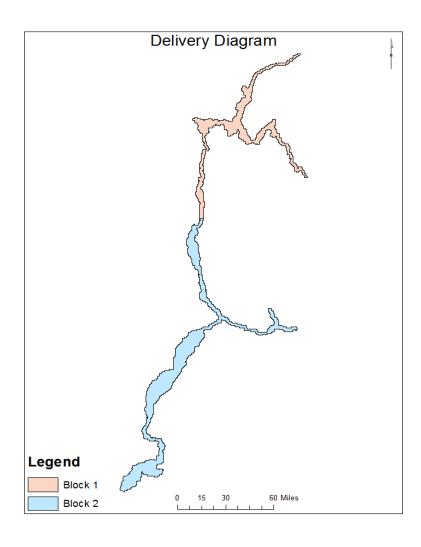


# LiDAR Quality Assessment Report

The USGS National Geospatial Technical Operations Center, Data Operations Branch is responsible for conducting reviews of all Light Detection and Ranging (LiDAR) point-cloud data and derived products delivered by a data supplier before it is approved for inclusion in the National Elevation Dataset. The USGS recognizes the complexity of LiDAR collection and processing performed by the data suppliers and has developed this Quality Assessment (QA) procedure to accommodate USGS collection and processing specifications with flexibility. The goal of this process is to assure LiDAR data are of sufficient quality for database population and scientific analysis. Concerns regarding the assessment of these data should be directed to the Chief, Data Operations Branch, 1400 Independence Road, Rolla, Missouri 65401.

AZ\_LowerColoradoRIver\_2018\_B18

NGTOC 2019-03-11 Annalisa Stasey



# **Project Information**

Project: AZ\_LowerColoradoRlver\_2018\_B18

Contractor: Woolpert, Inc.

Project Type:

Applicable Specification:
Other

**GPSC** 

1/9th

NGP Lidar Base Specification Version 1.3

Project Points of Contact:

Name:	Туре:	Email:
Leslie Lansbery	СРТ	llansbery@usgs.gov

### **REPORT QUALIFICATION SUMMARY:** Task Order Overall: Meets Requirements Metadata: 1 of 1 **Reviews Accepted** 0 Reviews Not Accepted Vertical Accuracy: 0 of 1 Reviews Accepted O Reviews Not Accepted Swath/Raw LAS: 0 of 1 Reviews Accepted O Reviews Not Accepted Tiled/Classified LAS: 1 of 1 Reviews Accepted 0 Reviews Not Accepted Breakline: 1 of 1 **Reviews Accepted** O Reviews Not Accepted DEM(s): **Reviews Accepted** O Reviews Not Accepted NED Review: 1 of 1 DEM tile reviews recommended for NED 1/3rd 0 of 1 DEM tile reviews recommended for NED

roject	Subdivision:	Lots

List Subdivision:

• 1

of: 2

Dates Collected Range:

Collection Start: 9/2/2018

Collection End: 9/23/2018

Project Aliases:

AZ\_LowerColoradoRiver\_2018\_B18 Block 1

Licensing:

Other...

Restricted at this time.

Project Description:

This task order requests a **leaf-on** late summer/early fall 2018 lidar surveys to be collected over approximately **2,122 square miles** of the Lower Colorado River in Arizona, Nevada and California as depicted in the Area of Interest (AOI) in Attachment "A". Portions of the AOI in Arizona and California are along the U.S. and Mexico border. Lidar shall be acquired up to the border, but shall not extend acquisition across the border into Mexico. The AZ LowerColoradoRiver 2018 B18 AOI has been expanded to the

Albers National Indexing Scheme - https://pubs.usgs.gov/fs/2017/3073/fs20173073.pdf. Tile index

https://pubs.usgs.gov/fs/2017/3073/fs20173073.pdf. Tile index download -

https://nationalmap.gov/3DEP/3dep national indexing scheme.html. This project will support the 3DEP mission, the United States Bureau of Reclamation (USBR) Boulder Canyon Operations Office (BCOO) and the Lower Colorado River Multi-Species Conservation Program (LCR MSCP) vegetation mapping projects. The Defined Project Area (DPA) and associated AOIs are delineated in "Attachment A" and are further defined in "Attachment B." Contractor shall supply a proposed Delivery Diagram as defined in Section C.1.d. (vii) Delivery Diagram. The final, approved delivery diagram will be delineated in "Attachment C" of the executed task order. This project will require hydro-flattening.

This report is for Block 1 of the project.

