

LiDAR Quality Assessment Report

The USGS National Geospatial Technical Operations Center, Data Operations Branch is responsible for conducting reviews of all Light Detection and Ranging (LiDAR) pointcloud data and derived products delivered by a data supplier before it is approved for inclusion in the National Elevation Dataset and the Center for LiDAR Information Coordination and Knowledge. The USGS recognizes the complexity of LiDAR collection and processing performed by the data suppliers and has developed this Quality Assessment (QA) procedure to accommodate USGS collection and processing specifications with flexibility. The goal of this process is to assure LiDAR data are of sufficient quality for database population and scientific analysis. Concerns regarding the assessment of these data should be directed to the Chief, Data Operations Branch, 1400 Independence Road, Rolla, Missouri 65401 or NGTOCoperations@usgs.gov.

Materials Received: 5/8/2012	Project Type: NSDI Agreement
	Project Description:
Project ID:	The dataset encompasses areas
OR_OLC-EaglePoint_2010	including and surrounding Eagle Point,
Project Alias(es):	Oregon. The bare earth digital elevation models (DEM) represent the
None	earth's surface with all vegetation
	and human-made structures
	removed. The bare earth DEMs were
	derived from LiDAR data using TIN processing of the ground point
	returns. The DEM grid cell size is 1
	meter. The elevation units are in
	meters. Some elevation values have
	been interpolated across areas in the
	ground model where there is no
	elevation data (e.g. over water, over
	dense vegetation). Watershed
	Sciences, Inc. collected the LiDAR and created this data set for the Oregon
	Department of Geology and Mineral
	Industries (DoGAMI)
	2010

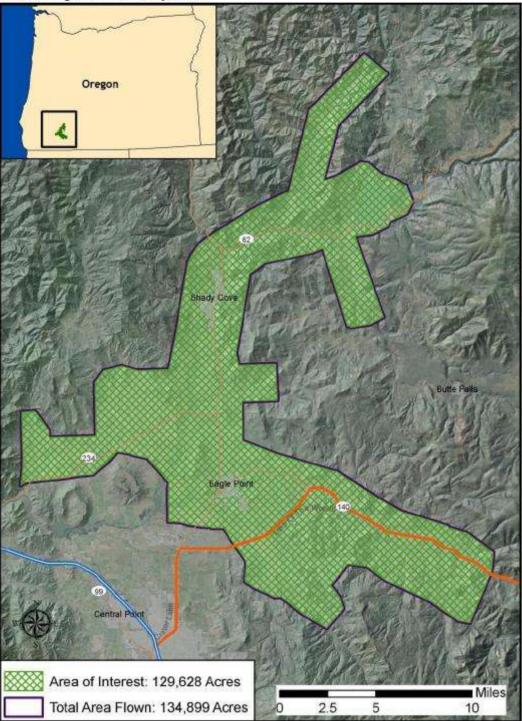
Year of Collection: 2010

Lot 1 of 1 lots.

Project Extent:

✓Project Extent image?





Project Tiling Scheme: ✓ Project Tiling Scheme image?

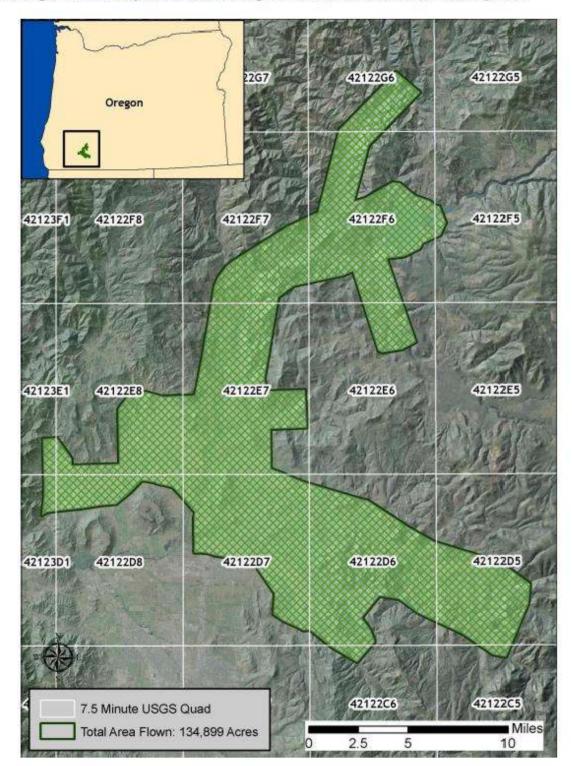


Figure 1.2. Eagle Point Study Area, illustrating the delivered 7.5 minute USGS quads.

