

## **LiDAR Quality Assessment Report**

The USGS National Geospatial Technical Operations Center, Data Operations Branch is responsible for conducting reviews of all Light Detection and Ranging (LiDAR) point-cloud data and derived products delivered by a data supplier before it is approved for inclusion in the National Elevation Dataset and the Center for LiDAR Information Coordination and Knowledge. The USGS recognizes the complexity of LiDAR collection and processing performed by the data suppliers and has developed this Quality Assessment (QA) procedure to accommodate USGS collection and processing specifications with flexibility. The goal of this process is to assure LiDAR data are of sufficient quality for database population and scientific analysis. Concerns regarding the assessment of these data should be directed to the Chief, Data Operations Branch, 1400 Independence Road, Rolla, Missouri 65401 or NGTOCoperations@usgs.gov.

Materials Received: 3/29/2012	Project Type: GPSC
	Project Description:
Project ID:	v13 Spec Lidar - 2.0m NPS
OK-FEMA_Pottawatomie-2011	
Project Alias(es):	Year of Collection: 2011
FEMA Region VI - Lower Pottawatomie	

Lot 1 of 1 lots.

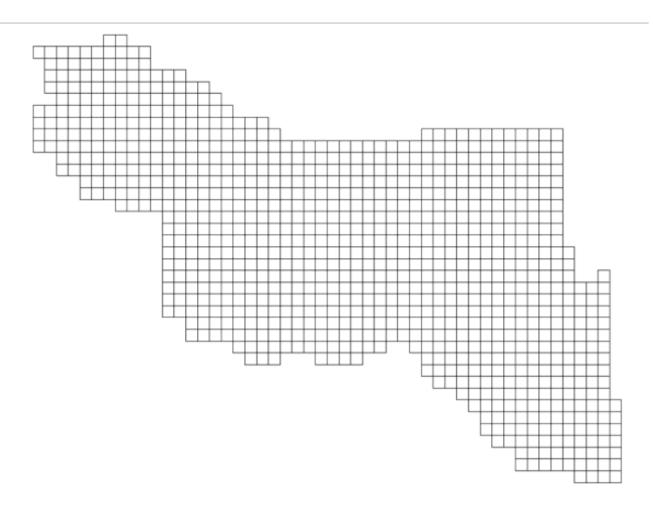
Project Extent:

✓ Project Extent image?



Project Tiling Scheme:

✓ Project Tiling Scheme image?



Contractor:	Applicable Specification:	
Fugro International	V13	
Licensing Restrictions:		
None		
☐ Third Party Performed QA?		

## Project Points of Contact:

POC Name	Туре	Primary Phone	E-Mail
Robert Kelly	CPT	x3612	ckelly@usgs.gov

# **Project Deliverables**

All project deliverables must be supplied according to collection and processing specifications. The USGS will postpone the QA process when any of the required deliverables are missing. When deliverables are missing, the Contracting Officer Technical Representative (COTR) will be contacted by the Elevation/Orthoimagery Section supervisor and informed of the problem. Processing will resume after the COTR has coordinated the deposition of remaining deliverables.

- ✓ Survey Report
- ✓ Processing Report
- ☑ QA/QC Report
- ✓ Control and Calibration Points
- ✓ Project Shapefile/Geodatabase
- ✓ Control Point Shapefile/Gdb

- ☑ Project Tiling Scheme Shapefile/Gdb
- ☑ Breakline Shapefile/Gdb
- ☑ Project XML Metadata
- **✓** Swath LAS XML Metadata
- ✓ Classified LAS XML Metadata
- ☑ Breakline XML Metadata
- ▼ Bare-Earth DEM XML Metadata

### Multi-File Deliverables

File Type	Quantity
	57
☐ Intensity Image Files	
▼Tiled LAS Files	943
☑ Breakline Files	1
☑ Bare-Earth DEM Files	943

### Additional Deliverables

	Item
V	Extra calibration and unused flight swath data also included

Errors, Anomalies, Other Issues to document? ○ Yes ⊙ No

None.

