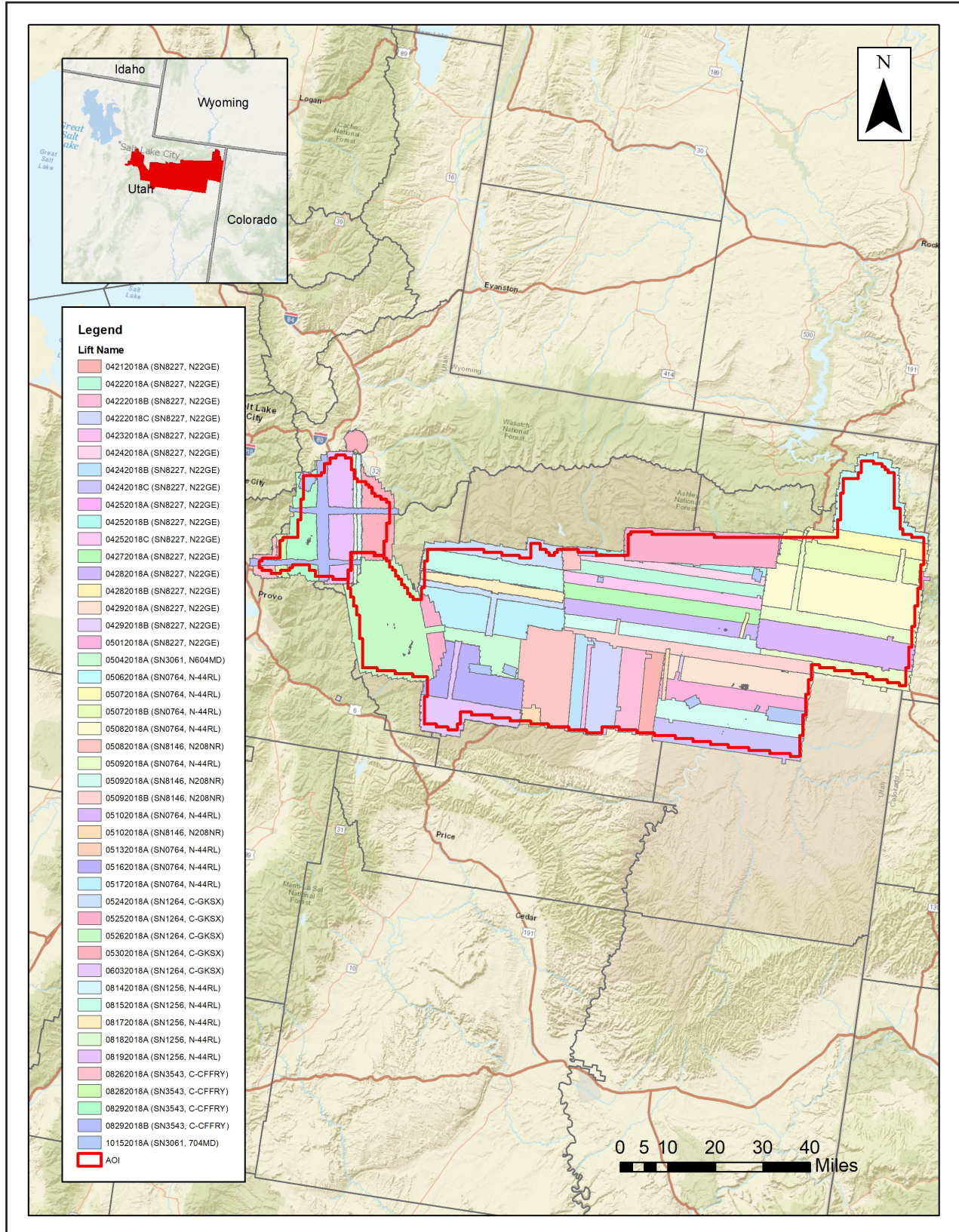
Figure 7. Albers LiDAR Tile Layout



4. Project Coverage Verification

Coverage verification was performed by comparing coverage of processed .LAS files captured during project collection to generate project shape files depicting boundaries of specified project areas. Please refer to Figure 7.