Contractor:	Applicable Specification:
Watershed Sciences	DOGAMI

Licensing Restrictions:

None

Third Party Performed QA?

Third Party QA Performed By: DOGAMI

Project Points of Contact:

POC Name	Туре	Primary Phone	E-Mail	
Sheri Schneider	NSDI Liaison	503-310-1531	sschneider@usgs.gov	

Project Deliverables

All project deliverables must be supplied according to collection and processing specifications. The USGS will postpone the OA process when any of the required deliverables are missing. When deliverables are missing, the Contracting Officer Technical Representative (COTR) will be contacted by the Elevation/Orthoimagery Section supervisor and informed of the problem. Processing will resume after the COTR has coordinated the deposition of remaining deliverables.

- Collection Report
- Survey Report
- Processing Report
- ☑ QA/QC Report
- Control and Calibration Points
- Project Shapefile/Geodatabase
- Project Tiling Scheme Shapefile/Gdb
- Control Point Shapefile/Gdb
- Breakline Shapefile/Gdb
- Project XML Metadata

Multi-File Deliverables

File Type	Quantity
Swath LAS Files Required? XML Metadata?	
✓ Intensity Image Files Required?	491
✓ Tiled LAS Files ✓ Required? ☐ XML Metadata?	491
Breakline Files 🗌 Required? 🗌 XML Metadata?	
■ Bare-Earth DEM Files ■ Required? ■ XML Metadata?	15

Additional Deliverables

]	Item
✓	Highest Hit Rasters, 15
✓	Ground Density Rasters, 491
~	Total area flown in shapefile format, 1
	Processing Bins, dgn and shp format, 1
¥	Ground las files, 491

Errors, Anomalies, Other Issues to document? • Yes O No

According to page 3 of the OLC Eagle Point Delivery Acceptance Report provided by DOGAMI, all deliverables are to be in Oregon Lambert, NAD83 (HARN), Intl Feet with the exception of trajectory files. All deliverables received by reviewer at NGTOC were in NAD83 UTM Zone 10N, units in meters.

Project Geographic Information

Areal Extent: ²⁰³ <u>Sq Mi</u>	
Grid Size: ¹ meters	
Tile Size: 7.5 min quads, 100th quads <u>Select</u>	
Nominal Pulse Spacing: 1 <u>meters</u> Vertical Datum: NAVD88 <u>meters</u> Horizontal Datum: NAD83 <u>meters</u>	
Project Projection/Coordinate Reference System:	UTM Zone 10 N <u>meters</u> .
This Projection Coordinate Reference System is c	onsistent across the following deliverables:
Project Shapefile/Geodatabase	🔲 Breaklines XML Metadata File
Project Tiling Scheme Shapefile/Gdb	🗹 Bare-Earth DEM XML Metadata File
Checkpoints Shapefile/Geodatabase	Swath LAS Files
Project XML Metadata File	Classified LAS Files
Swath LAS XML Metadata File	Breaklines Files
Classified LAS XML Metadata File	Bare-Earth DEM Files
Project XML Metadata CRS	
No Project XML Metadata delivered.	
Swath LAS XML Metadata CRS	
No swath LAS XML Metadata delivered.	
Classified LAS XML Metadata CRS	

No classified LAS XML Metadata delivered.
Breakline XML Metadata CRS
No breakline XML Metadata delivered.
Swath LAS Files CRS
No swath LAS files delivered.
Classified LAS Files CRS
Unknown coordinate system.
Breakline Files CRS
No breakline files delivered.

Review Cycle

This section documents who performed the QA Review on a project as well as when QA reviews were started, actions passed, received, and completed.

Reviewer:	Review Start Da	ate:
H. Boggs	5/24/2012	
Action to Contractor Date	Issue Description	Return Date

Review Complete: 6/8/2012

Metadata Review

Provided metadata files have been parsed using 'mp' metadata parser. Any errors generated by the parser are documented below for reference and/or corrective action.