Review	Information				
Reviewer:	Annalisa Stasey		Date Delivered	1/30/2019	
3rd Party QA Performed:		C	Date Assigned	1/30/2019	
Action To Contr	actor Date:	Issue Description:		Return Date:	
3/11/2019		Rejectable errors are described in RED, corrections in GREEN, and notes in BLACK		4/11/2019	
		Project Materials:  All reports and metadata to be delimited with final block data  Metadata:  Tiles/Classified LiDAR review:  2 different SRS found  3 point misclassifications (bridge)  10 point misclassifications (ground)  3 areas with undulations  Missing classes 3, 4, 5 as required be order  4 tiles with non-zero file source ID's  Breakline Review:  1 feature requires breakline enforcement  1 feature requires monotonic downhill treatment  DEM Review:  7 unknown anomalies  1 feature requires hydroflattening	) by task		
4/24/2019		Rejectable errors are described in RED, corrections in GREEN, and notes in BLACK  SUMMARY  Tiles/Classified LiDAR review:  • 2 different SRS found  • Missing classes 3, 4, 5 as required border  • 4 tiles with non-zero file source ID's  • Scan direction flag format  Breakline Review:  • 1 feature requires breakline enforcement	oy task	5/13/2019	
5/14/2019		Project meets requirements		5/14/2019	

Review	Complete:	'		
3/11/2	019			
Dates P	roject Worked:			
Start:	3/1/2019	4/24/2019	5/14/2019	
End:	3/11/2019	4/24/2019	5/14/2019	

## **Project Materials Received**

All project deliverables must be supplied according to collection and processing specifications. The USGS will postpone the QA process when any of the required deliverables are missing. When deliverables are missing, the Contracting Officer Technical Representative (COTR) will be contacted by the Elevation Section supervisor and informed of the problem. Processing will resume after the COTR has coordinated the deposition of remaining deliverables.

#### **METADATA**

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Collection Report:				Select	0	Will be delivered with final block data.
Survey Report:				<u>Select</u>	0	Will be delivered with final block data.
Processing Report:				<u>Select</u>	0	Will be delivered with final block data.
QA/QC Report:				Select	0	Will be delivered with final block data.
Project Level XML Metadata:				XML	0	Not required under V 1.3
Project Extent:	<b>&gt;</b>	•	•	<u>.shp</u>	2	Full project extent and block extent.
Tile Scheme:	<b>&gt;</b>	<b>&gt;</b>	~	.shp	1	
Control (Calibration) Points:	<b>&gt;</b>	<b>&gt;</b>	~	.shp	1	
Check (Validation) Points:	<b>&gt;</b>	•	~	.shp	2	NVA and VVA separate
Additional Comments:	All repor	ts to be delive	ered with fir	nal block data.	,	

#### LIDAR DATA

D	eliverables	Delivered	XML	Required	Format	Quantity	Additional Details	
---	-------------	-----------	-----	----------	--------	----------	--------------------	--

		Metadata				
Swath Data:				<u>Select</u>	0	not required under V 1.3
Classified/ Tiled Data:	~	<b>V</b>	~	<u>.las</u>	1,946	See comment in Tiled/Classified section
Additional Comme	Preliminary metadata delivered for this block. Final metadata to be delivered with final block data.  Additional Comments:  Classified .las has extra classes required: Class 3 (low veg, <0.6 m), Class 4 (medium veg, 0.6 - 4.5 m) and Class 5 (high veg, >4.5 m).					

### **DERIVED DELIVERABLES**

Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
DEM Tiles:	>	<b>&gt;</b>	<b>&gt;</b>	<u>IMG</u>	1,947	
Breaklines:	<b>~</b>	<b>&gt;</b>	<b>&gt;</b>	<u>FGD</u>	1	

Additional Comments:

Preliminary metadata delivered for this block. Final metadata to be delivered with final block data.

#### **OTHER**

Additional Deliverables	Delivered	XML Metadata	Required	Format	Quantity	Additional Details
Intensities	<b>~</b>	<b>✓</b>	~	.tif	1,946	See comment in Tiled/Classified section
DSM	<b>~</b>	<b>~</b>	<b>✓</b>	.img	1,947	Required deliverable per task order

Additional Comments:

Other deliverables to be provided with final block data.