## **Project Geographic Information**

Areal Extent: 733.56 Sq Mi

Grid Size: 2.0 meters
Tile Size: 1500 meters

Nominal Pulse Spacing: 2.0 <u>meters</u>

Vertical Datum: NAVD88 <u>meters</u>

Horizontal Datum: NAD83 <u>meters</u>

Project Projection/Coordinate Reference System: UTM Zone 14 meters.

This Projection Coordinate Reference System is consistent across the following deliverables:

✓ Project Shapefile/Geodatabase

✓ Project Tiling Scheme Shapefile/Gdb

☑ Checkpoints Shapefile/Geodatabase

☑ Project XML Metadata File

✓ Classified LAS XML Metadata File

☑ Breaklines XML Metadata File

**▼**Bare-Earth DEM XML Metadata File

**✓** Swath LAS Files

▼ Classified LAS Files

✓ Breaklines Files

**▼**Bare-Earth DEM Files

## **Review Cycle**

This section documents who performed the QA Review on a project as well as when QA reviews were started, actions passed, received, and completed.

Reviewer:

B. Swain

Review Start Date:

4/9/2012

Action to Contractor Date	Issue Description	Return Date
4/11/2012	Bridge and culvert removal errors to be fixed by contractor	

Review Complete: 4/10/2012

## Metadata Review

Provided metadata files have been parsed using 'mp' metadata parser. Any errors generated by the parser are documented below for reference and/or corrective action.

The	Project XMI	Metadata	file parsed	withouterrors.
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The Swath LAS XML Metadata file parsed withouterrors.

The Classified LAS XML Metadata file parsed withouterrors.

The Breakline XML Metadata file parsed withouterrors.

The Bare-Earth DEM XML Metadata file parsed withouterrors.

## **Project QA/QC Report Review**

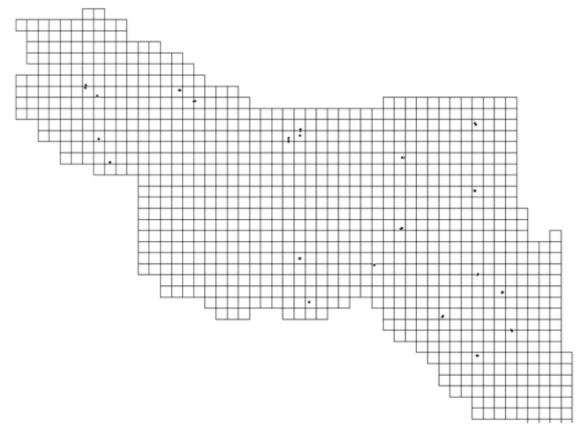
ASPRS recommends that checkpoint surveys be used to verify the vertical accuracy of LiDAR data sets. Checkpoints are to be collected by an independent survey firm licensed in the particular state(s) where the project is located. While subjective, checkpoints should be well distributed throughout the dataset. National Standards for Spatial Data Accuracy (NSSDA) guidance states that checkpoints may be distributed more densely in the vicinity of important features and more sparsely in areas that are of little or no interest. Checkpoints should be distributed so that points are spaced at intervals of at least ten percent of the diagonal distance across the dataset and at least twenty percent of the points are located in each quadrant of the dataset.

NSSDA and ASPRS require that a minimum of twenty checkpoints (thirty is preferred) are collected for each major land cover category represented in the LiDAR data. Checkpoints should be selected on flat terrain, or on uniformly sloping terrain in all directions from each checkpoint. They should not be selected near severe breaks in slope, such as bridge abutments, edges of roads, or near river bluffs. Checkpoints are an important component of the USGS QA process. There is the presumption that the checkpoint surveys are error free and the discrepancies are attributable to the LiDAR dataset supplied.

For this dataset, USGS checked the spatial distribution of checkpoints with an emphasis on the bare-earth (open terrain) points; the number of points per class; the methodology used to collect these points; and the relationship between the data supplier and checkpoint collector. When independent control data are available, USGS has incorporated this into the analysis.