The Project XML Metadata file parsed <u>with</u>errors.

No Project XML Metadata delivered.

The Bare-Earth DEM XML Metadata file parsed with errors.

```
Original Metadata Parser Results-OR OLC-Eagle-Point 2010
Executing: mp G:\LiDAR\Projects\Oregon\OLC EAGLE POINT 2010
\USGS\metadata.xml # # #
Start Time: Fri May 25 09:23:00 2012
Running script mp...
"C:\ArcGIS\bin\mp.exe" metadata.xml
                                     2 > \& 1
mp metadata.xml
: mp 2.9.6 - Peter N. Schweitzer (U.S. Geological Survey)
: Info: input file = metadata.xml
: Error (line 10): improper value for Publication_Date
: Error (line 22): improper value for Beginning Date
: Error (line 22): improper value for Ending Date
: Error (line 48): Place Keyword Thesaurus is required in Place
: Error (line 51): Stratum Keyword Thesaurus is required in Stratum
: Error (line 51): Stratum Keyword is required in Stratum
: Error (line 51): Temporal Keyword Thesaurus is required in Temporal
: Error (line 51): Temporal Keyword is required in Temporal
: Error (line 83): Horizontal Positional Accuracy Report is required in
Horizontal Positional Accuracy
: Error (line 84): Horizontal Positional Accuracy Value is required in
Quantitative Horizontal Positional Accuracy Assessment
: Error (line 88): Vertical Positional Accuracy Report is required in
Vertical Positional Accuracy
: Error (line 90): improper value for Vertical Positional Accuracy Value
: Error (line 96): Process Date is required in Process Step
: Error (line 101): Process Date is required in Process Step
: Error (line 136): Entity Type Label is required in Entity Type
: Error (line 136): Entity_Type_Definition is required in Entity Type
: Error (line 136): Entity Type Definition Source is required in Entity Type
: Error (line 146): Attribute Definition is required in Attribute
: Error (line 146): Attribute Definition Source is required in Attribute
: Error (line 146): Attribute Domain Values is required in Attribute
: Error (line 149): Attribute Definition is required in Attribute
: Error (line 149): Attribute Definition Source is required in Attribute
: Error (line 149): Attribute Domain Values is required in Attribute
: Error (line 154): Distribution Liability is required in
Distribution Information
```

```
: Error (line 156): Contact_Voice_Telephone is required in
Contact_Information
: Error (line 161): Address_Type is required in Contact_Address
: Error (line 171): Fees is required in Standard_Order_Process
: Error (line 172): Digital_Transfer_Option is required in Digital_Form
: Error (line 173): Format_Name is required in Digital_Transfer_Information
: 29 errors: 25 missing, 4 bad_value
Completed script mp...
Executed (mp) successfully.
End Time: Fri May 25 09:23:00 2012 (Elapsed Time: 0.00 seconds)
```

Reviewer at NGTOC corrected as many errors as possible and again ran the file through the metadata parser with the following results:

NGTOC Corrected Metadata Parser Results-OR_OLC-Eagle-Point_2010

```
Executing: mp G:\LiDAR\Projects\Oregon\OLC EAGLE POINT 2010
\USGS\metadata.xml # # #
Start Time: Fri May 25 09:46:47 2012
Running script mp...
"C:\ArcGIS\bin\mp.exe" metadata.xml 2>&1
mp metadata.xml
: mp 2.9.6 - Peter N. Schweitzer (U.S. Geological Survey)
: Info: input file = metadata.xml
: Error (line 86): improper value for Horizontal Positional Accuracy Value
: Error (line 101): Process Date is required in Process Step
: Error (line 145): improper value for Attribute Value Accuracy
: Error (line 146): Attribute Definition is required in Attribute
: Error (line 146): Attribute Definition Source is required in Attribute
: Error (line 146): Attribute Domain Values is required in Attribute
: Error (line 149): Attribute Definition is required in Attribute
: Error (line 149): Attribute Definition Source is required in Attribute
: Error (line 149): Attribute Domain Values is required in Attribute
: Error (line 156): Contact Voice Telephone is required in
Contact Information
: Error (line 161): Address Type is required in Contact Address
: Error (line 172): Digital Transfer Option is required in Digital Form
: 12 errors: 10 missing, 2 bad value
Completed script mp...
Executed (mp) successfully.
End Time: Fri May 25 09:46:47 2012 (Elapsed Time: 0.00 seconds)
```

This is the best-use metadata for the project. Reviewer at NGTOC renamed the file bestuse.xml. The files will be delivered to EROS in the Metadata-Documents folder.