# **Geographic Information**

Area Extent:	751.74	<u>Sq. Miles</u>	
Tile Size:	1000 x 1000	<u>Meters</u>	
DEM/DTM Grid Spacing:	0.5	<u>Meters</u>	
Coordinate Referen	nce System: Contiguous USA Albers		
NAD_1983_2011_	_contiguous_osA_Albers		
Projection:	Albers		
<i>Horizontal</i> N	IAD83		<ul><li>Meters</li></ul>

Datum:	(2011)	○ U.S. Feet
Vertical Datum:	NAVD88	○ Int'l Feet  • Meters
THIS PROJECTION  ✓ Project II  ✓ Project II  ✓ Project II  ✓ Control II  ✓ Checkpo	Extent Extent XML Metadata  File Scheme File Scheme XML Metadata  Points Points XML Metadata	U.S. Feet
	n Information	
Quality Level:	n Information <u>1</u>	Sensor Information:
Configured No 0.35	minal Pulse Spacing:  Meters	Sensor Type: Aerial Oscillating Mirror Sensor Used: Optech Galaxy Configured Scan Angle ± from nadir: 40 Degrees
Additional Cor	nments:	
Vendor provid documented b Parser can be	elow for reference and/or corrective found @	

 $AZ\_LowerColoradoRlver\_2018\_B18$ 

GPSC

The Control Point XN Check if 'Best Use' me	### All Metadata parsed select errors.  Petadata for NED:
The Check Point XMI Check if 'Best Use' me	. Metadata parsed <u>select</u> errors. etadata for NED:
The Classified XML N Check if 'Best Use' me	Metadata parsed <u>without</u> errors.  etadata for NED:
The DEM XML Metac Check if 'Best Use' m	data parsed <u>without</u> errors. etadata for NED:
The Breakline XML N Check if 'Best Use' ma	<b>Metadata parsed <u>without</u>errors.</b> etadata for NED:   —
Additional Comments:	DSM and Intensity XML parsed without errors.
Based on this revie	ew, the USGS <u>accepts</u> the xml metadata provided.
	End of Metadata Review
ASPRS recomm Checkpoints ar project is locate Standards for S densely in the Checkpoints sh	ends that checkpoint surveys be used to verify the vertical accuracy of LiDAR data sets. e to be collected by an independent survey firm licensed in the particular state(s) where the ed. While subjective, checkpoints should be well distributed throughout the dataset. National patial Data Accuracy (NSSDA) guidance states that checkpoints may be distributed more vicinity of important features and more sparsely in areas that are of little or no interest. ould be distributed so that points are spaced at intervals of at least ten percent of the ce across the dataset and at least twenty percent of the points are located in each quadrant
major land cov on uniformly sl breaks in slope component of	PRS require that a minimum of twenty checkpoints (thirty is preferred) are collected for each er category represented in the LiDAR data. Checkpoints should be selected on flat terrain, or oping terrain in all directions from each checkpoint. They should not be selected near severe, such as bridge abutments, edges of roads, or near river bluffs. Checkpoints are an important the USGS QA process. There is the presumption that the checkpoint surveys are error free and es are attributable to the LiDAR dataset supplied.
(open terrain) ¡ relationship be	t, USGS checked the spatial distribution of checkpoints with an emphasis on the bare-earth points; the number of points per class; the methodology used to collect these points; and the tween the data supplier and checkpoint collector. When independent control data are is has incorporated this into the analysis.

# Required Vertical Accuracy

● Yes ○ No

REQUIRED NON-VEGETATED VERTICA	L ACCURACY FOR SWATH	AND DEM	FILES
Required Unit:	Centimeters		
Required # of checkpoints:	73		
Required RMSEz:	10		

Required Vertical Accuracy (RI 95th CI)	MSEz *	9.6	
EQUIRED VEGETATED VER	TICAL ACCUR	ACY FOR DEM FILES	
Required Unit:		entimeters	
Required # of checkpoints:	5	7	
Required Vertical Accuracy (@percentile)	95th 30	0	
	The checkpoints block areas.	ilisted above are for the t	total square miles of the project area and not by
eported Vertical Acc Yes \( \) No EPORTED NON-VEGETATED	•	CCURACY FOR SWAT	TH LIDAR FILES
Reported Unit:	Ce	entimeters	
Reported # of checkpoints:	86	5	
Reported RMSEz:			
Reported Vertical Accuracy (RI 95th CI)	MSEz *	7	
EPORTED NON-VEGETATE	O VERTICAL A	CCURACY FOR DEM	FILES
Reported Unit:	Se	elect or type	
Reported # of checkpoints:	86	5	
Reported RMSEz:	0		
Reported Vertical Accuracy (RI 95th CI)	MSEz *		
REPORTED VEGETATED VER	TICAL ACCUR	ACY FOR DEM FILES	
Reported Unit:	C	antimatars	]

Reported Unit:

Centimeters

Reported # of checkpoints:

69

Reported Vertical Accuracy (95th percentile)

Additional Reported Vertical Accuracy

Information:	

### Reviewed Vertical Accuracy

○ Yes ● No

Vertical Accuracy information was not or could not be reviewed.