## Checkpoint Shapefile or Geodatabase:

## ☑ Checkpoint Distribution Image?



ШШ

The following land cover classes are represented in this dataset (uncheck any that do not apply):
■ Bare Earth     ■ Bare Earth
▼ Tall Weeds and Crops
☐ Brush Lands and Low Trees
▼ Forested Areas Fully Covered by Trees
☐ Urban Areas with Dense Man-Made Structures
There are a minimum of 20 checkpoints for each land cover class represented. Points within each class are uniformly distributed throughout the dataset. USGS <u>was</u> able to locate independent checkpoints for this analysis. USGS <u>accepts</u> the quality of the checkpoint data for these LiDAR datasets.
Errors, Anomalies, Other Issues to document? ○ Yes ⊙ No
None.
Accuracy values are reported in terms of Fundamental Vertical Accuracy (FVA), Supplemental Vertical Accuracy(s) (SVA), and Consolidated Vertical Accuracy (CVA).
Accuracy values are reported in: centimeters
Required FVA Value is 24.5 centimeters or less.  Target SVA Value is 36.3 centimeters or less.  Required CVA Value is 36.3 centimeters or less.
The reported FVA of the LAS Swath data is 07.3 centimeters.
The reported FVA of the Bare-Earth DEM data is 07.5 centimeters.
SVA are required for each land cover type present in the data set with the exception of bare-earth. SVA is calculated and reported as a 95th Percentile Error.

Land Cover Type	SVA Value	Units
Tall Weeds and Crops	21.8	centimeters
Brush Lands and Low Trees		centimeters
Forested Areas Fully Covered by Trees	17.0	centimeters
Urban Areas with Dense Man-Made Structur		centimeters

The reported CVA of this data set is: 20.1 centimeters.

## LAS Swath File Review

LAS swath files or raw unclassified LiDAR data are reviewed to assess the quality control used by the data supplier during collection. Furthermore, LAS swath data are checked for positional accuracy. The data supplier should have calculated the Fundamental Vertical Accuracy using ground control checkpoints measured in clear open terrain. The following was determined for LAS swath data for this project:

LAS Version  • LAS 1.2	O LAS1.3	O LAS 1.4
	0 1.01.0	
Swath File Char		ıb filos
Each swath	der for LAS swat files <= 2GB	n nes
		full waveform have been provided
The reported F\	/A of the LAS sw	ath data is 07.3 centimeters.
Based on this re	eview, the USGS	accepts the LAS swath file data.
Errors, Anomalies	s, Other Issues to do	cument? ○ Yes
None.		

### LAS Tile File Review

Classified LAS tile files are used to build digital terrain models using the points classified as ground. Therefore, it is important that the classified LAS are of sufficient quality to ensure that the derivative product accurately represents the landscape that was measured. The following was determined for classified LAS files for this project:

### Classified LAS Tile File Characteristics

- ✓ Separate folder for Classified LAS tile files
- ✓ Classified LAS tile files conform to Project Tiling Scheme
- Quantity of Classified LAS tile files conforms to Project Tiling Scheme
- Classified LAS tile files do not overlap
- ✓ Classified LAS tile files are uniform in size
- Classified LAS tile files have no points classified as '12'
- Point classifications are limited to the standard values listed below:

Code	Description		
1	Processed, but unclassified		
2	Bare-earth ground		
7	Noise (low or high, manually identified, if needed)		
9	Water		
10	Ignored ground (breakline proximity)		
11	Withheld (if the "Withheld" bit is not implemented in processing software)		

■ Buy up?

Additional classifications in this data set.

- ☐ 3 Tall weeds and crops (low vegetation)
- ☐ 4 Brush lands and low trees (medium vegetation)
- □ 5 Forested areas fully covered by trees
- ☐ 6 Urban area with dense man-made structures

~	17	-	Unclassified in flight line overlap areas
~	18	-	Auto-filtered Bare-Earth ground points in flight line overlap areas
~	23	-	Auto-filtered Noise (low or high, manually identified, if needed) in flight line o
~	25	-	Water in flight line overlap areas

Based on this review, the USGS accepts the classified LAS tile file data.