Project QA/QC Report Review

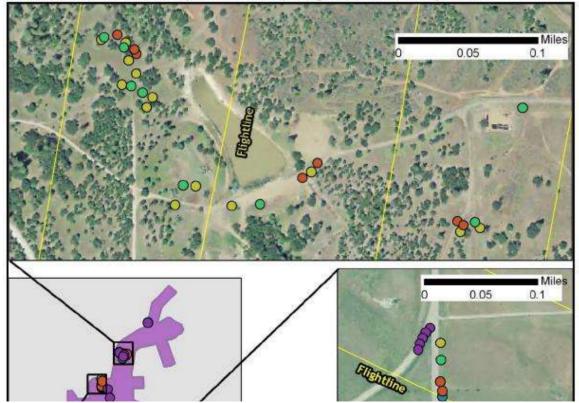
ASPRS recommends that checkpoint surveys be used to verify the vertical accuracy of LiDAR data sets. Checkpoints are to be collected by an independent survey firm icensed in the particular state(s) where the project is located. While subjective, checkpoints should be well distributed throughout the dataset. National Standards for Spatial Data Accuracy (NSSDA) guidance states that checkpoints may be distributed more densely in the vicinity of important features and more sparsely in areas that are of little or no interest. Checkpoints should be distributed so that points are spaced at intervals of at least ten percent of the diagonal distance across the dataset and at east twenty percent of the points are located in each quadrant of the dataset.

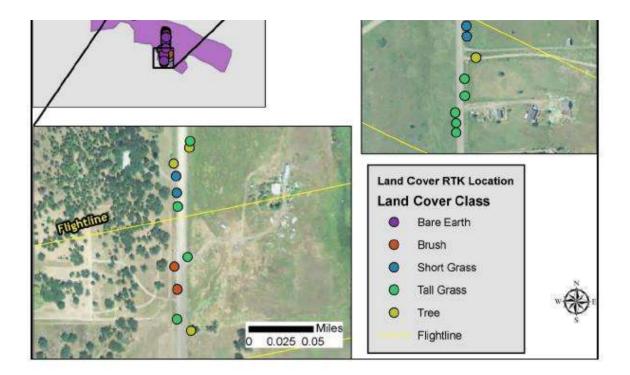
NSSDA and ASPRS require that a minimum of twenty checkpoints (thirty is preferred) are collected for each major land cover category represented in the LiDAR data. Checkpoints should be selected on flat terrain, or on uniformly sloping terrain in all directions from each checkpoint. They should not be selected near severe breaks in slope, such as bridge abutments, edges of roads, or near river bluffs. Checkpoints are an important component of the USGS QA process. There is the presumption that the checkpoint surveys are error free and the discrepancies are attributable to the LiDAR dataset supplied.

For this dataset, USGS checked the spatial distribution of checkpoints with an emphasis on the bare-earth (open terrain) points; the number of points per class; the methodology used to collect these points; and the relationship between the data supplier and checkpoint collector. When independent control data are available, USGS has incorporated this into the analysis.

Checkpoint Shapefile or Geodatabase: Checkpoint Distribution Image?

Figure 2.4 Selected land cover RTK point locations; images are NAIP orthophotos.





The following land cover classes are represented in this dataset (uncheck any that do not apply):

- Bare Earth
- Tall Weeds and Crops
- Brush Lands and Low Trees
- □ Forested Areas Fully Covered by Trees
- Urban Areas with Dense Man-Made Structures

There are a minimum of 20 checkpoints for each land cover class represented. Points within each class are uniformly distributed throughout the dataset. USGS <u>was not</u>able to locate independent checkpoints for this analysis. USGS <u>accepts</u> the quality of the checkpoint data for these LiDAR datasets.