Based on this review, the USGS Select... the vertical accuracy.

End of Vertical Accuracy Review

#### Raw-Swath LiDAR Review

LAS swath files or raw unclassified LiDAR data are reviewed to assess the quality control used by the data supplier during collection. Furthermore, LAS swath data are checked for positional accuracy. The data supplier should have calculated the Non-Vegetated Vertical Accuracy using ground control checkpoints measured in clear open terrain (see Vertical Accuracy Review Section).

Review Required: ● Yes ○ No Not Delivered

### Tiled/Classified LiDAR Review Accepted

Classified LAS tile files are used to build digital terrain models using the points classified as ground. Therefore, it is important that the classified LAS are of sufficient quality to ensure that the derivative product accurately represents the landscape that was measured. Classified LAS Tiles are comprised as follows, "all project swaths, returns, and collected points, fully calibrated, adjusted to ground, and classified and cut, by tiles, excluding calibration swaths, cross-ties, and other swaths not used, or intended to be used, in product generation".

Review Required: Yes No

CLASSIFIED LIDAR TILE CHARACTERISTICS

Separate folder for classified/tiled LiDAR files

LAS Version: 1.4

Point Record Format: 6

If specified, \*.wpd files for full waveform data have been provided: Not Required

Classified LAS tile files conform to project tiling scheme

Quantity of classified LAS tile files conforms to project tiling scheme

CPT Comment: Contractor noted that Tile w1626n1635 was excluded from the Classified Lidar Point Cloud and Intensity Imagery data sets as it falls over a large, open water body that did not produce any returns.

```
    ✓ Classified LAS tile files do not overlap
    ✓ Classified LAS tile files are uniform in size
    ☐ Correct and properly formatted georeference information is included in all LAS file headers, including the use of OGC 2001 Well Known Text (WKT).
```

Two WKT's were returned by LAS analysis tools; both WKT's were run through the WKT parser. File w1641n1615 has different EPSG codes than other files in the project because it's in NAD83 not NAD83 (2011). The task order requires deliverables in NAD83 (2011) as below:

PROJ.4: '+proj=aea +lat\_1=29.5 +lat\_2=45.5 +lat\_0=23 +lon\_0=-96 +x\_0=0 +y\_0=0 +ellps=GRS80 +units=m +vunits=m +no\_defs '

OGC WKT:

COMPD\_CS["NAD83(2011) / Conus Albers + NAVD88 height - Geoid12B (metre)", PROJCS["NAD83(2011) / Conus Albers", GEOGCS["NAD83(2011)", DATUM["NAD83\_National\_Spatial\_Reference\_System\_2011", SPHEROID["GRS 1980",6378137,298.257222101, AUTHORITY["EPSG","7019"]],

```
AUTHORITY["EPSG","1116"]],
      PRIMEM["Greenwich",0,
        AUTHORITY["EPSG","8901"]],
      UNIT["degree",0.0174532925199433,
        AUTHORITY["EPSG","9122"]],
      AUTHORITY["EPSG","6318"]],
    PROJECTION["Albers_Conic_Equal_Area"],
    PARAMETER["standard_parallel_1",29.5],
    PARAMETER["standard_parallel_2",45.5],
    PARAMETER["latitude of center",23],
    PARAMETER["longitude_of_center",-96],
    PARAMETER["false easting",0],
    PARAMETER["false_northing",0],
    UNIT["metre",1,
      AUTHORITY["EPSG","9001"]],
    AXIS["X",EAST],
    AXIS["Y", NORTH],
    AUTHORITY["EPSG","6350"]],
 VERT_CS["NAVD88 height - Geoid12B (metre)",
    VERT DATUM["North American Vertical Datum 1988",2005,
      AUTHORITY["EPSG","5103"]],
    UNIT["metre",1,
      AUTHORITY["EPSG","9001"]],
    AXIS["Gravity-related height",UP],
    AUTHORITY["EPSG","5703"]]]
Corrected (5/14/2019).
\square Adjusted GPS time used with the global encoder id set to 1
Set to 17 as per V1.3
☑ Classified LAS tile files have no points classified as '12' (Overlap) and correctly use overlap bit.
Point classifications are limited to the standard values listed below:
          Code
                                                      Description
                                                                                                 Used
            1
                           Processed, but unclassified
                                                                                                   ✓
            2
                           Bare-earth/Ground
                                                                                                   ~
            7
                           Noise (low, manually identified, if needed)
            8
                           Model key points
            9
                           Water
            10
                           Ignored ground (breakline proximity)
            11
                           Withheld (if the "Withheld Bit" is not implemented in the processing
                           software
            17
                           Bridges
                                                                                                   ✓
            18
                           Noise (high, manually identified, if needed)
                                                                                                   ✓
Additional Classes:
          Class
                                                      Description
 20
                           Ignored ground
```