Errors, Anomalies, Other Issues to document? Oyes ONO

None.

## Breakline File Review

Breaklines are vector feature classes that are used to hydro-flatten the bare earth Digital Elevation Models.

Breakline File Characteristics

- ✓ Separate folder for breakline files
- ☑ All breaklines captured as PolylineZ or PolygonZ features
- ✓ No missing or misplaced breaklines

Based on this review, the USGS accepts the breakline files.

Errors, Anomalies, Other Issues to document? OYes ONO

None.

## Bare-Earth DEM Tile File Review

The derived bare-earth DEM file receives a review of the vertical accuracies provided by the data supplier, vertical accuracies calculated by USGS using supplied and independent checkpoints, and a manual check of the appearance of the DEM layer.

Bare-Earth DEM files provided in the following format: Erdas Imagine \*.img

### Bare-Earth DEM Tile File Characteristics

- ☑ Separate folder for bare-earth DEM files
- ☑ DEM files conform to Project Tiling Scheme
- ☑ Quantity of DEM files conforms to Project Tiling Scheme
- ✓ DEM files do not overlap
- ✓ DEM files are uniform in size
- ✓ DEM files properly edge match
- ☑ Independent check points are well distributed

All accuracy values reported in centimeters

**Reported Accuracies** 

Land Cover Category	# of Points	Fundamental Vertical Accuracy  @95% Confidence Interval (Accuracy <sub>z</sub> ) Required FVA = 24.5 or less.	Supplemental Vertical Accuracy @95th Percentile Error Target SVA = 36.3 or less.	Consolidated Vertical Accuracy @95th Percentile Error Required CVA = 36.3 or less.	
Open Terrain	20	7.5			
Tall Weeds and Crops	20		21.8		
Brush Lands and Low Trees					
Forested Areas Fully Covered by Trees	20		17.0		
Urban Areas with Dense Man-Made Structures					
Consolidated	60			20.1	

**☑** QA performed Accuracy Calculations?

**Calculated Accuracies** 

Land Cover Category	# of Points	Fundamental Vertical Accuracy  @95% Confidence Interval (Accuracy <sub>z</sub> ) Required FVA = 24.5 or less.	Supplemental Vertical Accuracy @95th Percentile Error Target SVA = 36.3 or less.	Consolidated Vertical Accuracy @95th Percentile Error Required CVA = 36.3 or less.
Open Terrain	20	7.5		
Tall Weeds and Crops	20		21.76	
Brush Lands and Low Trees				
Forested Areas Fully Covered by Trees	20		16.98	
Urban Areas with Dense Man-Made Structures				
Consolidated	60			20.1

Based on this review, the USGS <u>does not recommend</u> the bare-earth DEM files for inclusion in the 1/3 Arc-Second National Elevation Dataset.

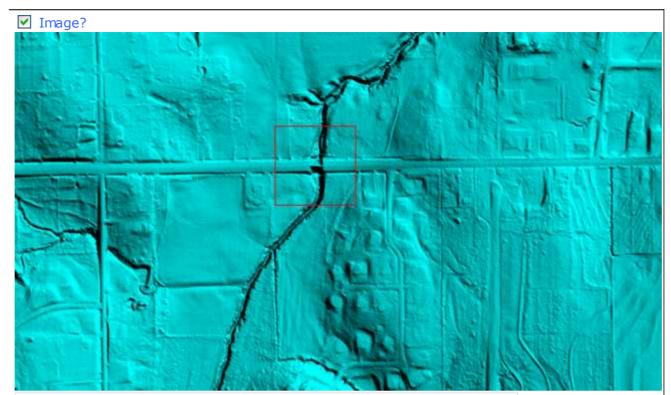
Based on this review, the USGS does not accept at this time the bare-earth DEM files.