Errors, Anomalies, Other Issues to document?

Yes
No

Image?

In the "Accuracy by Land Cover" section of the report submitted by Watershed Sciences, the following land cover classes are included in Table 3.2: Bare Earth, Grass-short, Grass-tall, Brush, and Tree. However, the shapefile delivered to the reviewer at NGTOC contains the following land cover classes: Dirt, Marsh, Shrub, Stump, Tree, Tall Grass/Thistle, Tall Grass, Short Grass, and Grass. Watershed Sciences collected all checkpoints used in this analysis of vertical accuracy, therefore the checkpoints are not independent.

Image?

DOGAMI conducted their own vertical accuracy analysis which consisted of differencing control data and the delivered DEMs to expose offsets. Control data for the analysis was collected by DOGAMI, therefore these checkpoints are independent. These offsets were used to produce a mean vertical error and vertical RMSE value for the entire delivered data set. Project specifications list the maximum acceptable mean vertical offset to be 0.20 meters (0.65 feet). A total of 1670 measured GCP's were obtained in the delivery region and compared with Bare-Earth DEMs. DOGAMI reports the RMSE as 0.036 meters (0.117 ft).

□ Image?

Reviewer at NGTOC was unable to locate the 1670 checkpoints used by DOGAMI in the vertical accuracy analysis.

Accuracy values are reported in terms of Fundamental Vertical Accuracy (FVA), Supplemental Vertical Accuracy(s) (SVA), and Consolidated Vertical Accuracy (CVA).

Accuracy values are reported in: meters

Required FVA Value is N/A meters or less.

Target SVA Value is N/A meters or less.

Required CVA Value is N/A meters or less.

The reported FVA of the LAS Swath data is N/A meters.

The reported FVA of the Bare-Earth DEM data is N/A meters.

SVA are required for each land cover type present in the data set with the exception of bare-earth. SVA is calculated and reported as a 95th Percentile Error.

Land Cover Type	SVA Value	Units
Tall Weeds and Crops		meters
Brush Lands and Low Trees		meters
Forested Areas Fully Covered by Trees		meters
Urban Areas with Dense Man-Made Structur		meters

The reported CVA of this data set is: N/A meters.

LAS Tile File Review

Classified LAS tile files are used to build digital terrain models using the points classified as ground. Therefore, it is important that the classified LAS are of sufficient quality to ensure that the derivative product accurately represents the landscape that was measured. The following was determined for classified LAS files for this project: Classified LAS Tile File Characteristics

- Separate folder for Classified LAS tile files
- Classified LAS tile files conform to Project Tiling Scheme
- Quantity of Classified LAS tile files conforms to Project Tiling Scheme
- Classified LAS tile files do not overlap
- Classified LAS tile files are uniform in size
- Classified LAS tile files have no points classified as '12'

Point classifications are limited to the standard values listed below :

С	ode	Description		
	1	Processed, but unclassified		
	2	Bare-earth ground		
	7	Noise (low or high, manually identified, if needed)		
	9	Water		
	10	Ignored ground (breakline proximity)		
	11	Withheld (if the "Withheld" bit is not implemented in processing software)		
	3uy u	p?		

Based on this review, the USGS <u>accepts</u> the classified LAS tile file data.

Errors, Anomalies, Other Issues to document? • Yes O No

Image?

Classified las include only the following classes: 1=unclassified, 2=ground.

Bare-Earth DEM Tile File Review

The derived bare-earth DEM file receives a review of the vertical accuracies provided by the data supplier, vertical accuracies calculated by USGS using supplied and independent checkpoints, and a manual check of the appearance of the DEM layer.

Bare-Earth DEM files provided in the following format: ArcGrid

Bare-Earth DEM Tile File Characteristics

- Separate folder for bare-earth DEM files
- DEM files conform to Project Tiling Scheme
- Quantity of DEM files conforms to Project Tiling Scheme
- ✓ DEM files do not overlap
- ☑ DEM files are uniform in size
- DEM files properly edge match
- Independent check points are well distributed

All accuracy values reported in meters

Reported Accuracies

Land Cover Category	# of Points	$\frac{Fundamental}{Vertical Accuracy}$ $\frac{@95\%}{Confidence}$ Interval (Accuracy _z) Required FVA = N/A or less.	Supplemental Vertical Accuracy @95th Percentile Error Target SVA = N/A or less.	<u>Consolidated</u> <u>Vertical</u> <u>Accuracy @95th</u> Percentile Error Required CVA = N/A or less.
Open Terrain	20	N/A		
Tall Weeds and Crops				
Brush Lands and Low Trees				
Forested Areas Fully Covered by Trees				
Urban Areas with Dense Man-Made Structures				
Consolidated	20			N/A

QA performed Accuracy Calculations?