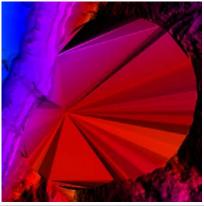
Additional comments:

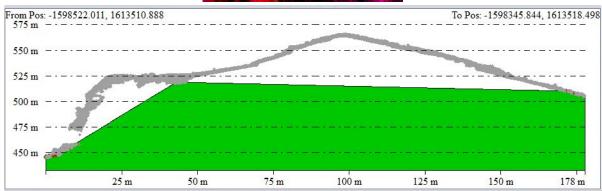
3 bridges need to be removed:



Corrected (4/24/2019).

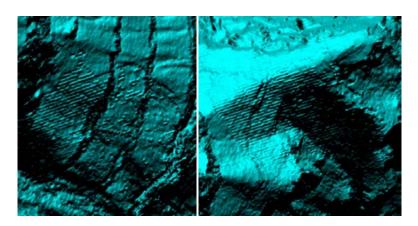
### 10 instances where ground points were misclassified:





Corrected (4/24/2019).

### 3 instances of undulation:



Corrected (4/24/2019).
Missing classes 3, 4, 5 as required by the task order. Corrected (5/14/2019).
4 LAS files with non zero file source ID's: w1526n1636, w1630m1614, w1631n1612, w1631n1615.
Corrected (5/14/2019).
Tile w1630n1614 scan direction flag format is 0:xxx. All other las tiles have scan direction flag set as xxx:xxx (4/24/2019).
Corrected (5/14/2019).

Based on this review, the USGS <u>accepts</u> classified/tiled LiDAR data.

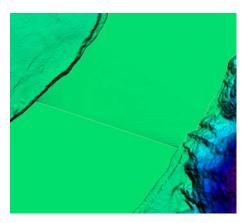
End of Tiled/Classified LiDAR Review

# **Breakline Review Accepted**

Breaklines are vector feature classes that are used to hydro-flatten the bare earth Digital Elevation Models.
Review Required:   Yes  No
BREAKLINE FILE CHARACTERISTICS:
✓ Separate folder for breakline files.
☑ Breaklines contain elevation values.
Elevation values stored in <u>Geometery (ZEnabled)</u> .
Units: Meters
✓ Waterbody Breaklines.
Polyline ☐ Polygon ✓
✓ Single elevation value per waterbody feature.
✓ Required.
Waterbody Elevations were created via <u>Proprietary</u> waterbody level techniques.
✓ Double Line Stream Breaklines (Streams Approximately > 100 ft).
Polyline ✓ Polygon ☐
Downstream DLS Flow is <u>Monotonic</u> .
✓ Required.
☐ Single Line Breaklines.
□ No missing or misplaced breaklines.

ADDITIONAL COMMENTS, ERRORS, ANOMALIES, OR OTHER ISSUES:

### 1 feature requires breakline enforcement:



Corrected (4/24/2019).

1 feature requires breakline enforcement (4/24/2019).

Corrected (5/14/2019).

Based on this review, the USGS accepts the breakline files.