Bare-Earth DEM Anomalies, Errors, Other Issues

Errors, Anomalies, Other Issues to document? • Yes • No

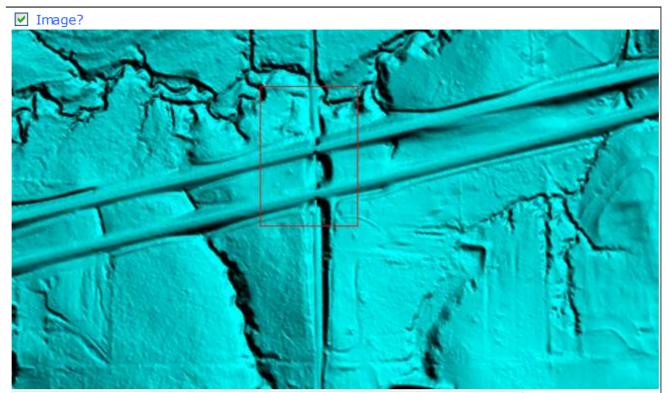
☐ Image?			

Several bridge and culvert removal errors were found in the DEM. Two examples of each are shown below, and the rest have been listed with their respective coordinates.



Bridge removal error @ 35° 29' 34.6400" N, 97° 11' 19.9923" W

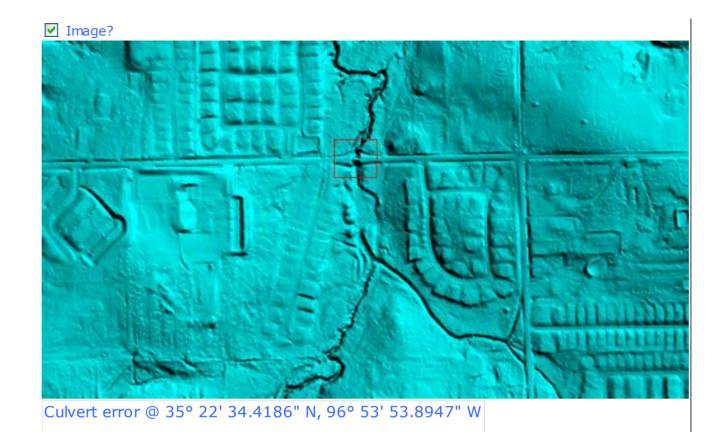
\*\*This error has been fixed by the vendor.\*\*



Bridge removal errors at 35° 23' 11.9663" N, 96° 52' 36.4790" W

\*\*This error has been fixed by the vendor.\*\*





\*\*This error has been fixed by the vendor.\*\*

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☐ Image?
Other errors:
Culvert @ 35° 25' 11.9708" N, 96° 53' 40.5289" W
Culvert @ 35° 26' 4.2939" N, 96° 54' 28.7749" W
Culvert @ 35° 20' 53.0836" N, 96° 38' 39.3550" W
Culvert @ 35° 20' 53.5776" N, 96° 38' 11.3692" W
Culvert @ 35° 15' 16.2578" N, 96° 46' 54.5386" W
Culvert @ 35° 08' 42.8133" N, 96° 33' 28.7088" W
Culvert @ 35° 15' 0.8147" N, 96° 45' 18.2473" W
Culvert @ 35° 09' 33.9799" N, 96° 33' 7.5841" W
Culvert @ 35° 08' 42.7612" N, 96° 35' 25.5422" W
Culvert @ 35° 08' 42.7506" N, 96° 35' 48.0664" W
Bridge @ 35° 23' 11.6679" N, 96° 52' 36.0950" W
Bridge @ 35° 23' 9.0713" N, 96° 49' 28.2873" W
Bridge @ 35° 23' 3.2868" N, 96° 42' 24.3661" W
Bridge @ 35° 23' 4.5842" N, 96° 41' 20.4009" W
**These errors have been fixed by the vendor.**
```

Based on this review, the deliverables provided <u>meet</u> the Task Order requirements.

#### Internal Note:

All corrections were received by NGTOC and replaced the original DEMs in the final gridding. A folder containing the original DEMs and another containing the individual corrected DEM tiles were created by NGTOC and can be found in the NED folder.

Project was gridded as 2 meter tiles in Global Mapper, and accepted for the NED.

This is the end of the report.

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