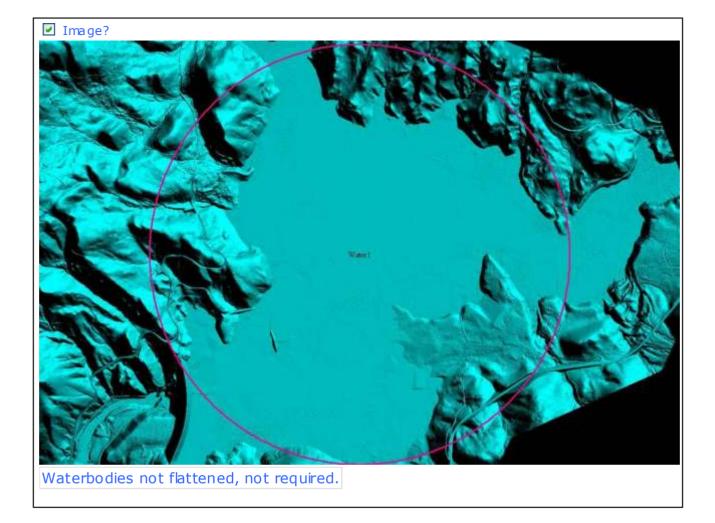
Based on this review, the USGS <u>does not recommend</u> the bare-earth DEM files for inclusion in the 1/3 Arc-Second National Elevation Dataset.

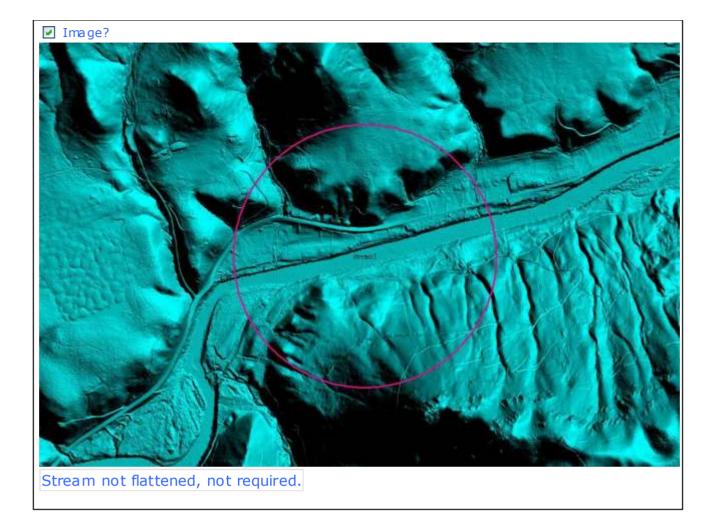
Based on this review, the USGS <u>accepts</u> the bare-earth DEM files.

Bare-Earth DEM Anomalies, Errors, Other Issues

Errors, Anomalies, Other Issues to document? • Yes • No

Image?
In the "Accuracy by Land Cover" section of the report submitted by Watershed Sciences, the following land cover classes are included in Table 3.2: Bare Earth, Grass-short, Grass-tall, Brush, and Tree. However, the shapefile delivered to the reviewer at NGTOC contains the following land cover classes: Dirt, Marsh, Shrub, Stump, Tree, Tall Grass/Thistle, Tall Grass, Short Grass, and Grass. Watershed Sciences collected all checkpoints used in this analysis of vertical accuracy, therefore the checkpoints are not independent.
Image?
Image?
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Image?
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Internal Note:

Reviewer made a shapefile documenting location of both water features detailed above. The shapefile is named "errors" and is located in the NED-Errors folder on the drive sent to EROS.

This is the end of the report.

QA Form V1.4 120CT11.xsn