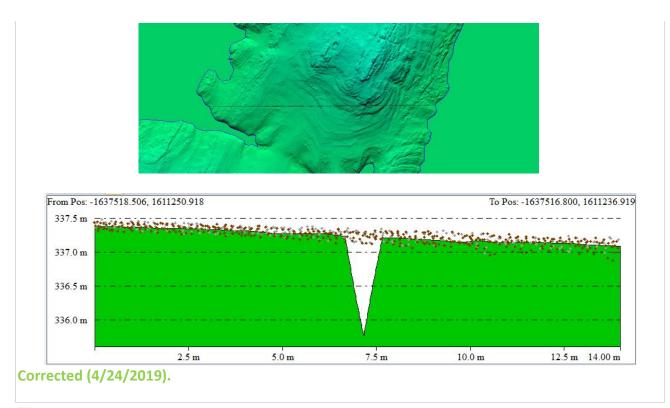
End of Breakline Review

### **DEM Review Accepted**

The derived bare-earth file(s) receive a review of the vertical accuracies provided by the data supplier, vertical accuracies calculated by the USGS using supplied and independent checkpoints (see the prior Vertical Accuracy Review Section), and a thorough visual review for any anomalies or inconsistencies in assessing the quality of the DEM(s).

#### **BARE-EARTH DEM TILE CHARACTERISTICS:**

Separate folder for bare-earth DEM files
Raster File Type: <u>IMG</u>
Raster Cell Size: 0.5 <u>Meters</u>
Tile bit depth/pixel Type: 32_BIT_FLOAT
Interpolation or Resampling Technique: Triangulated Irregular Network (TIN)
<ul> <li>✓ DEM tiles do not overlap</li> <li>✓ DEM tiles conform to Project Tiling Scheme</li> <li>✓ Quantity of DEM files conforms to Project Tiling Scheme</li> <li>✓ DEM tiles are uniform in size</li> </ul>
✓ DEM tiles properly edge match and free of edge artifacts
☐ Tiles are free from Spikes and Pits
7 unknown anomalies:



✓ Tiles are free from Data Holidays (voids due to processing or collection errors)

☐ Tiles do not exhibit systematic sensor error or cornrowing

# 3 instances of undulation/cornrowing

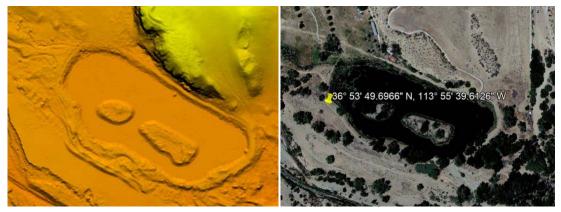
Corrected (4/24/2019).

### **Hydro Treatment**: hydro-flattened

DEM tiles are properly Hydro Flattened  $\bigcirc$  Yes  $\bigcirc$  No

Waterbodies 2 Acres or greater are flattened

### 1 feature needs to be hydroflattened:



Corrected (4/24/2019).

Streams 100 ft. or greater are flattened in a downstream manner

1 feature needs monotonic downhill treatment

Corrected (4/24/2019).

✓ Tidal Boundaries/Shorelines are flattened

Bridges/Overpasses are properly removed  3 bridges need removal Corrected (4/24/2019).  ✓ Culverts are maintained (Not Hydro Enforced)  ✓ Depressions, Sinks, are not filled in (Not Hydro Conditioned)  ✓ Vegetation properly removed  ✓ Manmade structures properly removed
Corrected (4/24/2019).  ✓ Culverts are maintained (Not Hydro Enforced)  ✓ Depressions, Sinks, are not filled in (Not Hydro Conditioned)  ✓ Vegetation properly removed
✓ Depressions, Sinks, are not filled in (Not Hydro Conditioned) ✓ Vegetation properly removed
✓ Vegetation properly removed
✓ Manmade structures properly removed
Files recommended for NED 1/3rd:  Yes.  No.  Files recommended for NED 1/9th:  Yes.  No.  Files recommended for NED 1 Meter:  Yes.  No.  AS dataset recommended for distribution: tile classified

Based on this review, the provided delivery Meets the Contract and/or Task Order requirements.

Additional Comments:

Based on this review, the USGS accepts the DEM tiles.

This is a leaf-on collection. The task order has other delivery requirements: Digital Surface Model, vegetation classes 3 (low veg, <0.6 m), 4 (medium veg, 0.6 - 4.5 m) and 5 (high veg, >4.5 m). The delivery diagram has been updated from the task order Attachment C.

Corrected (5/14/2019).

#### **INTERNAL COMMENTS**

End of DEM Review

Hoover Dam was not removed; Lake Mead is a reservoir but does not have downstream flow.

This project intersects tribal lands.

DEM SRS not well formed for all 1947 files; horizontal EPSG says "no authority tag found for projes in WKT" for all 1947 files.

No tile index provided for block 1.

Task order incorrectly states that ignored ground is class 10.

END OF REPORT (v2.4.0)