Figure 8. LiDAR Flightline Coverage



5. Ground Control and Check Point Collection

Quantum Spatial completed a field survey of 118 ground control (calibration) points along with 243 blind QA points in Non-Vegetated and Vegetated land cover classifications (total of 361 points) as an independent test of the accuracy of this project.

A combination of precise GPS surveying methods, including static and RTK observations were used to establish the 3D position of ground calibration points and QA points for the point classes above. GPS was not an appropriate methodology for surveying in the forested areas during the leaf-on conditions for the actual field survey (which was accomplished after the LiDAR acquisition). Therefore the 3D positions for the forested points were acquired using a GPS-derived offset point located out in the open near the forested area, and using precise offset surveying techniques to derive the 3D position of the forested point from the open control point. The explicit goal for these surveys was to develop 3D positions that were three times greater than the accuracy requirement for the elevation surface. In this case of the blind QA points the goal was a positional accuracy of 5 cm in terms of the RMSE.

For more information, see the Survey Report.

The required accuracy testing was performed on the LiDAR dataset (both the LiDAR point cloud and derived DEM's) according to the USGS LiDAR Base Specification Version 1.3 (2018).

5.1. Calibration Control Point Testing

Figure 8 shows the location of each bare earth calibration point for the project area. TerraScan was used to perform a quality assurance check using the LiDAR bare earth calibration points. The results of the surface calibration are not an independent assessment of the accuracy of these project deliverables, but the statistical results do provide additional feedback as to the overall quality of the elevation surface.

5.2. Point Cloud Testing

The project specifications require that only Non-Vegetated Vertical Accuracy (NVA) be computed for raw lidar point cloud swath files. The required accuracy (ACCz) is: 19.6 cm at a 95% confidence level, derived according to NSSDA, i.e., based on RMSE of 10 cm in the “bare earth” and “urban” land cover classes. The NVA was tested with 122 checkpoints located in bare earth and urban (non-vegetated) areas. These check points were not used in the calibration or post processing of the lidar point cloud data. The checkpoints were distributed throughout the project area and were surveyed using GPS techniques. See survey report for additional survey methodologies.

Elevations from the unclassified lidar surface were measured for the x,y location of each check point. Elevations interpolated from the lidar surface were then compared to the elevation values of the surveyed control points. AccuracyZ has been tested to meet 19.6 cm or better Non-Vegetated Vertical Accuracy at 95% confidence level using $RMSE(z) \times 1.9600$ as defined by the

National Standards for Spatial Data Accuracy (NSSDA); assessed and reported using National Digital Elevation Program (NDEP)/ASRPS Guidelines. See Figure 10.

5.3. Digital Elevation Model (DEM) Testing

The project specifications require the accuracy (ACCz) of the derived DEM be calculated and reported in two ways:

1. The required NVA is: 19.6 cm at a 95% confidence level, derived according to NSSDA, i.e., based on RMSE of 10 cm in the “bare earth” and “urban” land cover classes. This is a required accuracy. The NVA was tested with 122 checkpoints located in bare earth and urban (non-vegetated) areas. See Figure 10.

2. Vegetated Vertical Accuracy (VVA): VVA shall be reported for “forests”, “shrubs,” and “tall grass” land cover classes. The target VVA is: 29.4 cm at the 95th percentile, derived according to ASPRS Guidelines, Vertical Accuracy Reporting for Lidar Data, i.e., based on the 95th percentile error in all vegetated land cover classes combined. This is a target accuracy. The VVA was tested with 121 checkpoints located in tall grass, shrubs, and forests. The checkpoints were distributed throughout the project area and were surveyed using GPS techniques. See Figure 11.

AccuracyZ has been tested to meet 19.6 cm or better Non-Vegetated Vertical Accuracy at 95% confidence level using $RMSE(z) \times 1.9600$ as defined by the National Standards for Spatial Data Accuracy (NSSDA); assessed and reported using National Digital Elevation Program (NDEP)/ASRPS Guidelines.

A brief summary of results are listed below. For more information, See the FOCUS on Accuracy report.

	Target	Measured	Point Count
Calibration	N/A	N/A	118
Raw NVA	0.196 m	0.066	122
NVA	0.196 m	0.068	122
VVA	0.294 m	0.233	121

Figure 9. Calibration Control Point Locations

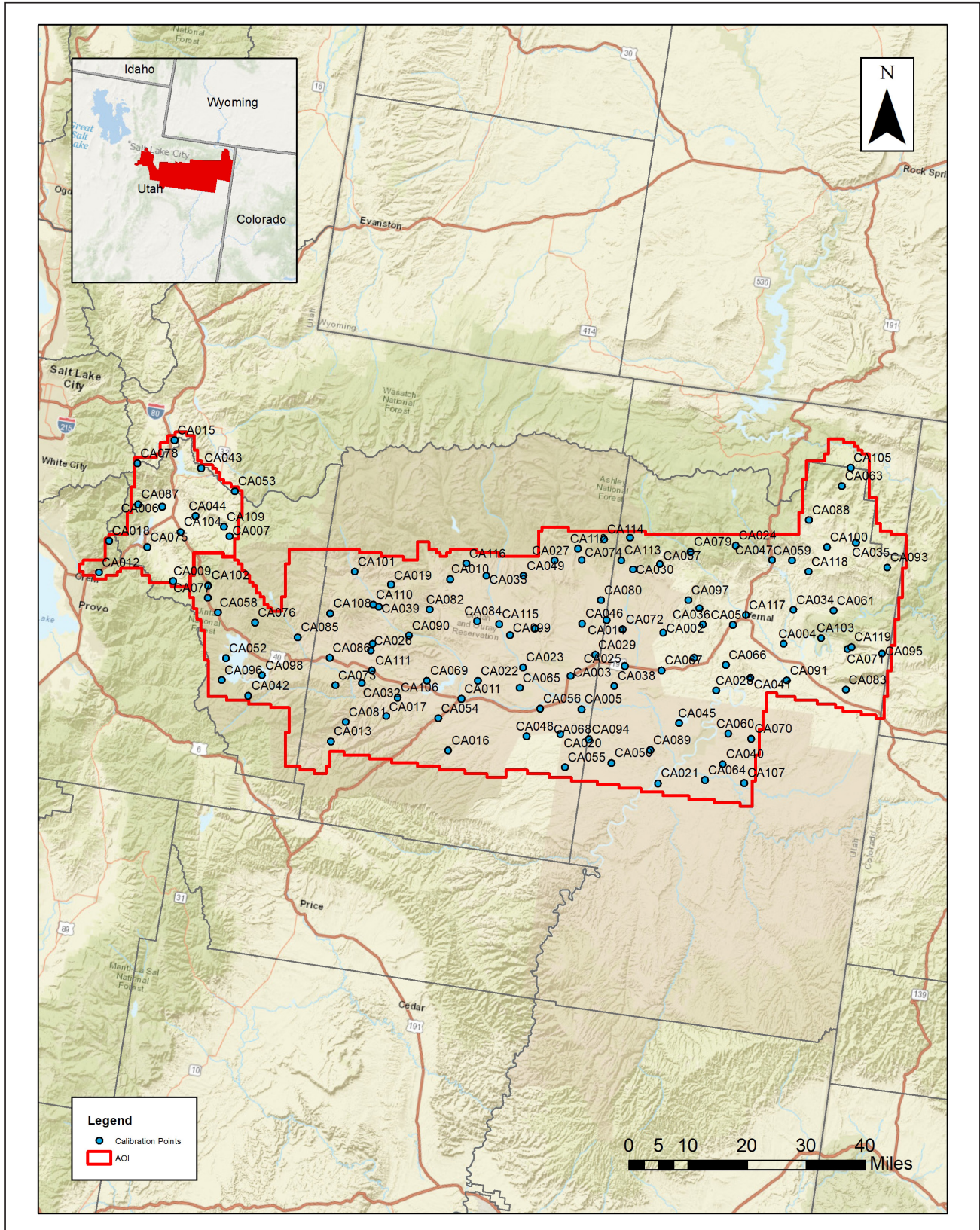


Figure 10. QC Checkpoint Locations - NVA

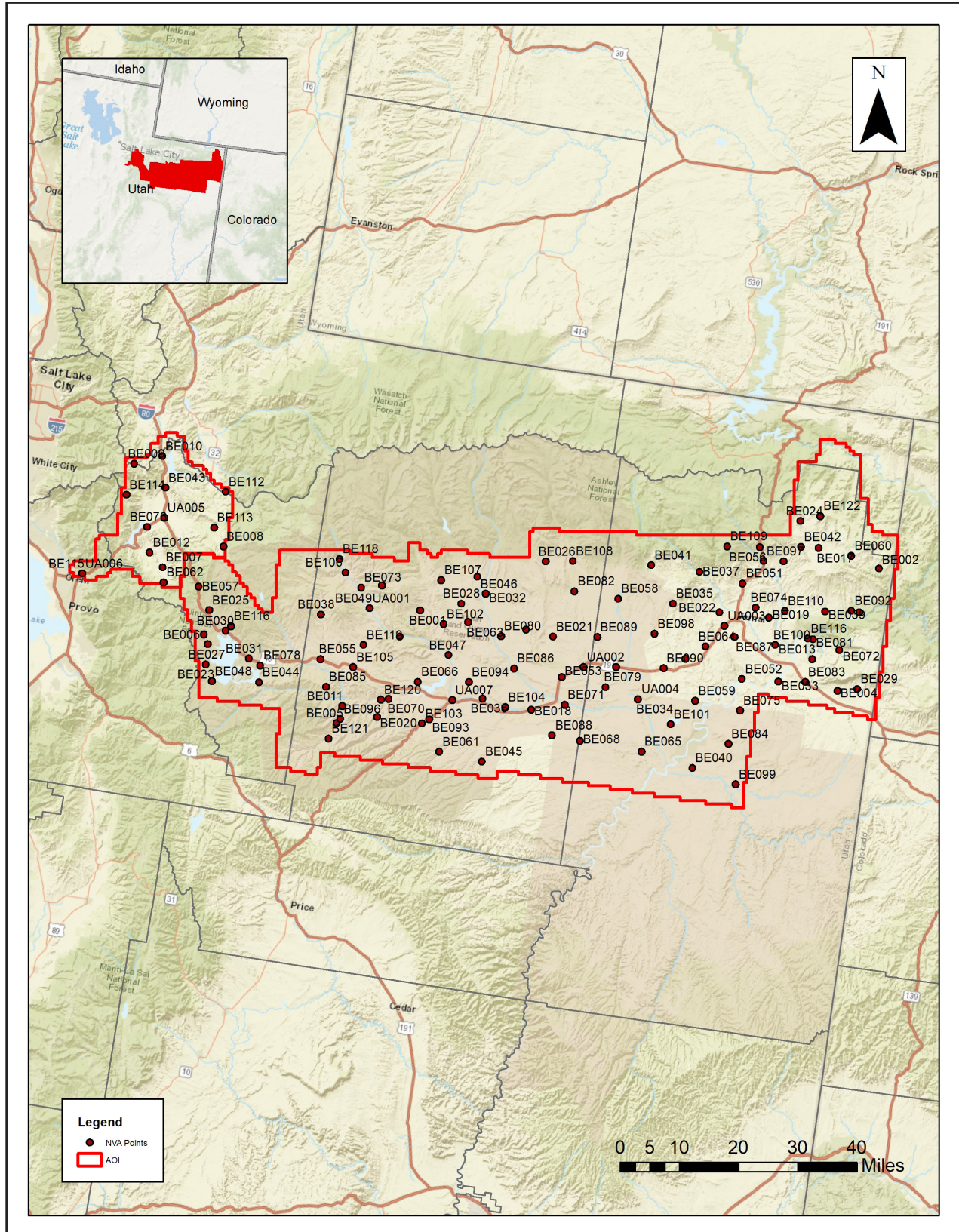


Figure 11. QC Checkpoint Locations - VVA

